
Session IV

Case Studies

Case Study

Compiling Expressions

The Task

- ▶ develop a compiler

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- ▶ from expressions

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- ▶ from expressions
- ▶ to a stack machine
- ▶ and show its correctness
- ▶ expressions built from
 - ▶ variables
 - ▶ constants
 - ▶ binary operations

Expressions — Syntax

Syntax for

- ▶ binary operations
- ▶ expressions

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Design decision:

- ▶ no syntax for **variables** and **values**

Instead:

- ▶ expressions generic in variable names,
- ▶ *nat* for values.

Expressions — Data Type

- ▶ Binary operations

datatype *binop* = *Plus* | *Minus* | *Mult*

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- ▶ Expressions

datatype '*v* *expr* = *Const nat*
 | *Var* '*v*
 | *Binop binop* " "*v expr*" " "*v expr*"

- ▶ '*v* = variable names

Expressions — Semantics

- ▶ Semantics for binary operations:

```
consts semop :: "binop ⇒ nat ⇒ nat ⇒ nat" ("[_]"")
```

```
primrec "[Plus] = (λx y. x + y)"
```

```
"[Minus] = (λx y. x - y)"
```

```
"[Mult] = (λx y. x * y)"
```

Expressions — Semantics

- ▶ Semantics for binary operations:

consts *semop* :: "binop \Rightarrow nat \Rightarrow nat \Rightarrow nat" (" $\llbracket _ \rrbracket$ ")

primrec " $\llbracket \text{Plus} \rrbracket = (\lambda x y. x + y)$ "

" $\llbracket \text{Minus} \rrbracket = (\lambda x y. x - y)$ "

" $\llbracket \text{Mult} \rrbracket = (\lambda x y. x * y)$ "

- ▶ Semantics for expressions:

consts "value" :: "'v expr \Rightarrow ('v \Rightarrow nat) \Rightarrow nat"

primrec

"value (Const *v*) *E* = *v*"

"value (Var *a*) *E* = *E a*"

"value (Binop *f* *e*₁ *e*₂) *E* = $\llbracket f \rrbracket (\text{value } e_1 E) (\text{value } e_2 E)$ "

Stack Machine — Syntax

Machine with 3 instructions:

- ▶ **push** constant value onto stack
- ▶ **load** contents of register onto stack
- ▶ **apply** binary operator to top of stack

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Simplification: register names = variable names

```
datatype 'v instr = Push nat
                  | Load 'v
                  | Apply binop
```

Stack Machine — Execution

Modelled by a function taking

- ▶ list of instructions (program)
- ▶ store (register names to values)
- ▶ list of values (stack)

Returns

- ▶ new stack

exec

consts exec :: "*v instr list* \Rightarrow (*'v* \Rightarrow nat) \Rightarrow nat list \Rightarrow nat list"

primrec

"exec [] s vs = vs"

"exec (i#is) s vs = (case i of

 Push *v* \Rightarrow exec is s (*v* # vs)

 | Load *a* \Rightarrow exec is s (s *a* # vs)

 | Apply *f* \Rightarrow let *v*₁ = hd vs; *v*₂ = hd (tl vs); *ts* = tl (tl vs) in
 exec is s ([f] *v*₁ *v*₂ # *ts*)"

- ▶ *hd* and *tl* are head and tail of lists

The Compiler

Compilation easy:

- ▶ *Constants* ⇒ *Push*
- ▶ *Variables* ⇒ *Load*
- ▶ *Binop* ⇒ *Apply*

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consts *comp* :: "*v expr* \Rightarrow '*v instr list*'"

primrec

"*comp (Const v)* = [*Push v*]"

"*comp (Var a)* = [*Load a*]"

"*comp (Binop f e₁ e₂)* = (*comp e₂*) @ (*comp e₁*) @ [*Apply f*]"

Correctness

Executing compiled program yields value of expression

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theorem "exec (comp e) s [] = [value e s]"

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Proof?

Demo: correctness proof

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Commutative Algebra

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- ▶ Objects are typically **structures**: $(G, \cdot, 1, -1)$
 - ▶ Groups, rings, lattices, topological spaces
- ▶ Concepts are frequently combined and extended.
- ▶ Instances may be **concrete** or **abstract**.

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- ▶ Syntax should reflect context:
 - ▶ If G is a group, then $(x \cdot y)^{-1} = y^{-1} \cdot x^{-1}$ refers implicitly to G .
- ▶ Inheritance of syntax and theorems should be automatic.

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- ▶ $\lambda(\langle \text{index} \rangle)$ arguments in syntax declarations.
- ▶ Extensible records (in HOL).
- ▶ Locale instantiation.

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- ▶ Can declare default by (**structure**).
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- ▶ Letter subscripts for $\langle\text{index}\rangle$ only available in current development version of Isabelle.

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```
record 'a monoid =  
  carrier :: "'a set"  
  mult    :: "['a, 'a] ⇒ 'a" (infixl "⊗/" 70)  
  one     :: 'a ("1/")
```

A Locale for Monoids

```
locale monoid = struct G +
  assumes m_closed [intro, simp]:
    " $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$ "
  and m_assoc:
    " $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket$ 
     \implies (x \otimes y) \otimes z = x \otimes (y \otimes z)"
  and one_closed [intro, simp]: " $1 \in \text{carrier } G$ "
  and l_one [simp]: " $x \in \text{carrier } G \implies 1 \otimes x = x$ "
  and r_one [simp]: " $x \in \text{carrier } G \implies x \otimes 1 = x$ "
```

A Locale for Groups

A **group** is a monoid whose elements have inverses.

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assumes inv_ex:

" $x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = 1 \wedge x \otimes y = 1$ "

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- ▶ Reasoning in locale group makes implicit the assumption that **G** is a group.
- ▶ Inverse operation is **derived**, not part of the record.

Hierarchy of Structures

```
record 'a ring = "'a monoid" +
  zero :: 'a ("0/")
  add :: "['a, 'a] ⇒ 'a" (infixl "⊕/" 65)
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```
record ('a, 'b) module = "b ring" +
  smult :: "[a, b] ⇒ 'b" (infixl "⊙/" 70)
```

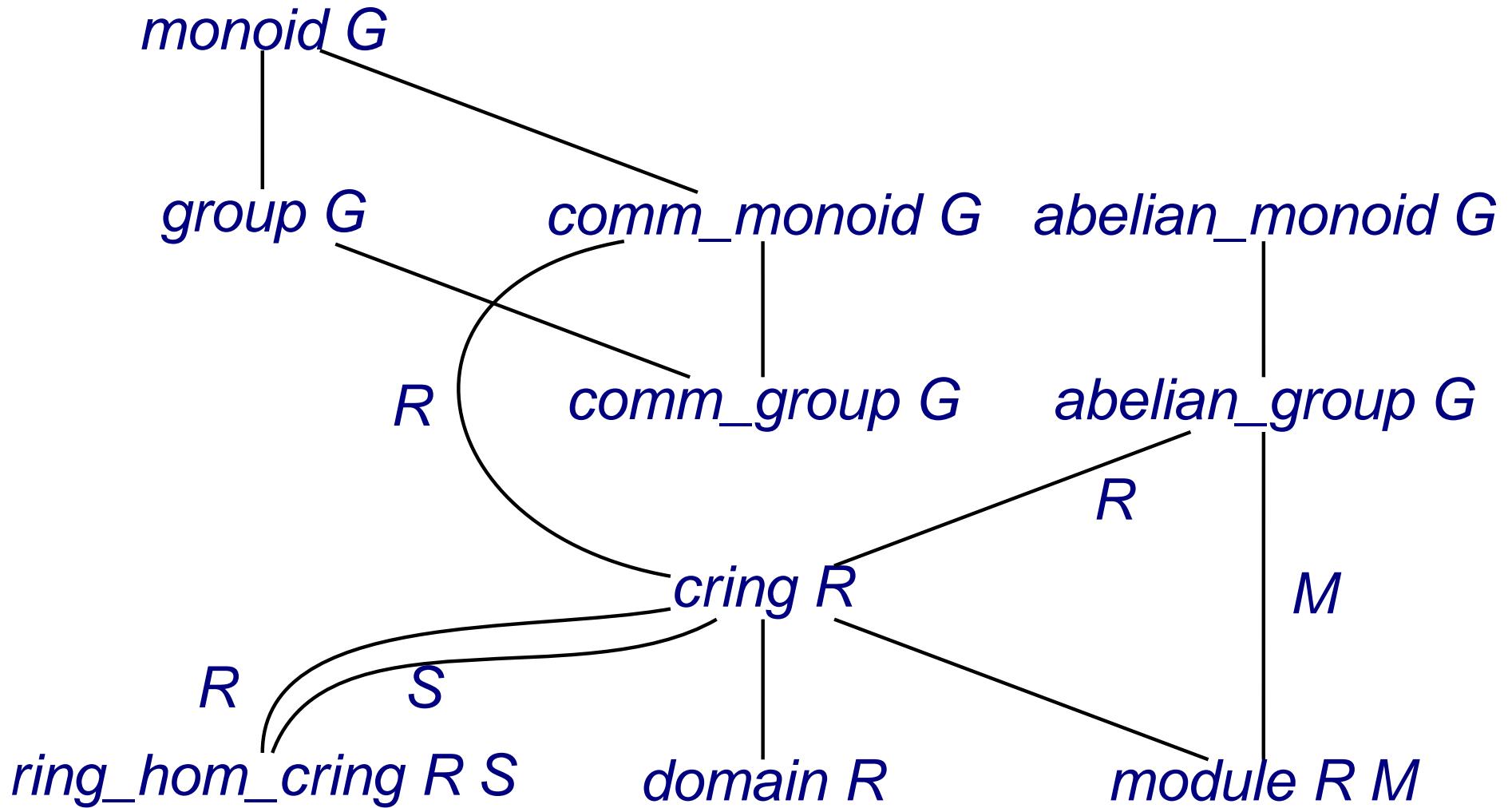
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```

```
record ('a, 'p) up_ring = "('a, 'p) module" +
  monom :: "['a, nat] ⇒ 'p"
  coeff :: "['p, nat] ⇒ 'a"
```

Hierarchy of Specifications



Polynomials

Functor $\textcolor{blue}{UP}$ that maps ring structures to polynomial structures.

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Functor UP that maps ring structures to polynomial structures.

constdefs (structure R)

```
UP :: "('a, 'm) ring_scheme ⇒ ('a, nat ⇒ 'a) up_ring"
"UP R ≡ () carrier = up R,
 mult = (λp ∈ up R. λq ∈ up R. λn. ⊕ i ∈ {..n}. p i ⊗ q (n - i)),
 one = (λi. if i = 0 then 1 else 0),
 zero = (λi. 0),
 add = (λp ∈ up R. λq ∈ up R. λi. p i ⊕ q i),
 smult = (λa ∈ carrier R. λp ∈ up R. λi. a ⊗ p i),
 monom = (λa ∈ carrier R. λn i. if i = n then a else 0),
 coeff = (λp ∈ up R. λn. p n) ()"
```

Locales for Polynomials

- ▶ Make the polynomial ring a locale parameter

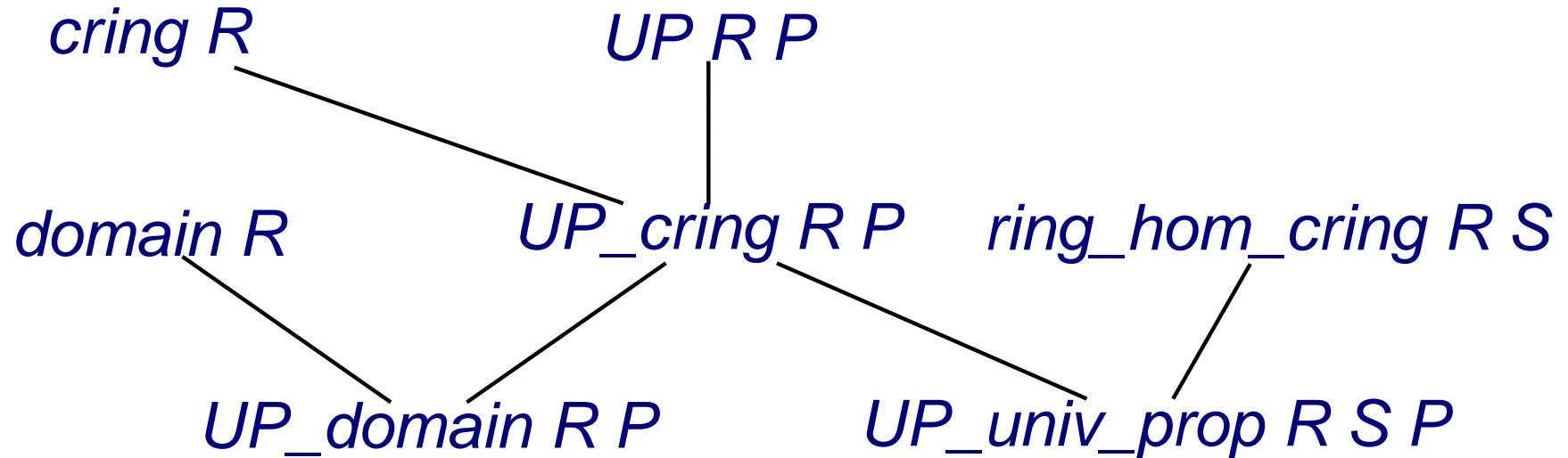
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Locales for Polynomials

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- ▶ Add information about base ring



Properties of *UP*

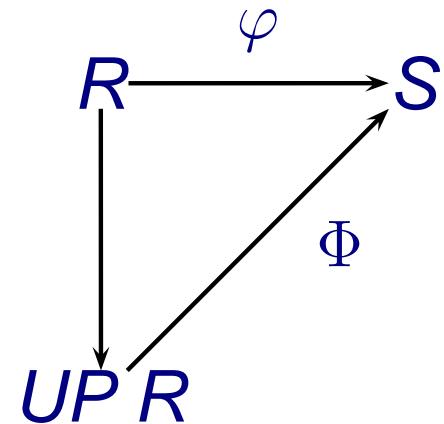
Polynomials over a ring form a ring.

theorem (in UP_cring) UP_cring: "cring P"

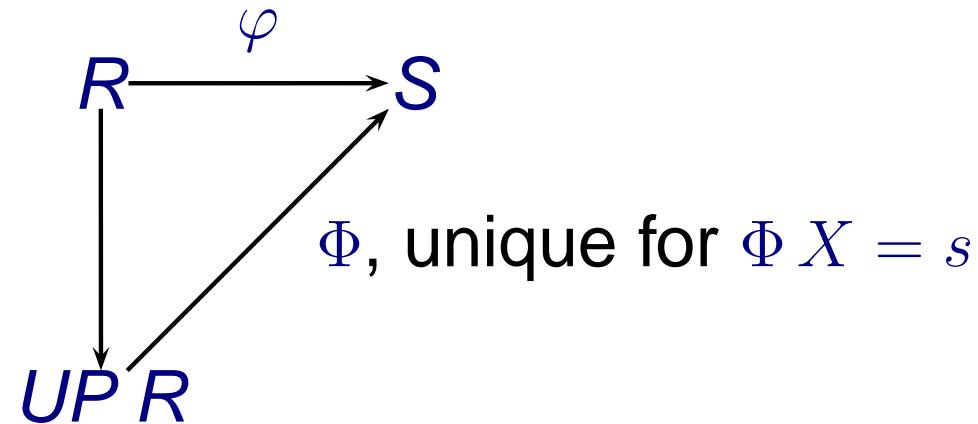
Polynomials over an integral domain form a domain.

theorem (in UP_domain) UP_domain: "domain P"

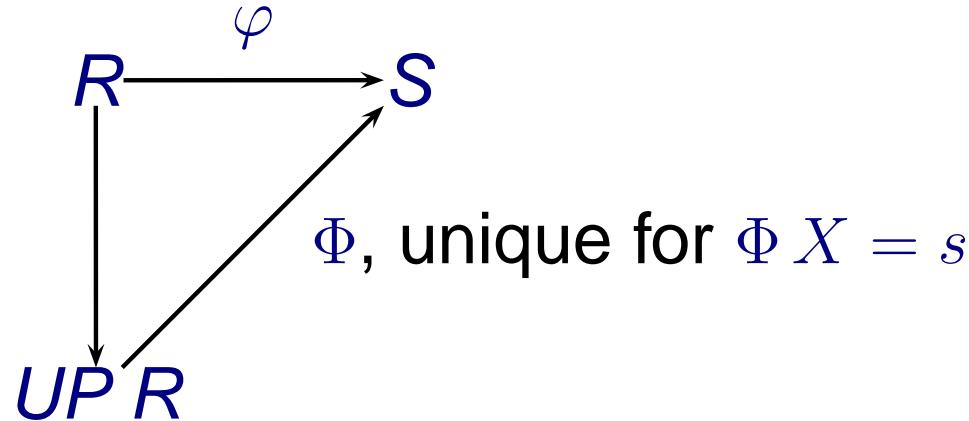
The Universal Property



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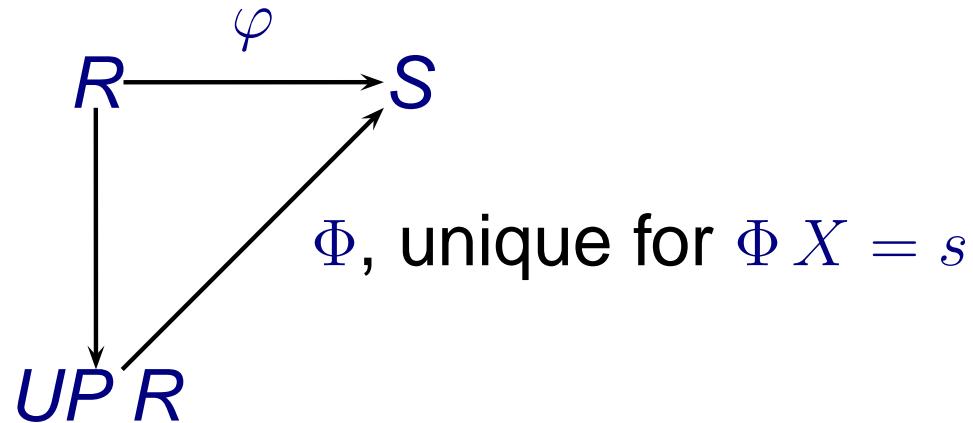
- Existence of Φ :

$\text{eval } R \text{ } S \text{ } \textit{phi} \text{ } s \equiv \lambda p \in \text{carrier } (UP R).$

$$\bigoplus_{i \in \{.. \deg R p\}} \textit{phi} (\text{coeff } (UP R) p i) \otimes s (\wedge) i$$

Show that $\text{eval } R \text{ } S \text{ } \textit{phi}$ is a homomorphism.

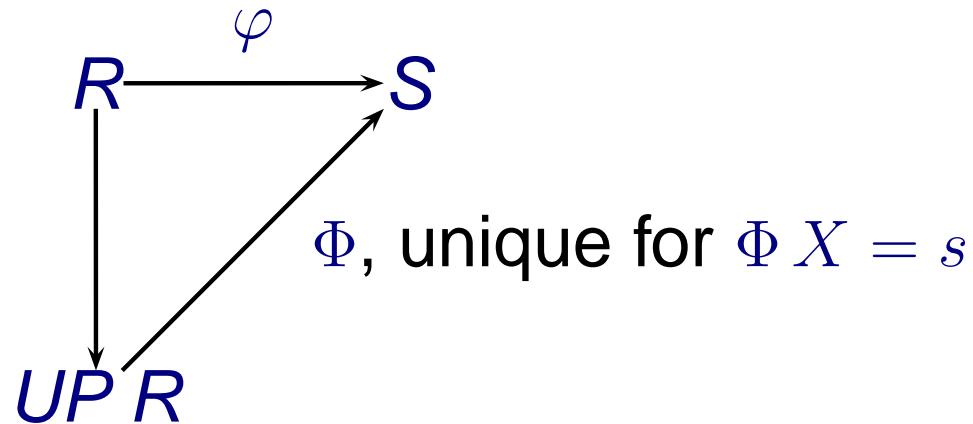
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- Uniqueness of Φ :

Show that two homomorphisms $\Phi, \Psi : UP R \rightarrow S$ with $\Phi X = \Psi X = s$ are identical.

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Demo: uniqueness

Questions answered by Larry Paulson

**Hah! A proof of False
Your axioms are bogus
Go back to square one.**

— Larry Paulson