

Isabelle/HOLCF — Higher-Order Logic of Computable Functions

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Contents

1	Partial orders	3
1.1	Type class for partial orders	3
1.2	Upper bounds	4
1.3	Least upper bounds	5
1.4	Countable chains	6
1.5	Finite chains	7
2	Classes cpo and pcpo	8
2.1	Complete partial orders	8
2.2	Pointed cpos	10
2.3	Chain-finite and flat cpos	10
2.4	Discrete cpos	11
3	Continuity and monotonicity	11
3.1	Definitions	11
3.2	Equivalence of alternate definition	12
3.3	Collection of continuity rules	13
3.4	Continuity of basic functions	13
3.5	Finite chains and flat pcpos	13
4	Admissibility and compactness	14
4.1	Definitions	14
4.2	Admissibility on chain-finite types	15
4.3	Admissibility of special formulae and propagation	15
4.4	Compactness	16
5	Class instances for the full function space	17
5.1	Full function space is a partial order	17
5.2	Full function space is chain complete	18
5.3	Full function space is pointed	18
5.4	Propagation of monotonicity and continuity	19

6 The cpo of cartesian products	19
6.1 Unit type is a pcpo	19
6.2 Product type is a partial order	20
6.3 Monotonicity of <i>Pair</i> , <i>fst</i> , <isnd< i=""></isnd<>	20
6.4 Product type is a cpo	21
6.5 Product type is pointed	21
6.6 Continuity of <i>Pair</i> , <i>fst</i> , <isnd< i=""></isnd<>	22
6.7 Compactness and chain-finiteness	23
7 Discrete cpo types	24
7.1 Discrete cpo class instance	24
7.2 <i>undiscr</i>	24
8 Subtypes of pcpo	24
8.1 Proving a subtype is a partial order	24
8.2 Proving a subtype is finite	25
8.3 Proving a subtype is chain-finite	25
8.4 Proving a subtype is complete	25
8.4.1 Continuity of <i>Rep</i> and <i>Abs</i>	26
8.5 Proving subtype elements are compact	26
8.6 Proving a subtype is pointed	27
8.6.1 Strictness of <i>Rep</i> and <i>Abs</i>	27
8.7 Proving a subtype is flat	28
8.8 HOLCF type definition package	28
9 The type of continuous functions	28
9.1 Definition of continuous function type	28
9.2 Syntax for continuous lambda abstraction	28
9.3 Continuous function space is pointed	29
9.4 Basic properties of continuous functions	30
9.4.1 Beta-reduction simproc	30
9.5 Continuity of application	31
9.6 Continuity simplification procedure	32
9.7 Miscellaneous	33
9.8 Continuous injection-retraction pairs	33
9.9 Identity and composition	34
9.10 Strictified functions	35
9.11 Continuity of let-bindings	35
10 Continuous deflations and ep-pairs	36
10.1 Continuous deflations	36
10.2 Deflations with finite range	37
10.3 Continuous embedding-projection pairs	38
10.4 Uniqueness of ep-pairs	39

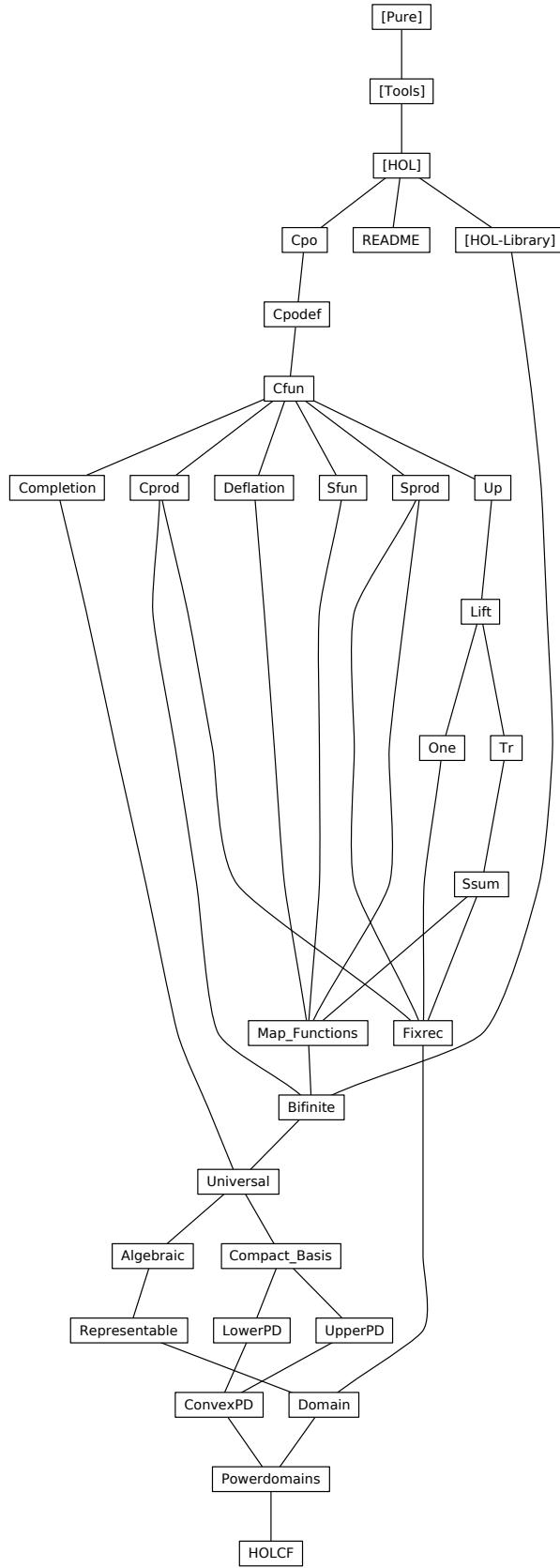
10.5 Composing ep-pairs	39
11 The type of strict products	40
11.1 Definition of strict product type	40
11.2 Definitions of constants	41
11.3 Case analysis	41
11.4 Properties of <i>spair</i>	42
11.5 Properties of <i>sfst</i> and <i>ssnd</i>	42
11.6 Compactness	43
11.7 Properties of <i>ssplit</i>	44
11.8 Strict product preserves flatness	44
12 The type of lifted values	44
12.1 Definition of new type for lifting	44
12.2 Ordering on lifted cpo	44
12.3 Lifted cpo is a partial order	45
12.4 Lifted cpo is a cpo	45
12.5 Lifted cpo is pointed	45
12.6 Continuity of <i>Iup</i> and <i>Ifup</i>	45
12.7 Continuous versions of constants	46
13 Lifting types of class type to flat pcpo's	47
13.1 Lift as a datatype	47
13.2 Lift is flat	48
13.3 Continuity of <i>case-lift</i>	48
13.4 Further operations	48
14 The type of lifted booleans	49
14.1 Type definition and constructors	49
14.2 Case analysis	50
14.3 Boolean connectives	51
14.4 Rewriting of HOLCF operations to HOL functions	52
14.5 Compactness	52
15 The type of strict sums	52
15.1 Definition of strict sum type	53
15.2 Definitions of constructors	53
15.3 Properties of <i>sinl</i> and <i>sinr</i>	53
15.4 Case analysis	55
15.5 Case analysis combinator	55
15.6 Strict sum preserves flatness	56
16 The Strict Function Type	56

17 Map functions for various types	57
17.1 Map operator for continuous function space	57
17.2 Map operator for product type	58
17.3 Map function for lifted cpo	59
17.4 Map function for strict products	59
17.5 Map function for strict sums	60
17.6 Map operator for strict function space	61
18 The cpo of cartesian products	62
18.1 Continuous case function for unit type	62
18.2 Continuous version of split function	62
18.3 Convert all lemmas to the continuous versions	62
19 Profinite and bifinite cpos	63
19.1 Chains of finite deflations	63
19.2 Omega-profinite and bifinite domains	63
19.3 Building approx chains	63
19.4 Class instance proofs	65
20 Defining algebraic domains by ideal completion	66
20.1 Ideals over a preorder	66
20.2 Lemmas about least upper bounds	67
20.3 Locale for ideal completion	68
20.3.1 Principal ideals approximate all elements	68
20.4 Defining functions in terms of basis elements	69
21 A universal bifinite domain	70
21.1 Basis for universal domain	70
21.1.1 Basis datatype	70
21.1.2 Basis ordering	71
21.1.3 Generic take function	71
21.2 Defining the universal domain by ideal completion	72
21.3 Compact bases of domains	73
21.4 Universality of <i>udom</i>	74
21.4.1 Choosing a maximal element from a finite set	74
21.4.2 Compact basis take function	75
21.4.3 Rank of basis elements	76
21.4.4 Sequencing basis elements	77
21.4.5 Embedding and projection on basis elements	78
21.4.6 EP-pair from any bifinite domain into <i>udom</i>	80
21.5 Chain of approx functions for type <i>udom</i>	80

22 Algebraic deflations	81
22.1 Type constructor for finite deflations	82
22.2 Defining algebraic deflations by ideal completion	83
22.3 Applying algebraic deflations	83
22.4 Deflation combinators	84
23 Representable domains	85
23.1 Class of representable domains	85
23.2 Domains are bifinite	86
23.3 Universal domain ep-pairs	86
23.4 Type combinators	87
23.5 Class instance proofs	88
23.5.1 Universal domain	88
23.5.2 Lifted cpo	89
23.5.3 Strict function space	89
23.5.4 Continuous function space	90
23.5.5 Strict product	91
23.5.6 Cartesian product	91
23.5.7 Unit type	92
23.5.8 Discrete cpo	93
23.5.9 Strict sum	93
23.5.10 Lifted HOL type	94
24 The unit domain	95
25 Fixed point operator and admissibility	96
25.1 Iteration	96
25.2 Least fixed point operator	97
25.3 Fixed point induction	98
25.4 Fixed-points on product types	99
26 Package for defining recursive functions in HOLCF	99
26.1 Pattern-match monad	99
26.1.1 Run operator	100
26.1.2 Monad plus operator	100
26.2 Match functions for built-in types	100
26.3 Mutual recursion	102
26.4 Initializing the fixrec package	103
27 Domain package	103
27.1 Continuous isomorphisms	103
27.2 Proofs about take functions	105
27.3 Finiteness	105
27.4 Proofs about constructor functions	106

27.5 ML setup	108
27.6 Representations of types	108
27.7 Deflations as sets	109
27.8 Proving a subtype is representable	109
27.9 Isomorphic deflations	110
27.10 Setting up the domain package	112
28 A compact basis for powerdomains	113
28.1 A compact basis for powerdomains	113
28.2 Unit and plus constructors	113
28.3 Fold operator	114
29 Upper powerdomain	114
29.1 Basis preorder	115
29.2 Type definition	116
29.3 Monadic unit and plus	116
29.4 Induction rules	118
29.5 Monadic bind	119
29.6 Map	120
29.7 Upper powerdomain is bifinite	121
29.8 Join	121
30 Lower powerdomain	122
30.1 Basis preorder	122
30.2 Type definition	123
30.3 Monadic unit and plus	123
30.4 Induction rules	126
30.5 Monadic bind	126
30.6 Map	127
30.7 Lower powerdomain is bifinite	128
30.8 Join	128
31 Convex powerdomain	129
31.1 Basis preorder	129
31.2 Type definition	130
31.3 Monadic unit and plus	131
31.4 Induction rules	132
31.5 Monadic bind	133
31.6 Map	134
31.7 Convex powerdomain is bifinite	135
31.8 Join	135
31.9 Conversions to other powerdomains	136

32 Powerdomains	138
32.1 Universal domain embeddings	138
32.2 Deflation combinators	138
32.3 Domain class instances	139
32.4 Isomorphic deflations	140
32.5 Domain package setup for powerdomains	141



```

theory Cpo
  imports Main
begin

1 Partial orders

declare [[typedef-overloaded]]

1.1 Type class for partial orders

class below =
  fixes below :: 'a ⇒ 'a ⇒ bool
begin

  notation (ASCII)
  below (infix << 50)

  notation
  below (infix ⊑ 50)

  abbreviation not-below :: 'a ⇒ 'a ⇒ bool (infix ⊥ 50)
  where not-below x y ≡ ¬ below x y

  notation (ASCII)
  not-below (infix ∼<< 50)

lemma below-eq-trans: a ⊑ b ⇒ b = c ⇒ a ⊑ c
  ⟨proof⟩

lemma eq-below-trans: a = b ⇒ b ⊑ c ⇒ a ⊑ c
  ⟨proof⟩

end

class po = below +
  assumes below-refl [iff]: x ⊑ x
  assumes below-trans: x ⊑ y ⇒ y ⊑ z ⇒ x ⊑ z
  assumes below-antisym: x ⊑ y ⇒ y ⊑ x ⇒ x = y
begin

lemma eq-imp-below: x = y ⇒ x ⊑ y
  ⟨proof⟩

lemma box-below: a ⊑ b ⇒ c ⊑ a ⇒ b ⊑ d ⇒ c ⊑ d
  ⟨proof⟩

lemma po-eq-conv: x = y ⟷ x ⊑ y ∧ y ⊑ x

```

```

⟨proof⟩

lemma rev-below-trans:  $y \sqsubseteq z \implies x \sqsubseteq y \implies x \sqsubseteq z$ 
⟨proof⟩

lemma not-below2not-eq:  $x \not\sqsubseteq y \implies x \neq y$ 
⟨proof⟩

end

lemmas HOLCF-trans-rules [trans] =
  below-trans
  below-antisym
  below-eq-trans
  eq-below-trans

```

```

context po
begin

```

1.2 Upper bounds

```

definition is-ub :: 'a set  $\Rightarrow$  'a  $\Rightarrow$  bool (infix <| 55)
  where  $S <| x \longleftrightarrow (\forall y \in S. y \sqsubseteq x)$ 

```

```

lemma is-ubI:  $(\bigwedge x. x \in S \implies x \sqsubseteq u) \implies S <| u$ 
⟨proof⟩

```

```

lemma is-ubD:  $\llbracket S <| u; x \in S \rrbracket \implies x \sqsubseteq u$ 
⟨proof⟩

```

```

lemma ub-imageI:  $(\bigwedge x. x \in S \implies f x \sqsubseteq u) \implies (\lambda x. f x) ` S <| u$ 
⟨proof⟩

```

```

lemma ub-imageD:  $\llbracket f ` S <| u; x \in S \rrbracket \implies f x \sqsubseteq u$ 
⟨proof⟩

```

```

lemma ub-rangeI:  $(\bigwedge i. S i \sqsubseteq x) \implies range S <| x$ 
⟨proof⟩

```

```

lemma ub-rangeD:  $range S <| x \implies S i \sqsubseteq x$ 
⟨proof⟩

```

```

lemma is-ub-empty [simp]:  $\{\} <| u$ 
⟨proof⟩

```

```

lemma is-ub-insert [simp]:  $(insert x A) <| y = (x \sqsubseteq y \wedge A <| y)$ 
⟨proof⟩

```

```

lemma is-ub-upward:  $\llbracket S <| x; x \sqsubseteq y \rrbracket \implies S <| y$ 

```

$\langle proof \rangle$

1.3 Least upper bounds

definition *is-lub* :: '*a set* \Rightarrow '*a* \Rightarrow *bool* (**infix** $\langle\langle <| \rangle\rangle$ 55)
where $S <<| x \longleftrightarrow S <| x \wedge (\forall u. S <| u \longrightarrow x \sqsubseteq u)$

definition *lub* :: '*a set* \Rightarrow '*a*
where $lub S = (\text{THE } x. S <<| x)$

end

syntax (ASCII)

$-BLub :: [pttrn, 'a set, 'b] \Rightarrow 'b ((\langle\langle indent=3 notation=\langle binder LUB\rangle\rangle LUB -:-/ -) [0,0, 10] 10)$

syntax

$-BLub :: [pttrn, 'a set, 'b] \Rightarrow 'b ((\langle\langle indent=3 notation=\langle binder \sqcup\rangle\rangle \sqcup -\in-. / -) [0,0, 10] 10)$

syntax-consts

$-BLub \Leftarrow lub$

translations

$LUB x:A. t \Leftarrow CONST lub ((\lambda x. t) ` A)$

context *po*

begin

abbreviation *Lub* (**binder** $\langle\sqcup\rangle$ 10)
where $\sqcup n. t n \equiv lub (\text{range } t)$

notation (ASCII)

Lub (**binder** $\langle LUB \rangle$ 10)

access to some definition as inference rule

lemma *is-lubD1*: $S <<| x \Longrightarrow S <| x$
 $\langle proof \rangle$

lemma *is-lubD2*: $\llbracket S <<| x; S <| u \rrbracket \Longrightarrow x \sqsubseteq u$
 $\langle proof \rangle$

lemma *is-lubI*: $\llbracket S <| x; \bigwedge u. S <| u \Longrightarrow x \sqsubseteq u \rrbracket \Longrightarrow S <<| x$
 $\langle proof \rangle$

lemma *is-lub-below-iff*: $S <<| x \Longrightarrow x \sqsubseteq u \longleftrightarrow S <| u$
 $\langle proof \rangle$

lubs are unique

lemma *is-lub-unique*: $S <<| x \implies S <<| y \implies x = y$
 $\langle proof \rangle$

technical lemmas about *lub* and ($<<|$)

lemma *is-lub-lub*: $M <<| x \implies M <<| \text{lub } M$
 $\langle proof \rangle$

lemma *lub-eqI*: $M <<| l \implies \text{lub } M = l$
 $\langle proof \rangle$

lemma *is-lub-singleton [simp]*: $\{x\} <<| x$
 $\langle proof \rangle$

lemma *lub-singleton [simp]*: $\text{lub } \{x\} = x$
 $\langle proof \rangle$

lemma *is-lub-bin*: $x \sqsubseteq y \implies \{x, y\} <<| y$
 $\langle proof \rangle$

lemma *lub-bin*: $x \sqsubseteq y \implies \text{lub } \{x, y\} = y$
 $\langle proof \rangle$

lemma *is-lub-maximal*: $S <| x \implies x \in S \implies S <<| x$
 $\langle proof \rangle$

lemma *lub-maximal*: $S <| x \implies x \in S \implies \text{lub } S = x$
 $\langle proof \rangle$

1.4 Countable chains

definition *chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$

where — Here we use countable chains and I prefer to code them as functions!
chain $Y = (\forall i. Y i \sqsubseteq Y (\text{Suc } i))$

lemma *chainI*: $(\bigwedge i. Y i \sqsubseteq Y (\text{Suc } i)) \implies \text{chain } Y$
 $\langle proof \rangle$

lemma *chainE*: $\text{chain } Y \implies Y i \sqsubseteq Y (\text{Suc } i)$
 $\langle proof \rangle$

chains are monotone functions

lemma *chain-mono-less*: $\text{chain } Y \implies i < j \implies Y i \sqsubseteq Y j$
 $\langle proof \rangle$

lemma *chain-mono*: $\text{chain } Y \implies i \leq j \implies Y i \sqsubseteq Y j$
 $\langle proof \rangle$

lemma *chain-shift*: $\text{chain } Y \implies \text{chain } (\lambda i. Y (i + j))$
 $\langle proof \rangle$

technical lemmas about (least) upper bounds of chains

lemma *is-lub-rangeD1*: $\text{range } S <<| x \implies S \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *is-ub-range-shift*: $\text{chain } S \implies \text{range } (\lambda i. S(i + j)) <| x = \text{range } S <| x$
 $\langle \text{proof} \rangle$

lemma *is-lub-range-shift*: $\text{chain } S \implies \text{range } (\lambda i. S(i + j)) <<| x = \text{range } S <<| x$
 $\langle \text{proof} \rangle$

the lub of a constant chain is the constant

lemma *chain-const [simp]*: $\text{chain } (\lambda i. c)$
 $\langle \text{proof} \rangle$

lemma *is-lub-const*: $\text{range } (\lambda x. c) <<| c$
 $\langle \text{proof} \rangle$

lemma *lub-const [simp]*: $(\bigsqcup i. c) = c$
 $\langle \text{proof} \rangle$

1.5 Finite chains

definition *max-in-chain* :: $\text{nat} \Rightarrow (\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$
where — finite chains, needed for monotony of continuous functions
 $\text{max-in-chain } i C \longleftrightarrow (\forall j. i \leq j \rightarrow C i = C j)$

definition *finite-chain* :: $(\text{nat} \Rightarrow 'a) \Rightarrow \text{bool}$
where $\text{finite-chain } C = (\text{chain } C \wedge (\exists i. \text{max-in-chain } i C))$

results about finite chains

lemma *max-in-chainI*: $(\bigwedge j. i \leq j \implies Y i = Y j) \implies \text{max-in-chain } i Y$
 $\langle \text{proof} \rangle$

lemma *max-in-chainD*: $\text{max-in-chain } i Y \implies i \leq j \implies Y i = Y j$
 $\langle \text{proof} \rangle$

lemma *finite-chainI*: $\text{chain } C \implies \text{max-in-chain } i C \implies \text{finite-chain } C$
 $\langle \text{proof} \rangle$

lemma *finite-chainE*: $\llbracket \text{finite-chain } C; \bigwedge i. \llbracket \text{chain } C; \text{max-in-chain } i C \rrbracket \implies R \rrbracket$
 $\implies R$
 $\langle \text{proof} \rangle$

lemma *lub-fin1*: $\text{chain } C \implies \text{max-in-chain } i C \implies \text{range } C <<| C i$
 $\langle \text{proof} \rangle$

lemma *lub-fin2*: $\text{finite-chain } C \implies \text{range } C <<| C \text{ (LEAST } i. \text{max-in-chain } i C)$

$\langle proof \rangle$

lemma finch-imp-finite-range: finite-chain $Y \implies \text{finite}(\text{range } Y)$
 $\langle proof \rangle$

lemma finite-range-has-max:
fixes $f :: \text{nat} \Rightarrow 'a$
and $r :: 'a \Rightarrow 'a \Rightarrow \text{bool}$
assumes mono: $\bigwedge i j. i \leq j \implies r(f i) (f j)$
assumes finite-range: $\text{finite}(\text{range } f)$
shows $\exists k. \forall i. r(f i) (f k)$
 $\langle proof \rangle$

lemma finite-range-imp-fin: chain $Y \implies \text{finite}(\text{range } Y) \implies \text{finite-chain } Y$
 $\langle proof \rangle$

lemma bin-chain: $x \sqsubseteq y \implies \text{chain}(\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
 $\langle proof \rangle$

lemma bin-chainmax: $x \sqsubseteq y \implies \text{max-in-chain}(\text{Suc } 0)(\lambda i. \text{if } i=0 \text{ then } x \text{ else } y)$
 $\langle proof \rangle$

lemma is-lub-bin-chain: $x \sqsubseteq y \implies \text{range}(\lambda i::\text{nat}. \text{if } i=0 \text{ then } x \text{ else } y) \lll y$
 $\langle proof \rangle$

the maximal element in a chain is its lub

lemma lub-chain-maxelem: $Y i = c \implies \forall i. Y i \sqsubseteq c \implies \text{lub}(\text{range } Y) = c$
 $\langle proof \rangle$

end

2 Classes cpo and pcpo

2.1 Complete partial orders

The class cpo of chain complete partial orders

class cpo = po +
assumes cpo: chain $S \implies \exists x. \text{range } S \lll x$

default-sort cpo

context cpo
begin

in cpo's everything equal to THE lub has lub properties for every chain

lemma cpo-lubI: chain $S \implies \text{range } S \lll (\bigsqcup i. S i)$
 $\langle proof \rangle$

lemma *thelubE*: $\llbracket \text{chain } S; (\bigsqcup i. S i) = l \rrbracket \implies \text{range } S <<| l$
 $\langle \text{proof} \rangle$

Properties of the lub

lemma *is-ub-thelub*: $\text{chain } S \implies S x \sqsubseteq (\bigsqcup i. S i)$
 $\langle \text{proof} \rangle$

lemma *is-lub-thelub*: $\llbracket \text{chain } S; \text{range } S <| x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *lub-below-iff*: $\text{chain } S \implies (\bigsqcup i. S i) \sqsubseteq x \longleftrightarrow (\forall i. S i \sqsubseteq x)$
 $\langle \text{proof} \rangle$

lemma *lub-below*: $\llbracket \text{chain } S; \bigwedge i. S i \sqsubseteq x \rrbracket \implies (\bigsqcup i. S i) \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *below-lub*: $\llbracket \text{chain } S; x \sqsubseteq S i \rrbracket \implies x \sqsubseteq (\bigsqcup i. S i)$
 $\langle \text{proof} \rangle$

lemma *lub-range-mono*: $\llbracket \text{range } X \subseteq \text{range } Y; \text{chain } Y; \text{chain } X \rrbracket \implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *lub-range-shift*: $\text{chain } Y \implies (\bigsqcup i. Y (i + j)) = (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *maxinch-is-thelub*: $\text{chain } Y \implies \text{max-in-chain } i Y = ((\bigsqcup i. Y i) = Y i)$
 $\langle \text{proof} \rangle$

the \sqsubseteq relation between two chains is preserved by their lubs

lemma *lub-mono*: $\llbracket \text{chain } X; \text{chain } Y; \bigwedge i. X i \sqsubseteq Y i \rrbracket \implies (\bigsqcup i. X i) \sqsubseteq (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

the $=$ relation between two chains is preserved by their lubs

lemma *lub-eq*: $(\bigwedge i. X i = Y i) \implies (\bigsqcup i. X i) = (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma *ch2ch-lub*:
assumes 1: $\bigwedge j. \text{chain } (\lambda i. Y i j)$
assumes 2: $\bigwedge i. \text{chain } (\lambda j. Y i j)$
shows $\text{chain } (\lambda i. \bigsqcup j. Y i j)$
 $\langle \text{proof} \rangle$

lemma *diag-lub*:
assumes 1: $\bigwedge j. \text{chain } (\lambda i. Y i j)$
assumes 2: $\bigwedge i. \text{chain } (\lambda j. Y i j)$
shows $(\bigsqcup i. \bigsqcup j. Y i j) = (\bigsqcup i. Y i i)$
 $\langle \text{proof} \rangle$

```

lemma ex-lub:
  assumes 1:  $\bigwedge j. \text{chain } (\lambda i. Y i j)$ 
  assumes 2:  $\bigwedge i. \text{chain } (\lambda j. Y i j)$ 
  shows  $(\bigsqcup i. \bigsqcup j. Y i j) = (\bigsqcup j. \bigsqcup i. Y i j)$ 
   $\langle \text{proof} \rangle$ 

end

```

2.2 Pointed cpos

The class pcpo of pointed cpos

```

class pcpo = cpo +
  assumes least:  $\exists x. \forall y. x \sqsubseteq y$ 
begin

  definition bottom :: 'a ( $\langle \perp \rangle$ )
    where bottom = (THE x.  $\forall y. x \sqsubseteq y$ )

  lemma minimal [iff]:  $\perp \sqsubseteq x$ 
   $\langle \text{proof} \rangle$ 

end

```

Old "UU" syntax:

```
abbreviation (input) UU ≡ bottom
```

Simproc to rewrite $\perp = x$ to $x = \perp$.

$\langle ML \rangle$

useful lemmas about \perp

```

lemma below-bottom-iff [simp]:  $x \sqsubseteq \perp \longleftrightarrow x = \perp$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma eq-bottom-iff:  $x = \perp \longleftrightarrow x \sqsubseteq \perp$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma bottomI:  $x \sqsubseteq \perp \implies x = \perp$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma lub-eq-bottom-iff: chain Y  $\implies (\bigsqcup i. Y i) = \perp \longleftrightarrow (\forall i. Y i = \perp)$ 
   $\langle \text{proof} \rangle$ 

```

2.3 Chain-finite and flat cpos

further useful classes for HOLCF domains

```

class chfin = po +
  assumes chfin: chain Y  $\implies \exists n. \text{max-in-chain } n Y$ 
begin

```

```

subclass cpo
  ⟨proof⟩

lemma chfin2finch: chain Y  $\implies$  finite-chain Y
  ⟨proof⟩

end

class flat = pcpo +
  assumes ax-flat:  $x \sqsubseteq y \implies x = \perp \vee x = y$ 
begin

subclass chfin
  ⟨proof⟩

lemma flat-below-iff:  $x \sqsubseteq y \longleftrightarrow x = \perp \vee x = y$ 
  ⟨proof⟩

lemma flat-eq:  $a \neq \perp \implies a \sqsubseteq b = (a = b)$ 
  ⟨proof⟩

end

```

2.4 Discrete cpos

```

class discrete-cpo = below +
  assumes discrete-cpo [simp]:  $x \sqsubseteq y \longleftrightarrow x = y$ 
begin

```

```

subclass po
  ⟨proof⟩

```

In a discrete cpo, every chain is constant

```

lemma discrete-chain-const:
  assumes S: chain S
  shows  $\exists x. S = (\lambda i. x)$ 
  ⟨proof⟩

```

```

subclass chfin
  ⟨proof⟩

```

end

3 Continuity and monotonicity

3.1 Definitions

```

definition monofun :: ('a::po  $\Rightarrow$  'b::po)  $\Rightarrow$  bool — monotonicity

```

where $\text{monofun } f \longleftrightarrow (\forall x y. x \sqsubseteq y \longrightarrow f x \sqsubseteq f y)$

definition $\text{cont} :: ('a \Rightarrow 'b) \Rightarrow \text{bool}$

where $\text{cont } f = (\forall Y. \text{chain } Y \longrightarrow \text{range } (\lambda i. f (Y i)) \ll| f (\bigsqcup i. Y i))$

lemma $\text{contI}: (\forall Y. \text{chain } Y \Longrightarrow \text{range } (\lambda i. f (Y i)) \ll| f (\bigsqcup i. Y i)) \Longrightarrow \text{cont } f$
 $\langle \text{proof} \rangle$

lemma $\text{contE}: \text{cont } f \Longrightarrow \text{chain } Y \Longrightarrow \text{range } (\lambda i. f (Y i)) \ll| f (\bigsqcup i. Y i)$
 $\langle \text{proof} \rangle$

lemma $\text{monofunI}: (\forall x y. x \sqsubseteq y \Longrightarrow f x \sqsubseteq f y) \Longrightarrow \text{monofun } f$
 $\langle \text{proof} \rangle$

lemma $\text{monofunE}: \text{monofun } f \Longrightarrow x \sqsubseteq y \Longrightarrow f x \sqsubseteq f y$
 $\langle \text{proof} \rangle$

3.2 Equivalence of alternate definition

monotone functions map chains to chains

lemma $\text{ch2ch-monofun}: \text{monofun } f \Longrightarrow \text{chain } Y \Longrightarrow \text{chain } (\lambda i. f (Y i))$
 $\langle \text{proof} \rangle$

monotone functions map upper bound to upper bounds

lemma $\text{ub2ub-monofun}: \text{monofun } f \Longrightarrow \text{range } Y <| u \Longrightarrow \text{range } (\lambda i. f (Y i)) <| f u$
 $\langle \text{proof} \rangle$

a lemma about binary chains

lemma $\text{binchain-cont}: \text{cont } f \Longrightarrow x \sqsubseteq y \Longrightarrow \text{range } (\lambda i::\text{nat}. f (\text{if } i = 0 \text{ then } x \text{ else } y)) \ll| f y$
 $\langle \text{proof} \rangle$

continuity implies monotonicity

lemma $\text{cont2mono}: \text{cont } f \Longrightarrow \text{monofun } f$
 $\langle \text{proof} \rangle$

lemmas $\text{cont2monofunE} = \text{cont2mono} [\text{THEN monofunE}]$

lemmas $\text{ch2ch-cont} = \text{cont2mono} [\text{THEN ch2ch-monofun}]$

continuity implies preservation of lubs

lemma $\text{cont2contlubE}: \text{cont } f \Longrightarrow \text{chain } Y \Longrightarrow f (\bigsqcup i. Y i) = (\bigsqcup i. f (Y i))$
 $\langle \text{proof} \rangle$

lemma $\text{contI2}:$

fixes $f :: 'a \Rightarrow 'b$

assumes $\text{mono}: \text{monofun } f$

assumes below: $\bigwedge Y. [\![\text{chain } Y; \text{chain } (\lambda i. f (Y i))]\!] \implies f (\bigsqcup i. Y i) \sqsubseteq (\bigsqcup i. f (Y i))$
shows *cont f*
{proof}

3.3 Collection of continuity rules

named-theorems *cont2cont continuity intro rule*

3.4 Continuity of basic functions

The identity function is continuous

lemma *cont-id* [simp, cont2cont]: *cont* ($\lambda x. x$)
{proof}

constant functions are continuous

lemma *cont-const* [simp, cont2cont]: *cont* ($\lambda x. c$)
{proof}

application of functions is continuous

lemma *cont-apply*:
fixes $f :: 'a \Rightarrow 'b \Rightarrow 'c$ **and** $t :: 'a \Rightarrow 'b$
assumes 1: *cont* ($\lambda x. t x$)
assumes 2: $\bigwedge x. \text{cont } (\lambda y. f x y)$
assumes 3: $\bigwedge y. \text{cont } (\lambda x. f x y)$
shows *cont* ($\lambda x. (f x) (t x)$)
{proof}

lemma *cont-compose*: *cont* $c \implies \text{cont } (\lambda x. f x) \implies \text{cont } (\lambda x. c (f x))$
{proof}

Least upper bounds preserve continuity

lemma *cont2cont-lub* [simp]:
assumes *chain*: $\bigwedge x. \text{chain } (\lambda i. F i x)$
and *cont*: $\bigwedge i. \text{cont } (\lambda x. F i x)$
shows *cont* ($\lambda x. \bigsqcup i. F i x$)
{proof}

if-then-else is continuous

lemma *cont-if* [simp, cont2cont]: *cont* $f \implies \text{cont } g \implies \text{cont } (\lambda x. \text{if } b \text{ then } f x \text{ else } g x)$
{proof}

3.5 Finite chains and flat pcpos

Monotone functions map finite chains to finite chains.

lemma *monofun-finch2finch*: *monofun* $f \implies \text{finite-chain } Y \implies \text{finite-chain } (\lambda n. f (Y n))$

⟨proof⟩

The same holds for continuous functions.

lemma *cont-finch2finch*: *cont f* \Rightarrow *finite-chain Y* \Rightarrow *finite-chain* ($\lambda n. f (Y n)$)
⟨proof⟩

All monotone functions with chain-finite domain are continuous.

lemma *chfindom-monofun2cont*: *monofun f* \Rightarrow *cont f*
for *f* :: ‘*a*:*chfin* \Rightarrow ‘*b*
⟨proof⟩

All strict functions with flat domain are continuous.

lemma *flatdom-strict2mono*: *f ⊥ = ⊥* \Rightarrow *monofun f*
for *f* :: ‘*a*:*flat* \Rightarrow ‘*b*:*pcpo*
⟨proof⟩

lemma *flatdom-strict2cont*: *f ⊥ = ⊥* \Rightarrow *cont f*
for *f* :: ‘*a*:*flat* \Rightarrow ‘*b*:*pcpo*
⟨proof⟩

All functions with discrete domain are continuous.

lemma *cont-discrete-cpo* [*simp*, *cont2cont*]: *cont f*
for *f* :: ‘*a*:*discrete-cpo* \Rightarrow ‘*b*
⟨proof⟩

4 Admissibility and compactness

4.1 Definitions

context *cpo*
begin

definition *adm* :: (*'a* \Rightarrow *bool*) \Rightarrow *bool*
where *adm P* \longleftrightarrow ($\forall Y. \text{chain } Y \longrightarrow (\forall i. P (Y i)) \longrightarrow P (\bigsqcup i. Y i)$)

lemma *admI*: ($\bigwedge Y. [\text{chain } Y; \forall i. P (Y i)] \Rightarrow P (\bigsqcup i. Y i)$) \Rightarrow *adm P*
⟨proof⟩

lemma *admD*: *adm P* \Rightarrow *chain Y* \Rightarrow ($\bigwedge i. P (Y i)$) \Rightarrow *P* ($\bigsqcup i. Y i$)
⟨proof⟩

lemma *admD2*: *adm* ($\lambda x. \neg P x$) \Rightarrow *chain Y* \Rightarrow *P* ($\bigsqcup i. Y i$) \Rightarrow $\exists i. P (Y i)$
⟨proof⟩

lemma *triv-admI*: $\forall x. P x \Rightarrow adm P$
⟨proof⟩

end

4.2 Admissibility on chain-finite types

For chain-finite (easy) types every formula is admissible.

```
lemma adm-chfin [simp]: adm P for P :: 'a::chfin  $\Rightarrow$  bool
  ⟨proof⟩
```

4.3 Admissibility of special formulae and propagation

```
context cpo
begin
```

```
lemma adm-const [simp]: adm ( $\lambda x. t$ )
  ⟨proof⟩
```

```
lemma adm-conj [simp]: adm ( $\lambda x. P x$ )  $\Rightarrow$  adm ( $\lambda x. Q x$ )  $\Rightarrow$  adm ( $\lambda x. P x \wedge Q x$ )
  ⟨proof⟩
```

```
lemma adm-all [simp]: ( $\bigwedge y. \text{adm} (\lambda x. P x y)$ )  $\Rightarrow$  adm ( $\lambda x. \forall y. P x y$ )
  ⟨proof⟩
```

```
lemma adm-ball [simp]: ( $\bigwedge y. y \in A \Rightarrow \text{adm} (\lambda x. P x y)$ )  $\Rightarrow$  adm ( $\lambda x. \forall y \in A. P x y$ )
  ⟨proof⟩
```

Admissibility for disjunction is hard to prove. It requires 2 lemmas.

```
lemma adm-disj-lemma1:
  assumes adm: adm P
  assumes chain: chain Y
  assumes P:  $\forall i. \exists j \geq i. P (Y j)$ 
  shows P ( $\bigsqcup i. Y i$ )
  ⟨proof⟩
```

```
lemma adm-disj-lemma2:  $\forall n::nat. P n \vee Q n \Rightarrow (\forall i. \exists j \geq i. P j) \vee (\forall i. \exists j \geq i. Q j)$ 
  ⟨proof⟩
```

```
lemma adm-disj [simp]: adm ( $\lambda x. P x$ )  $\Rightarrow$  adm ( $\lambda x. Q x$ )  $\Rightarrow$  adm ( $\lambda x. P x \vee Q x$ )
  ⟨proof⟩
```

```
lemma adm-imp [simp]: adm ( $\lambda x. \neg P x$ )  $\Rightarrow$  adm ( $\lambda x. Q x$ )  $\Rightarrow$  adm ( $\lambda x. P x \rightarrow Q x$ )
  ⟨proof⟩
```

```
lemma adm-iff [simp]: adm ( $\lambda x. P x \rightarrow Q x$ )  $\Rightarrow$  adm ( $\lambda x. Q x \rightarrow P x$ )  $\Rightarrow$ 
  adm ( $\lambda x. P x \longleftrightarrow Q x$ )
  ⟨proof⟩
```

end

admissibility and continuity

lemma *adm-below* [*simp*]: *cont* ($\lambda x. u x$) \implies *cont* ($\lambda x. v x$) \implies *adm* ($\lambda x. u x \sqsubseteq v x$)
⟨proof⟩

lemma *adm-eq* [*simp*]: *cont* ($\lambda x. u x$) \implies *cont* ($\lambda x. v x$) \implies *adm* ($\lambda x. u x = v x$)
⟨proof⟩

lemma *adm-subst*: *cont* ($\lambda x. t x$) \implies *adm* *P* \implies *adm* ($\lambda x. P (t x)$)
⟨proof⟩

lemma *adm-not-below* [*simp*]: *cont* ($\lambda x. t x$) \implies *adm* ($\lambda x. t x \not\sqsubseteq u$)
⟨proof⟩

4.4 Compactness

context *cpo*
begin

definition *compact* :: $'a \Rightarrow \text{bool}$
where *compact k* = *adm* ($\lambda x. k \not\sqsubseteq x$)

lemma *compactI*: *adm* ($\lambda x. k \not\sqsubseteq x$) \implies *compact k*
⟨proof⟩

lemma *compactD*: *compact k* \implies *adm* ($\lambda x. k \not\sqsubseteq x$)
⟨proof⟩

lemma *compactI2*: $(\bigwedge Y. [\![\text{chain } Y; x \sqsubseteq (\bigsqcup i. Y i)]\!] \implies \exists i. x \sqsubseteq Y i) \implies \text{compact } x$
⟨proof⟩

lemma *compactD2*: *compact x* \implies *chain Y* \implies $x \sqsubseteq (\bigsqcup i. Y i) \implies \exists i. x \sqsubseteq Y i$
⟨proof⟩

lemma *compact-below-lub-iff*: *compact x* \implies *chain Y* \implies $x \sqsubseteq (\bigsqcup i. Y i) \longleftrightarrow (\exists i. x \sqsubseteq Y i)$
⟨proof⟩

end

lemma *compact-chfin* [*simp*]: *compact x* **for** *x* :: $'a::\text{chfin}$
⟨proof⟩

lemma *compact-imp-max-in-chain*: *chain Y* \implies *compact* ($\bigsqcup i. Y i$) $\implies \exists i. \text{max-in-chain}_i Y$
⟨proof⟩

admissibility and compactness

lemma *adm-compact-not-below* [*simp*]:
compact $k \implies \text{cont}(\lambda x. t x) \implies \text{adm}(\lambda x. k \not\sqsubseteq t x)$
⟨proof⟩

lemma *adm-neq-compact* [*simp*]: *compact* $k \implies \text{cont}(\lambda x. t x) \implies \text{adm}(\lambda x. t x \neq k)$
⟨proof⟩

lemma *adm-compact-neq* [*simp*]: *compact* $k \implies \text{cont}(\lambda x. t x) \implies \text{adm}(\lambda x. k \neq t x)$
⟨proof⟩

lemma *compact-bottom* [*simp, intro*]: *compact* \perp
⟨proof⟩

Any upward-closed predicate is admissible.

lemma *adm-upward*:
assumes $P: \bigwedge x y. \llbracket P x; x \sqsubseteq y \rrbracket \implies P y$
shows *adm* P
⟨proof⟩

lemmas *adm-lemmas* =
adm-const *adm-conj* *adm-all* *adm-ball* *adm-disj* *adm-imp* *adm-iff*
adm-below *adm-eq* *adm-not-below*
adm-compact-not-below *adm-compact-neq* *adm-neq-compact*

5 Class instances for the full function space

5.1 Full function space is a partial order

instantiation *fun* :: (*type, below*) *below*
begin

definition *below-fun-def*: $(\sqsubseteq) \equiv (\lambda f g. \forall x. f x \sqsubseteq g x)$

instance *⟨proof⟩*
end

instance *fun* :: (*type, po*) *po*
⟨proof⟩

lemma *fun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f x \sqsubseteq g x)$
⟨proof⟩

lemma *fun-belowI*: $(\bigwedge x. f x \sqsubseteq g x) \implies f \sqsubseteq g$
⟨proof⟩

lemma *fun-belowD*: $f \sqsubseteq g \implies f x \sqsubseteq g x$
(proof)

5.2 Full function space is chain complete

Properties of chains of functions.

lemma *fun-chain-iff*: $\text{chain } S \longleftrightarrow (\forall x. \text{chain } (\lambda i. S i x))$
(proof)

lemma *ch2ch-fun*: $\text{chain } S \implies \text{chain } (\lambda i. S i x)$
(proof)

lemma *ch2ch-lambda*: $(\bigwedge x. \text{chain } (\lambda i. S i x)) \implies \text{chain } S$
(proof)

Type ' $a \Rightarrow b$ ' is chain complete

lemma *is-lub-lambda*: $(\bigwedge x. \text{range } (\lambda i. Y i x) <<| f x) \implies \text{range } Y <<| f$
(proof)

lemma *is-lub-fun*: $\text{chain } S \implies \text{range } S <<| (\lambda x. \bigsqcup i. S i x)$
for $S :: \text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b$
(proof)

lemma *lub-fun*: $\text{chain } S \implies (\bigsqcup i. S i) = (\lambda x. \bigsqcup i. S i x)$
for $S :: \text{nat} \Rightarrow 'a::\text{type} \Rightarrow 'b$
(proof)

instance *fun* :: (*type*, *cpo*) *cpo*
(proof)

instance *fun* :: (*type*, *discrete-cpo*) *discrete-cpo*
(proof)

5.3 Full function space is pointed

lemma *minimal-fun*: $(\lambda x. \perp) \sqsubseteq f$
(proof)

instance *fun* :: (*type*, *pcpo*) *pcpo*
(proof)

lemma *inst-fun-pcpo*: $\perp = (\lambda x. \perp)$
(proof)

lemma *app-strict [simp]*: $\perp x = \perp$
(proof)

lemma *lambda-strict*: $(\lambda x. \perp) = \perp$
(proof)

5.4 Propagation of monotonicity and continuity

The lub of a chain of monotone functions is monotone.

lemma *adm-monofun*: *adm monofun*
⟨proof⟩

The lub of a chain of continuous functions is continuous.

lemma *adm-cont*: *adm cont*
⟨proof⟩

Function application preserves monotonicity and continuity.

lemma *mono2mono-fun*: *monofun f* \implies *monofun* $(\lambda x. f x y)$
⟨proof⟩

lemma *cont2cont-fun*: *cont f* \implies *cont* $(\lambda x. f x y)$
⟨proof⟩

lemma *cont-fun*: *cont* $(\lambda f. f x)$
⟨proof⟩

Lambda abstraction preserves monotonicity and continuity. (Note $(\lambda x. \lambda y. f x y) = f$.)

lemma *mono2mono-lambda*: $(\bigwedge y. \text{monofun} (\lambda x. f x y)) \implies \text{monofun } f$
⟨proof⟩

lemma *cont2cont-lambda* [simp]:
assumes *f*: $\bigwedge y. \text{cont} (\lambda x. f x y)$
shows *cont f*
⟨proof⟩

What D.A.Schmidt calls continuity of abstraction; never used here

lemma *contlub-lambda*: $(\bigwedge x. \text{chain} (\lambda i. S i x)) \implies (\lambda x. \bigsqcup i. S i x) = (\bigsqcup i. (\lambda x. S i x))$
for *S* :: *nat* \Rightarrow ‘*a*::*type* \Rightarrow ‘*b*
⟨proof⟩

6 The cpo of cartesian products

6.1 Unit type is a pcpo

instantiation *unit* :: *discrete-cpo*
begin

definition *below-unit-def* [simp]: $x \sqsubseteq (y::\text{unit}) \longleftrightarrow \text{True}$

instance
⟨proof⟩

```
end
```

```
instance unit :: pcpo
⟨proof⟩
```

6.2 Product type is a partial order

```
instantiation prod :: (below, below) below
begin
```

```
definition below-prod-def: ( $\sqsubseteq$ )  $\equiv \lambda p1\ p2. (fst\ p1 \sqsubseteq fst\ p2 \wedge snd\ p1 \sqsubseteq snd\ p2)$ 
```

```
instance ⟨proof⟩
```

```
end
```

```
instance prod :: (po, po) po
⟨proof⟩
```

6.3 Monotonicity of *Pair*, *fst*, *snd*

```
lemma prod-belowI: fst p  $\sqsubseteq$  fst q  $\implies$  snd p  $\sqsubseteq$  snd q  $\implies$  p  $\sqsubseteq$  q
⟨proof⟩
```

```
lemma Pair-below-iff [simp]: (a, b)  $\sqsubseteq$  (c, d)  $\longleftrightarrow$  a  $\sqsubseteq$  c  $\wedge$  b  $\sqsubseteq$  d
⟨proof⟩
```

Pair (-,-) is monotone in both arguments

```
lemma monofun-pair1: monofun ( $\lambda x. (x, y)$ )
⟨proof⟩
```

```
lemma monofun-pair2: monofun ( $\lambda y. (x, y)$ )
⟨proof⟩
```

```
lemma monofun-pair: x1  $\sqsubseteq$  x2  $\implies$  y1  $\sqsubseteq$  y2  $\implies$  (x1, y1)  $\sqsubseteq$  (x2, y2)
⟨proof⟩
```

```
lemma ch2ch-Pair [simp]: chain X  $\implies$  chain Y  $\implies$  chain ( $\lambda i. (X i, Y i)$ )
⟨proof⟩
```

fst and *snd* are monotone

```
lemma fst-monofun: x  $\sqsubseteq$  y  $\implies$  fst x  $\sqsubseteq$  fst y
⟨proof⟩
```

```
lemma snd-monofun: x  $\sqsubseteq$  y  $\implies$  snd x  $\sqsubseteq$  snd y
⟨proof⟩
```

```
lemma monofun-fst: monofun fst
⟨proof⟩
```

```

lemma monofun-snd: monofun snd
  ⟨proof⟩

lemmas ch2ch-fst [simp] = ch2ch-monofun [OF monofun-fst]

lemmas ch2ch-snd [simp] = ch2ch-monofun [OF monofun-snd]

lemma prod-chain-cases:
  assumes chain: chain Y
  obtains A B
  where chain A and chain B and Y = (λi. (A i, B i))
  ⟨proof⟩

```

6.4 Product type is a cpo

```

lemma is-lub-Pair: range A <<| x ==> range B <<| y ==> range (λi. (A i, B i))
<<| (x, y)
  ⟨proof⟩

```

```

lemma lub-Pair: chain A ==> chain B ==> (⊔ i. (A i, B i)) = (⊔ i. A i, ⊔ i. B i)
  for A :: nat ⇒ 'a and B :: nat ⇒ 'b
  ⟨proof⟩

```

```

lemma is-lub-prod:
  fixes S :: nat ⇒ ('a × 'b)
  assumes chain S
  shows range S <<| (⊔ i. fst (S i), ⊔ i. snd (S i))
  ⟨proof⟩

```

```

lemma lub-prod: chain S ==> (⊔ i. S i) = (⊔ i. fst (S i), ⊔ i. snd (S i))
  for S :: nat ⇒ 'a × 'b
  ⟨proof⟩

```

```

instance prod :: (cpo, cpo) cpo
  ⟨proof⟩

```

```

instance prod :: (discrete-cpo, discrete-cpo) discrete-cpo
  ⟨proof⟩

```

6.5 Product type is pointed

```

lemma minimal-prod: (⊥, ⊥) ⊑ p
  ⟨proof⟩

```

```

instance prod :: (pcpo, pcpo) pcpo
  ⟨proof⟩

```

```

lemma inst-prod-pcpo: ⊥ = (⊥, ⊥)
  ⟨proof⟩

```

lemma *Pair-bottom-iff* [simp]: $(x, y) = \perp \longleftrightarrow x = \perp \wedge y = \perp$
 $\langle proof \rangle$

lemma *fst-strict* [simp]: $fst \perp = \perp$
 $\langle proof \rangle$

lemma *snd-strict* [simp]: $snd \perp = \perp$
 $\langle proof \rangle$

lemma *Pair-strict* [simp]: $(\perp, \perp) = \perp$
 $\langle proof \rangle$

lemma *split-strict* [simp]: *case-prod f* $\perp = f \perp \perp$
 $\langle proof \rangle$

6.6 Continuity of *Pair*, *fst*, *snd*

lemma *cont-pair1*: *cont* $(\lambda x. (x, y))$
 $\langle proof \rangle$

lemma *cont-pair2*: *cont* $(\lambda y. (x, y))$
 $\langle proof \rangle$

lemma *cont-fst*: *cont fst*
 $\langle proof \rangle$

lemma *cont-snd*: *cont snd*
 $\langle proof \rangle$

lemma *cont2cont-Pair* [simp, *cont2cont*]:
assumes $f: cont (\lambda x. f x)$
assumes $g: cont (\lambda x. g x)$
shows *cont* $(\lambda x. (f x, g x))$
 $\langle proof \rangle$

lemmas *cont2cont-fst* [simp, *cont2cont*] = *cont-compose* [OF *cont-fst*]

lemmas *cont2cont-snd* [simp, *cont2cont*] = *cont-compose* [OF *cont-snd*]

lemma *cont2cont-case-prod*:
assumes $f1: \bigwedge a b. cont (\lambda x. f x a b)$
assumes $f2: \bigwedge x b. cont (\lambda a. f x a b)$
assumes $f3: \bigwedge x a. cont (\lambda b. f x a b)$
assumes $g: cont (\lambda x. g x)$
shows *cont* $(\lambda x. case g x of (a, b) \Rightarrow f x a b)$
 $\langle proof \rangle$

lemma *prod-contI*:

```

assumes f1:  $\bigwedge y. \text{cont}(\lambda x. f(x, y))$ 
assumes f2:  $\bigwedge x. \text{cont}(\lambda y. f(x, y))$ 
shows cont f
⟨proof⟩

lemma prod-cont-iff: cont f  $\longleftrightarrow (\forall y. \text{cont}(\lambda x. f(x, y))) \wedge (\forall x. \text{cont}(\lambda y. f(x, y)))$ 
⟨proof⟩

lemma cont2cont-case-prod' [simp, cont2cont]:
assumes f: cont ( $\lambda p. f(\text{fst } p) (\text{fst } (\text{snd } p)) (\text{snd } (\text{snd } p))$ )
assumes g: cont ( $\lambda x. g x$ )
shows cont ( $\lambda x. \text{case-prod}(f x) (g x)$ )
⟨proof⟩

```

The simple version (due to Joachim Breitner) is needed if either element type of the pair is not a cpo.

```

lemma cont2cont-split-simple [simp, cont2cont]:
assumes  $\bigwedge a b. \text{cont}(\lambda x. f x a b)$ 
shows cont ( $\lambda x. \text{case } p \text{ of } (a, b) \Rightarrow f x a b$ )
⟨proof⟩

```

Admissibility of predicates on product types.

```

lemma adm-case-prod [simp]:
assumes adm ( $\lambda x. P x (\text{fst } (f x)) (\text{snd } (f x))$ )
shows adm ( $\lambda x. \text{case } f x \text{ of } (a, b) \Rightarrow P x a b$ )
⟨proof⟩

```

6.7 Compactness and chain-finiteness

```

lemma fst-below-iff: fst x ⊑ y  $\longleftrightarrow x \sqsubseteq (y, \text{snd } x)$  for x :: 'a × 'b
⟨proof⟩

```

```

lemma snd-below-iff: snd x ⊑ y  $\longleftrightarrow x \sqsubseteq (\text{fst } x, y)$  for x :: 'a × 'b
⟨proof⟩

```

```

lemma compact-fst: compact x  $\implies$  compact (fst x)
⟨proof⟩

```

```

lemma compact-snd: compact x  $\implies$  compact (snd x)
⟨proof⟩

```

```

lemma compact-Pair: compact x  $\implies$  compact y  $\implies$  compact (x, y)
⟨proof⟩

```

```

lemma compact-Pair-iff [simp]: compact (x, y)  $\longleftrightarrow$  compact x  $\wedge$  compact y
⟨proof⟩

```

```

instance prod :: (chfin, chfin) chfin

```

$\langle proof \rangle$

7 Discrete cpo types

datatype '*a* *discr* = *Discr* '*a*::*type*

7.1 Discrete cpo class instance

instantiation *discr* :: (*type*) discrete-cpo

begin

definition ((\sqsubseteq) :: '*a* *discr* \Rightarrow '*a* *discr* \Rightarrow *bool*) = (=)

instance

$\langle proof \rangle$

end

7.2 *undiscr*

definition *undiscr* :: '*a*::*type* *discr* \Rightarrow '*a*

where *undiscr* *x* = (case *x* of *Discr* *y* \Rightarrow *y*)

lemma *undiscr-Discr* [simp]: *undiscr* (*Discr* *x*) = *x*

$\langle proof \rangle$

lemma *Discr-undiscr* [simp]: *Discr* (*undiscr* *y*) = *y*

$\langle proof \rangle$

end

8 Subtypes of pcpos

theory *Cpodef*

imports *Cpo*

keywords *pcpodef cpodef* :: thy-goal-defn

begin

8.1 Proving a subtype is a partial order

A subtype of a partial order is itself a partial order, if the ordering is defined in the standard way.

theorem (in *below*) *typedef-class-po*:

fixes *Abs* :: '*b*::*po* \Rightarrow '*a*

assumes *type*: *type-definition* *Rep Abs A*

and *below*: (\sqsubseteq) $\equiv \lambda x\ y.\ Rep\ x \sqsubseteq Rep\ y$

shows *class.po below*

$\langle proof \rangle$

```
lemmas typedef-po-class = below	typedef-class-po [THEN po.intro-of-class]
```

8.2 Proving a subtype is finite

```
lemma typedef-finite-UNIV:
  fixes Abs :: 'a::type ⇒ 'b::type
  assumes type: type-definition Rep Abs A
  shows finite A ⇒ finite (UNIV :: 'b set)
  ⟨proof⟩
```

8.3 Proving a subtype is chain-finite

```
lemma ch2ch-Rep:
  assumes below: (≤) ≡ λx y. Rep x ⊑ Rep y
  shows chain S ⇒ chain (λi. Rep (S i))
  ⟨proof⟩
```

```
theorem typedef-chfin:
  fixes Abs :: 'a::chfin ⇒ 'b::po
  assumes type: type-definition Rep Abs A
  and below: (≤) ≡ λx y. Rep x ⊑ Rep y
  shows OFCLASS('b, chfin-class)
  ⟨proof⟩
```

8.4 Proving a subtype is complete

A subtype of a cpo is itself a cpo if the ordering is defined in the standard way, and the defining subset is closed with respect to limits of chains. A set is closed if and only if membership in the set is an admissible predicate.

```
lemma typedef-is-lubI:
  assumes below: (≤) ≡ λx y. Rep x ⊑ Rep y
  shows range (λi. Rep (S i)) <<| Rep x ⇒ range S <<| x
  ⟨proof⟩
```

```
lemma Abs-inverse-lub-Rep:
  fixes Abs :: 'a::cpo ⇒ 'b::po
  assumes type: type-definition Rep Abs A
  and below: (≤) ≡ λx y. Rep x ⊑ Rep y
  and adm: adm (λx. x ∈ A)
  shows chain S ⇒ Rep (Abs (⊔ i. Rep (S i))) = (⊔ i. Rep (S i))
  ⟨proof⟩
```

```
theorem typedef-is-lub:
  fixes Abs :: 'a::cpo ⇒ 'b::po
  assumes type: type-definition Rep Abs A
  and below: (≤) ≡ λx y. Rep x ⊑ Rep y
  and adm: adm (λx. x ∈ A)
  assumes S: chain S
```

```
shows range  $S <<| Abs (\bigsqcup i. Rep (S i))$ 
⟨proof⟩
```

```
lemmas typedef-lub = typedef-is-lub [THEN lub-eqI]
```

```
theorem typedef-cpo:
  fixes  $Abs :: 'a::cpo \Rightarrow 'b::po$ 
  assumes type: type-definition  $Rep\ Abs\ A$ 
    and below:  $(\sqsubseteq) \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
  shows OFCLASS('b, cpo-class)
⟨proof⟩
```

8.4.1 Continuity of *Rep* and *Abs*

For any sub-cpo, the *Rep* function is continuous.

```
theorem typedef-cont-Rep:
  fixes  $Abs :: 'a::cpo \Rightarrow 'b::cpo$ 
  assumes type: type-definition  $Rep\ Abs\ A$ 
    and below:  $(\sqsubseteq) \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
  shows cont  $(\lambda x. f\ x) \implies cont (\lambda x. Rep\ (f\ x))$ 
⟨proof⟩
```

For a sub-cpo, we can make the *Abs* function continuous only if we restrict its domain to the defining subset by composing it with another continuous function.

```
theorem typedef-cont-Abs:
  fixes  $Abs :: 'a::cpo \Rightarrow 'b::cpo$ 
  fixes  $f :: 'c::cpo \Rightarrow 'a::cpo$ 
  assumes type: type-definition  $Rep\ Abs\ A$ 
    and below:  $(\sqsubseteq) \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
    and f-in-A:  $\bigwedge x. f\ x \in A$ 
  shows cont  $f \implies cont (\lambda x. Abs\ (f\ x))$ 
⟨proof⟩
```

8.5 Proving subtype elements are compact

```
theorem typedef-compact:
  fixes  $Abs :: 'a::cpo \Rightarrow 'b::cpo$ 
  assumes type: type-definition  $Rep\ Abs\ A$ 
    and below:  $(\sqsubseteq) \equiv \lambda x\ y. Rep\ x \sqsubseteq Rep\ y$ 
    and adm: adm  $(\lambda x. x \in A)$ 
  shows compact  $(Rep\ k) \implies compact\ k$ 
⟨proof⟩
```

8.6 Proving a subtype is pointed

A subtype of a cpo has a least element if and only if the defining subset has a least element.

```
theorem typedef-pcpo-generic:
  fixes Abs :: 'a::cpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and z-in-A: z  $\in$  A
    and z-least:  $\bigwedge x. x \in A \implies z \sqsubseteq x$ 
  shows OFCLASS('b, pcpo-class)
  ⟨proof⟩
```

As a special case, a subtype of a pcpo has a least element if the defining subset contains \perp .

```
theorem typedef-pcpo:
  fixes Abs :: 'a::pcpo  $\Rightarrow$  'b::cpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows OFCLASS('b, pcpo-class)
  ⟨proof⟩
```

8.6.1 Strictness of *Rep* and *Abs*

For a sub-pcpo where \perp is a member of the defining subset, *Rep* and *Abs* are both strict.

```
theorem typedef-Abs-strict:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows Abs  $\perp = \perp$ 
  ⟨proof⟩
```

```
theorem typedef-Rep-strict:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows Rep  $\perp = \perp$ 
  ⟨proof⟩
```

```
theorem typedef-Abs-bottom-iff:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows  $x \in A \implies (\text{Abs } x = \perp) = (x = \perp)$ 
  ⟨proof⟩
```

```

theorem typedef-Rep-bottom-iff:
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows ( $\text{Rep } x = \perp$ )  $= (x = \perp)$ 
  {proof}

```

8.7 Proving a subtype is flat

```

theorem typedef-flat:
  fixes Abs :: 'a::flat  $\Rightarrow$  'b::pcpo
  assumes type: type-definition Rep Abs A
    and below: ( $\sqsubseteq$ )  $\equiv \lambda x y. \text{Rep } x \sqsubseteq \text{Rep } y$ 
    and bottom-in-A:  $\perp \in A$ 
  shows OFCLASS('b, flat-class)
  {proof}

```

8.8 HOLCF type definition package

{ML}

end

9 The type of continuous functions

```

theory Cfun
  imports Cpodef
begin

```

9.1 Definition of continuous function type

definition cfun = {f::'a \Rightarrow 'b. cont f}

```

cpodef ('a, 'b) cfun ((notation=infix  $\rightarrow\rightarrow$ -  $\rightarrow/\perp$ ) [1, 0] 0) = cfun :: ('a  $\Rightarrow$  'b) set
{proof}

```

type-notation (ASCII)
 cfun (**infixr** $\leftarrow\rightarrow$ 0)

notation (ASCII)
 Rep-cfun ((*notation*=*infix* \$-\$) [999,1000] 999)

notation
 Rep-cfun ((*notation*=*infix* $\cdot\cdot/\perp$) [999,1000] 999)

9.2 Syntax for continuous lambda abstraction

syntax -cabs :: [*logic*, *logic*] \Rightarrow *logic*

$\langle ML \rangle$

Syntax for nested abstractions

syntax (*ASCII*)

-*Lambda* :: [*cargs*, *logic*] \Rightarrow *logic* ((*indent*=3 *notation*=*binder LAM*)*LAM* -./ -) [1000, 10] 10)

syntax

-*Lambda* :: [*cargs*, *logic*] \Rightarrow *logic* ((*indent*=3 *notation*=*binder Λ*)*Λ* -./ -) [1000, 10] 10)

syntax-consts

-*Lambda* \Leftarrow *Abs-cfun*

$\langle ML \rangle$

Dummy patterns for continuous abstraction

translations

$\Lambda _ \cdot t \rightarrow CONST\ Abs\text{-}cfun\ (\lambda _ \cdot t)$

9.3 Continuous function space is pointed

lemma *bottom-cfun*: $\perp \in cfun$

$\langle proof \rangle$

instance *cfun* :: (*cpo*, *discrete-cpo*) *discrete-cpo*
 $\langle proof \rangle$

instance *cfun* :: (*cpo*, *pcpo*) *pcpo*
 $\langle proof \rangle$

lemmas *Rep-cfun-strict* =
typedef-Rep-strict [OF *type-definition-cfun below-cfun-def bottom-cfun*]

lemmas *Abs-cfun-strict* =
typedef-Abs-strict [OF *type-definition-cfun below-cfun-def bottom-cfun*]

function application is strict in its first argument

lemma *Rep-cfun-strict1* [*simp*]: $\perp \cdot x = \perp$
 $\langle proof \rangle$

lemma *LAM-strict* [*simp*]: $(\Lambda x. \perp) = \perp$
 $\langle proof \rangle$

for compatibility with old HOLCF-Version

lemma *inst-cfun-pcpo*: $\perp = (\Lambda x. \perp)$
 $\langle proof \rangle$

9.4 Basic properties of continuous functions

Beta-equality for continuous functions

lemma *Abs-cfun-inverse2*: $\text{cont } f \implies \text{Rep-cfun} (\text{Abs-cfun } f) = f$
 $\langle \text{proof} \rangle$

lemma *beta-cfun*: $\text{cont } f \implies (\Lambda x. f x) \cdot u = f u$
 $\langle \text{proof} \rangle$

9.4.1 Beta-reduction simproc

Given the term $(\Lambda x. f x) \cdot y$, the procedure tries to construct the theorem $(\Lambda x. f x) \cdot y \equiv f y$. If this theorem cannot be completely solved by the cont2cont rules, then the procedure returns the ordinary conditional *beta-cfun* rule.

The simproc does not solve any more goals that would be solved by using *beta-cfun* as a simp rule. The advantage of the simproc is that it can avoid deeply-nested calls to the simplifier that would otherwise be caused by large continuity side conditions.

Update: The simproc now uses rule *Abs-cfun-inverse2* instead of *beta-cfun*, to avoid problems with eta-contraction.

$\langle \text{ML} \rangle$

Eta-equality for continuous functions

lemma *eta-cfun*: $(\Lambda x. f \cdot x) = f$
 $\langle \text{proof} \rangle$

Extensionality for continuous functions

lemma *cfun-eq-iff*: $f = g \longleftrightarrow (\forall x. f \cdot x = g \cdot x)$
 $\langle \text{proof} \rangle$

lemma *cfun-eqI*: $(\bigwedge x. f \cdot x = g \cdot x) \implies f = g$
 $\langle \text{proof} \rangle$

Extensionality wrt. ordering for continuous functions

lemma *cfun-below-iff*: $f \sqsubseteq g \longleftrightarrow (\forall x. f \cdot x \sqsubseteq g \cdot x)$
 $\langle \text{proof} \rangle$

lemma *cfun-belowI*: $(\bigwedge x. f \cdot x \sqsubseteq g \cdot x) \implies f \sqsubseteq g$
 $\langle \text{proof} \rangle$

Congruence for continuous function application

lemma *cfun-cong*: $f = g \implies x = y \implies f \cdot x = g \cdot y$
 $\langle \text{proof} \rangle$

lemma *cfun-fun-cong*: $f = g \implies f \cdot x = g \cdot x$
 $\langle \text{proof} \rangle$

lemma *cfun-arg-cong*: $x = y \implies f \cdot x = f \cdot y$
 $\langle proof \rangle$

9.5 Continuity of application

lemma *cont-Rep-cfun1*: $cont (\lambda f. f \cdot x)$
 $\langle proof \rangle$

lemma *cont-Rep-cfun2*: $cont (\lambda x. f \cdot x)$
 $\langle proof \rangle$

lemmas *monofun-Rep-cfun* = *cont-Rep-cfun* [THEN *cont2mono*]

lemmas *monofun-Rep-cfun1* = *cont-Rep-cfun1* [THEN *cont2mono*]
lemmas *monofun-Rep-cfun2* = *cont-Rep-cfun2* [THEN *cont2mono*]

contlub, *cont* properties of *Rep-cfun* in each argument

lemma *contlub-cfun-arg*: $chain Y \implies f \cdot (\bigsqcup i. Y i) = (\bigsqcup i. f \cdot (Y i))$
 $\langle proof \rangle$

lemma *contlub-cfun-fun*: $chain F \implies (\bigsqcup i. F i) \cdot x = (\bigsqcup i. F i \cdot x)$
 $\langle proof \rangle$

monotonicity of application

lemma *monofun-cfun-fun*: $f \sqsubseteq g \implies f \cdot x \sqsubseteq g \cdot x$
 $\langle proof \rangle$

lemma *monofun-cfun-arg*: $x \sqsubseteq y \implies f \cdot x \sqsubseteq f \cdot y$
 $\langle proof \rangle$

lemma *monofun-cfun*: $f \sqsubseteq g \implies x \sqsubseteq y \implies f \cdot x \sqsubseteq g \cdot y$
 $\langle proof \rangle$

ch2ch - rules for the type '*a* → '*b*

lemma *chain-monofun*: $chain Y \implies chain (\lambda i. f \cdot (Y i))$
 $\langle proof \rangle$

lemma *ch2ch-Rep-cfunR*: $chain Y \implies chain (\lambda i. f \cdot (Y i))$
 $\langle proof \rangle$

lemma *ch2ch-Rep-cfunL*: $chain F \implies chain (\lambda i. (F i) \cdot x)$
 $\langle proof \rangle$

lemma *ch2ch-Rep-cfun* [simp]: $chain F \implies chain Y \implies chain (\lambda i. (F i) \cdot (Y i))$
 $\langle proof \rangle$

lemma *ch2ch-LAM* [simp]:
 $(\bigwedge x. chain (\lambda i. S i x)) \implies (\bigwedge i. cont (\lambda x. S i x)) \implies chain (\lambda i. \Lambda x. S i x)$

$\langle proof \rangle$

contlub, cont properties of *Rep-cfun* in both arguments

lemma *lub-APP*: $chain F \implies chain Y \implies (\bigsqcup i. F i \cdot (Y i)) = (\bigsqcup i. F i) \cdot (\bigsqcup i. Y i)$
 $\langle proof \rangle$

lemma *lub-LAM*:

assumes $\bigwedge x. chain (\lambda i. F i x)$
and $\bigwedge i. cont (\lambda x. F i x)$
shows $(\bigsqcup i. \Lambda x. F i x) = (\Lambda x. \bigsqcup i. F i x)$
 $\langle proof \rangle$

lemmas *lub-distrib*s = *lub-APP* *lub-LAM*

strictness

lemma *strictI*: $f \cdot x = \perp \implies f \cdot \perp = \perp$
 $\langle proof \rangle$

type '*a* → '*b* is chain complete

lemma *lub-cfun*: $chain F \implies (\bigsqcup i. F i) = (\Lambda x. \bigsqcup i. F i \cdot x)$
 $\langle proof \rangle$

9.6 Continuity simplification procedure

cont2cont lemma for *Rep-cfun*

lemma *cont2cont-APP* [*simp*, *cont2cont*]:
assumes $f: cont (\lambda x. f x)$
assumes $t: cont (\lambda x. t x)$
shows $cont (\lambda x. (f x) \cdot (t x))$
 $\langle proof \rangle$

Two specific lemmas for the combination of LCF and HOL terms. These lemmas are needed in theories that use types like '*a* → '*b* ⇒ '*c*.

lemma *cont-APP-app* [*simp*]: $cont f \implies cont g \implies cont (\lambda x. ((f x) \cdot (g x)) s)$
 $\langle proof \rangle$

lemma *cont-APP-app-app* [*simp*]: $cont f \implies cont g \implies cont (\lambda x. ((f x) \cdot (g x)) s t)$
 $\langle proof \rangle$

cont2mono Lemma for $\lambda x. \Lambda y. c1 x y$

lemma *cont2mono-LAM*:
 $\llbracket \bigwedge x. cont (\lambda y. f x y); \bigwedge y. monofun (\lambda x. f x y) \rrbracket$
 $\implies monofun (\lambda x. \Lambda y. f x y)$
 $\langle proof \rangle$

cont2cont Lemma for $\lambda x. \Lambda y. f x y$

Not suitable as a cont2cont rule, because on nested lambdas it causes exponential blow-up in the number of subgoals.

```
lemma cont2cont-LAM:
  assumes f1:  $\bigwedge x. \text{cont}(\lambda y. f x y)$ 
  assumes f2:  $\bigwedge y. \text{cont}(\lambda x. f x y)$ 
  shows cont( $\lambda x. \Lambda y. f x y$ )
  ⟨proof⟩
```

This version does work as a cont2cont rule, since it has only a single subgoal.

```
lemma cont2cont-LAM' [simp, cont2cont]:
  fixes f :: 'a::cpo  $\Rightarrow$  'b::cpo  $\Rightarrow$  'c::cpo
  assumes f: cont( $\lambda p. f(fst p) (snd p)$ )
  shows cont( $\lambda x. \Lambda y. f x y$ )
  ⟨proof⟩
```

```
lemma cont2cont-LAM-discrete [simp, cont2cont]:
  ( $\bigwedge y. 'a::\text{discrete-cpo}. \text{cont}(\lambda x. f x y)) \implies \text{cont}(\lambda x. \Lambda y. f x y)$ 
  ⟨proof⟩
```

9.7 Miscellaneous

Monotonicity of *Abs-cfun*

```
lemma monofun-LAM: cont f  $\implies$  cont g  $\implies$  ( $\bigwedge x. f x \sqsubseteq g x$ )  $\implies$  ( $\Lambda x. f x$ )  $\sqsubseteq$  ( $\Lambda x. g x$ )
  ⟨proof⟩
```

some lemmata for functions with flat/chfin domain/range types

```
lemma chfin-Rep-cfunR: chain Y  $\implies$   $\forall s. \exists n. (\text{LUB } i. Y i) \cdot s = Y n \cdot s$ 
  for Y :: nat  $\Rightarrow$  'a::cpo  $\rightarrow$  'b::chfin
  ⟨proof⟩
```

```
lemma adm-chfindom: adm( $\lambda(u: 'a::\text{cpo} \rightarrow 'b::\text{chfin}). P(u \cdot s)$ )
  ⟨proof⟩
```

9.8 Continuous injection-retraction pairs

Continuous retractions are strict.

```
lemma retraction-strict:  $\forall x. f \cdot (g \cdot x) = x \implies f \cdot \perp = \perp$ 
  ⟨proof⟩
```

```
lemma injection-eq:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x = g \cdot y) = (x = y)$ 
  ⟨proof⟩
```

```
lemma injection-below:  $\forall x. f \cdot (g \cdot x) = x \implies (g \cdot x \sqsubseteq g \cdot y) = (x \sqsubseteq y)$ 
  ⟨proof⟩
```

```
lemma injection-defined-rev:  $\forall x. f \cdot (g \cdot x) = x \implies g \cdot z = \perp \implies z = \perp$ 
```

$\langle proof \rangle$

lemma injection-defined: $\forall x. f \cdot (g \cdot x) = x \implies z \neq \perp \implies g \cdot z \neq \perp$
 $\langle proof \rangle$

a result about functions with flat codomain

lemma flat-eqI: $x \sqsubseteq y \implies x \neq \perp \implies x = y$
for $x y :: 'a::flat$
 $\langle proof \rangle$

lemma flat-codom: $f \cdot x = c \implies f \cdot \perp = \perp \vee (\forall z. f \cdot z = c)$
for $c :: 'b::flat$
 $\langle proof \rangle$

9.9 Identity and composition

definition ID :: $'a \rightarrow 'a$
where $ID = (\Lambda x. x)$

definition cfcomp :: $('b \rightarrow 'c) \rightarrow ('a \rightarrow 'b) \rightarrow 'a \rightarrow 'c$
where oo-def: $cfcomp = (\Lambda f g x. f \cdot (g \cdot x))$

abbreviation cfcomp-syn :: $['b \rightarrow 'c, 'a \rightarrow 'b] \Rightarrow 'a \rightarrow 'c$ (**infixr** $\langle oo \rangle 100$)
where $f oo g == cfcomp \cdot f \cdot g$

lemma ID1 [simp]: $ID \cdot x = x$
 $\langle proof \rangle$

lemma cfcomp1: $(f oo g) = (\Lambda x. f \cdot (g \cdot x))$
 $\langle proof \rangle$

lemma cfcomp2 [simp]: $(f oo g) \cdot x = f \cdot (g \cdot x)$
 $\langle proof \rangle$

lemma cfcomp-LAM: cont g $\implies f oo (\Lambda x. g x) = (\Lambda x. f \cdot (g x))$
 $\langle proof \rangle$

lemma cfcomp-strict [simp]: $\perp oo f = \perp$
 $\langle proof \rangle$

Show that interpretation of (pcpo, $\rightarrow\!$) is a category.

- The class of objects is interpretation of syntactical class pcpo.
- The class of arrows between objects $'a$ and $'b$ is interpret. of $'a \rightarrow 'b$.
- The identity arrow is interpretation of ID .
- The composition of f and g is interpretation of oo .

```

lemma ID2 [simp]:  $f \circ o ID = f$ 
  ⟨proof⟩

lemma ID3 [simp]:  $ID \circ o f = f$ 
  ⟨proof⟩

lemma assoc-oo:  $f \circ o (g \circ o h) = (f \circ o g) \circ o h$ 
  ⟨proof⟩

```

9.10 Strictified functions

```

definition seq :: 'a::pcpo → 'b::pcpo → 'b
  where seq = ( $\Lambda x.$  if  $x = \perp$  then  $\perp$  else ID)

```

```

lemma cont2cont-if-bottom [cont2cont, simp]:
  assumes f: cont ( $\lambda x.$  f x)
  and g: cont ( $\lambda x.$  g x)
  shows cont ( $\lambda x.$  if  $f x = \perp$  then  $\perp$  else g x)
  ⟨proof⟩

```

```

lemma seq-conv-if: seq·x = (if  $x = \perp$  then  $\perp$  else ID)
  ⟨proof⟩

```

```

lemma seq-simps [simp]:
  seq· $\perp = \perp$ 
  seq·x· $\perp = \perp$ 
   $x \neq \perp \implies \text{seq}\cdot x = ID$ 
  ⟨proof⟩

```

```

definition strictify :: ('a::pcpo → 'b::pcpo) → 'a → 'b
  where strictify = ( $\Lambda f x.$  seq·x·(f·x))

```

```

lemma strictify-conv-if: strictify·f·x = (if  $x = \perp$  then  $\perp$  else f·x)
  ⟨proof⟩

```

```

lemma strictify1 [simp]: strictify·f· $\perp = \perp$ 
  ⟨proof⟩

```

```

lemma strictify2 [simp]:  $x \neq \perp \implies \text{strictify}\cdot f\cdot x = f\cdot x$ 
  ⟨proof⟩

```

9.11 Continuity of let-bindings

```

lemma cont2cont-Let:
  assumes f: cont ( $\lambda x.$  f x)
  assumes g1:  $\bigwedge y.$  cont ( $\lambda x.$  g x y)
  assumes g2:  $\bigwedge x.$  cont ( $\lambda y.$  g x y)
  shows cont ( $\lambda x.$  let y = f x in g x y)
  ⟨proof⟩

```

```
lemma cont2cont-Let' [simp, cont2cont]:
  assumes f: cont ( $\lambda x. f x$ )
  assumes g: cont ( $\lambda p. g (fst p) (snd p)$ )
  shows cont ( $\lambda x. let y = f x in g x y$ )
   $\langle proof \rangle$ 
```

The simple version (suggested by Joachim Breitner) is needed if the type of the defined term is not a cpo.

```
lemma cont2cont-Let-simple [simp, cont2cont]:
```

```
  assumes  $\bigwedge y. cont (\lambda x. g x y)$ 
  shows cont ( $\lambda x. let y = t in g x y$ )
   $\langle proof \rangle$ 
```

```
end
```

10 Continuous deflations and ep-pairs

```
theory Deflation
  imports Cfun
begin
```

10.1 Continuous deflations

```
locale deflation =
  fixes d :: ' $a \rightarrow a$ '
  assumes idem:  $\bigwedge x. d \cdot (d \cdot x) = d \cdot x$ 
  assumes below:  $\bigwedge x. d \cdot x \sqsubseteq x$ 
begin
```

```
lemma below-ID:  $d \sqsubseteq ID$ 
   $\langle proof \rangle$ 
```

The set of fixed points is the same as the range.

```
lemma fixes-eq-range:  $\{x. d \cdot x = x\} = range (\lambda x. d \cdot x)$ 
   $\langle proof \rangle$ 
```

```
lemma range-eq-fixes:  $range (\lambda x. d \cdot x) = \{x. d \cdot x = x\}$ 
   $\langle proof \rangle$ 
```

The pointwise ordering on deflation functions coincides with the subset ordering of their sets of fixed-points.

```
lemma belowI:
  assumes f:  $\bigwedge x. d \cdot x = x \implies f \cdot x = x$ 
  shows  $d \sqsubseteq f$ 
   $\langle proof \rangle$ 
```

```
lemma belowD:  $[f \sqsubseteq d; f \cdot x = x] \implies d \cdot x = x$ 
   $\langle proof \rangle$ 
```

end

lemma *deflation-strict*: *deflation d* $\implies d \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *adm-deflation*: *adm* (λd . *deflation d*)
 $\langle proof \rangle$

lemma *deflation-ID*: *deflation ID*
 $\langle proof \rangle$

lemma *deflation-bottom*: *deflation ⊥*
 $\langle proof \rangle$

lemma *deflation-below-iff*: *deflation p* \implies *deflation q* $\implies p \sqsubseteq q \longleftrightarrow (\forall x. p \cdot x = x \longrightarrow q \cdot x = x)$
 $\langle proof \rangle$

The composition of two deflations is equal to the lesser of the two (if they are comparable).

lemma *deflation-below-comp1*:
assumes *deflation f*
assumes *deflation g*
shows *f* $\sqsubseteq g \implies f \cdot (g \cdot x) = f \cdot x$
 $\langle proof \rangle$

lemma *deflation-below-comp2*: *deflation f* \implies *deflation g* $\implies f \sqsubseteq g \implies g \cdot (f \cdot x) = f \cdot x$
 $\langle proof \rangle$

10.2 Deflations with finite range

lemma *finite-range-imp-finite-fixes*:
assumes *finite (range f)*
shows *finite {x. f x = x}*
 $\langle proof \rangle$

locale *finite-deflation* = *deflation* +
assumes *finite-fixes: finite {x. d · x = x}*
begin

lemma *finite-range*: *finite (range (λx. d · x))*
 $\langle proof \rangle$

lemma *finite-image*: *finite ((λx. d · x) ` A)*
 $\langle proof \rangle$

lemma *compact*: *compact (d · x)*

```

⟨proof⟩
end

lemma finite-deflation-intro: deflation d ==> finite {x. d·x = x} ==> finite-deflation d
⟨proof⟩

lemma finite-deflation-imp-deflation: finite-deflation d ==> deflation d
⟨proof⟩

lemma finite-deflation-bottom: finite-deflation ⊥
⟨proof⟩

```

10.3 Continuous embedding-projection pairs

```

locale ep-pair =
  fixes e :: 'a → 'b and p :: 'b → 'a
  assumes e-inverse [simp]: ∀x. p·(e·x) = x
  and e-p-below: ∀y. e·(p·y) ⊑ y
begin

lemma e-below-iff [simp]: e·x ⊑ e·y ↔ x ⊑ y
⟨proof⟩

lemma e-eq-iff [simp]: e·x = e·y ↔ x = y
⟨proof⟩

lemma p-eq-iff: e·(p·x) = x ==> e·(p·y) = y ==> p·x = p·y ↔ x = y
⟨proof⟩

```

```

lemma p-inverse: (∃x. y = e·x) ↔ e·(p·y) = y
⟨proof⟩

```

```

lemma e-below-iff-below-p: e·x ⊑ y ↔ x ⊑ p·y
⟨proof⟩

```

```

lemma compact-e-rev: compact (e·x) ==> compact x
⟨proof⟩

```

```

lemma compact-e:
  assumes compact x
  shows compact (e·x)
⟨proof⟩

```

```

lemma compact-e-iff: compact (e·x) ↔ compact x
⟨proof⟩

```

Deflations from ep-pairs

```

lemma deflation-e-p: deflation (e oo p)
  ⟨proof⟩

lemma deflation-e-d-p:
  assumes deflation d
  shows deflation (e oo d oo p)
  ⟨proof⟩

lemma finite-deflation-e-d-p:
  assumes finite-deflation d
  shows finite-deflation (e oo d oo p)
  ⟨proof⟩

lemma deflation-p-d-e:
  assumes deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows deflation (p oo d oo e)
  ⟨proof⟩

lemma finite-deflation-p-d-e:
  assumes finite-deflation d
  assumes d:  $\bigwedge x. d \cdot x \sqsubseteq e \cdot (p \cdot x)$ 
  shows finite-deflation (p oo d oo e)
  ⟨proof⟩

end

```

10.4 Uniqueness of ep-pairs

```

lemma ep-pair-unique-e-lemma:
  assumes 1: ep-pair e1 p
  and 2: ep-pair e2 p
  shows e1  $\sqsubseteq$  e2
  ⟨proof⟩

lemma ep-pair-unique-e: ep-pair e1 p  $\implies$  ep-pair e2 p  $\implies$  e1 = e2
  ⟨proof⟩

lemma ep-pair-unique-p-lemma:
  assumes 1: ep-pair e p1
  and 2: ep-pair e p2
  shows p1  $\sqsubseteq$  p2
  ⟨proof⟩

```

```

lemma ep-pair-unique-p: ep-pair e p1  $\implies$  ep-pair e p2  $\implies$  p1 = p2
  ⟨proof⟩

```

10.5 Composing ep-pairs

```

lemma ep-pair-ID-ID: ep-pair ID ID

```

```

⟨proof⟩

lemma ep-pair-comp:
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (e2 oo e1) (p1 oo p2)
⟨proof⟩

locale pcpo-ep-pair = ep-pair e p
  for e :: 'a::pcpo → 'b::pcpo
  and p :: 'b::pcpo → 'a::pcpo
begin

lemma e-strict [simp]: e·⊥ = ⊥
⟨proof⟩

lemma e-bottom-iff [simp]: e·x = ⊥ ↔ x = ⊥
⟨proof⟩

lemma e-defined: x ≠ ⊥ ⇒ e·x ≠ ⊥
⟨proof⟩

lemma p-strict [simp]: p·⊥ = ⊥
⟨proof⟩

lemmas stricts = e-strict p-strict

end

end

```

11 The type of strict products

```

theory Sprod
  imports Cfun
begin

```

11.1 Definition of strict product type

```
definition sprod = {p::'a::pcpo × 'b::pcpo. p = ⊥ ∨ (fst p ≠ ⊥ ∧ snd p ≠ ⊥)}
```

```

pcpodef ('a::pcpo, 'b::pcpo) sprod ((notation= infix strict product -)  

[21,20] 20) =
  sprod :: ('a × 'b) set
⟨proof⟩

```

```

instance sprod :: ({chfin,pcpo}, {chfin,pcpo}) chfin
⟨proof⟩

```

type-notation (ASCII)

```
sprod (infixr <**> 20)
```

11.2 Definitions of constants

```
definition sfst :: ('a::pcpo ** 'b::pcpo) → 'a
  where sfst = (Λ p. fst (Rep-sprod p))

definition ssnd :: ('a::pcpo ** 'b::pcpo) → 'b
  where ssnd = (Λ p. snd (Rep-sprod p))

definition spair :: 'a::pcpo → 'b::pcpo → ('a ** 'b)
  where spair = (Λ a b. Abs-sprod (seq·b·a, seq·a·b))

definition ssplit :: ('a::pcpo → 'b::pcpo → 'c::pcpo) → ('a ** 'b) → 'c
  where ssplit = (Λ f p. seq·p·(f·(sfst·p))·(ssnd·p))
```

```
syntax
  -stuple :: [logic, args] ⇒ logic ((⟨⟨indent=1 notation='mixfix strict tuple'⟩⟩'(:-,/ :-')))

syntax-consts
  -stuple ⇌ spair
translations
  (:x, y, z:) ⇌ (:x, (y, z):)
  (:x, y:) ⇌ CONST spair·x·y

translations
  Λ(CONST spair·x·y). t ⇌ CONST ssplit·(Λ x y. t)
```

11.3 Case analysis

```
lemma spair-sprod: (seq·b·a, seq·a·b) ∈ sprod
  ⟨proof⟩

lemma Rep-sprod-spair: Rep-sprod (:a, b:) = (seq·b·a, seq·a·b)
  ⟨proof⟩

lemmas Rep-sprod-simps =
  Rep-sprod-inject [symmetric] below-sprod-def
  prod-eq-iff below-prod-def
  Rep-sprod-strict Rep-sprod-spair

lemma sprodE [case-names bottom spair, cases type: sprod]:
  obtains p = ⊥ | x y where p = (:x, y:) and x ≠ ⊥ and y ≠ ⊥
  ⟨proof⟩

lemma sprod-induct [case-names bottom spair, induct type: sprod]:
  [P ⊥; ∀x y. [x ≠ ⊥; y ≠ ⊥] ⇒ P (:x, y:)] ⇒ P x
  ⟨proof⟩
```

11.4 Properties of *spair*

lemma *spair-strict1* [*simp*]: $(:\perp, y:) = \perp$
 $\langle proof \rangle$

lemma *spair-strict2* [*simp*]: $(:x, \perp:) = \perp$
 $\langle proof \rangle$

lemma *spair-bottom-iff* [*simp*]: $(:x, y:) = \perp \longleftrightarrow x = \perp \vee y = \perp$
 $\langle proof \rangle$

lemma *spair-below-iff*: $(:a, b:) \sqsubseteq (:c, d:) \longleftrightarrow a = \perp \vee b = \perp \vee (a \sqsubseteq c \wedge b \sqsubseteq d)$
 $\langle proof \rangle$

lemma *spair-eq-iff*: $(:a, b:) = (:c, d:) \longleftrightarrow a = c \wedge b = d \vee (a = \perp \vee b = \perp) \wedge (c = \perp \vee d = \perp)$
 $\langle proof \rangle$

lemma *spair-strict*: $x = \perp \vee y = \perp \implies (:x, y:) = \perp$
 $\langle proof \rangle$

lemma *spair-strict-rev*: $(:x, y:) \neq \perp \implies x \neq \perp \wedge y \neq \perp$
 $\langle proof \rangle$

lemma *spair-defined*: $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies (:x, y:) \neq \perp$
 $\langle proof \rangle$

lemma *spair-defined-rev*: $(:x, y:) = \perp \implies x = \perp \vee y = \perp$
 $\langle proof \rangle$

lemma *spair-below*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) \sqsubseteq (:a, b:) \longleftrightarrow x \sqsubseteq a \wedge y \sqsubseteq b$
 $\langle proof \rangle$

lemma *spair-eq*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) = (:a, b:) \longleftrightarrow x = a \wedge y = b$
 $\langle proof \rangle$

lemma *spair-inject*: $x \neq \perp \implies y \neq \perp \implies (:x, y:) = (:a, b:) \implies x = a \wedge y = b$
 $\langle proof \rangle$

lemma *inst-sprod-pcpo2*: $\perp = (:\perp, \perp:)$
 $\langle proof \rangle$

lemma *sprodE2*: $(\bigwedge x y. p = (:x, y:) \implies Q) \implies Q$
 $\langle proof \rangle$

11.5 Properties of *sfst* and *ssnd*

lemma *sfst-strict* [*simp*]: $sfst \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *ssnd-strict* [*simp*]: $\text{ssnd} \cdot \perp = \perp$
⟨proof⟩

lemma *sfst-spair* [*simp*]: $y \neq \perp \implies \text{sfst} \cdot (:x, y:) = x$
⟨proof⟩

lemma *ssnd-spair* [*simp*]: $x \neq \perp \implies \text{ssnd} \cdot (:x, y:) = y$
⟨proof⟩

lemma *sfst-bottom-iff* [*simp*]: $\text{sfst} \cdot p = \perp \longleftrightarrow p = \perp$
⟨proof⟩

lemma *ssnd-bottom-iff* [*simp*]: $\text{ssnd} \cdot p = \perp \longleftrightarrow p = \perp$
⟨proof⟩

lemma *sfst-defined*: $p \neq \perp \implies \text{sfst} \cdot p \neq \perp$
⟨proof⟩

lemma *ssnd-defined*: $p \neq \perp \implies \text{ssnd} \cdot p \neq \perp$
⟨proof⟩

lemma *spair-sfst-ssnd*: $(\text{sfst} \cdot p, \text{ssnd} \cdot p:) = p$
⟨proof⟩

lemma *below-sprod*: $x \sqsubseteq y \longleftrightarrow \text{sfst} \cdot x \sqsubseteq \text{sfst} \cdot y \wedge \text{ssnd} \cdot x \sqsubseteq \text{ssnd} \cdot y$
⟨proof⟩

lemma *eq-sprod*: $x = y \longleftrightarrow \text{sfst} \cdot x = \text{sfst} \cdot y \wedge \text{ssnd} \cdot x = \text{ssnd} \cdot y$
⟨proof⟩

lemma *sfst-below-iff*: $\text{sfst} \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (:y, \text{ssnd} \cdot x:)$
⟨proof⟩

lemma *ssnd-below-iff*: $\text{ssnd} \cdot x \sqsubseteq y \longleftrightarrow x \sqsubseteq (\text{sfst} \cdot x, y:)$
⟨proof⟩

11.6 Compactness

lemma *compact-sfst*: $\text{compact } x \implies \text{compact } (\text{sfst} \cdot x)$
⟨proof⟩

lemma *compact-ssnd*: $\text{compact } x \implies \text{compact } (\text{ssnd} \cdot x)$
⟨proof⟩

lemma *compact-spair*: $\text{compact } x \implies \text{compact } y \implies \text{compact } (:x, y:)$
⟨proof⟩

lemma *compact-spair-iff*: $\text{compact } (:x, y:) \longleftrightarrow x = \perp \vee y = \perp \vee (\text{compact } x \wedge \text{compact } y)$

$\langle proof \rangle$

11.7 Properties of *ssplit*

lemma *ssplit1* [simp]: *ssplit*.*f*. \perp = \perp
 $\langle proof \rangle$

lemma *ssplit2* [simp]: $x \neq \perp \Rightarrow y \neq \perp \Rightarrow \text{ssplit}.f.(:x, y:) = f \cdot x \cdot y$
 $\langle proof \rangle$

lemma *ssplit3* [simp]: *ssplit*.*spar*.*z* = *z*
 $\langle proof \rangle$

11.8 Strict product preserves flatness

instance *sprod* :: (*flat*, *flat*) *flat*
 $\langle proof \rangle$

end

12 The type of lifted values

theory *Up*
imports *Cfun*
begin

12.1 Definition of new type for lifting

datatype '*a* *u* ((*notation*=*postfix lifting*)) \perp [1000] 999) = *Ibottom* | *Iup* '*a*
primrec *Ifup* :: ('*a* \rightarrow '*b*:*pcpo*) \Rightarrow '*a* *u* \Rightarrow '*b*
where
 $Ifup f Ibottom = \perp$
 $| Ifup f (Iup x) = f \cdot x$

12.2 Ordering on lifted cpo

instantiation *u* :: (*cpo*) *below*
begin

definition *below-up-def*:
 $(\sqsubseteq) \equiv$
 $(\lambda x y.$
 $(\text{case } x \text{ of}$
 $Ibottom \Rightarrow \text{True}$
 $| Iup a \Rightarrow (\text{case } y \text{ of } Ibottom \Rightarrow \text{False} | Iup b \Rightarrow a \sqsubseteq b)))$

instance $\langle proof \rangle$

end

lemma *minimal-up* [iff]: $Ibottom \sqsubseteq z$
 $\langle proof \rangle$

lemma *not-Iup-below* [iff]: $Iup x \not\sqsubseteq Ibottom$
 $\langle proof \rangle$

lemma *Iup-below* [iff]: $(Iup x \sqsubseteq Iup y) = (x \sqsubseteq y)$
 $\langle proof \rangle$

12.3 Lifted cpo is a partial order

instance $u :: (cpo) po$
 $\langle proof \rangle$

12.4 Lifted cpo is a cpo

lemma *is-lub-Iup*: $range S <<| x \implies range (\lambda i. Iup (S i)) <<| Iup x$
 $\langle proof \rangle$

lemma *up-chain-lemma*:

assumes $Y: chain Y$

obtains $\forall i. Y i = Ibottom$

| $A k$ where $\forall i. Iup (A i) = Y (i + k)$ and *chain A* and *range Y <<| Iup*
 $(\bigsqcup i. A i)$
 $\langle proof \rangle$

instance $u :: (cpo) cpo$
 $\langle proof \rangle$

12.5 Lifted cpo is pointed

instance $u :: (cpo) pcpo$
 $\langle proof \rangle$

for compatibility with old HOLCF-Version

lemma *inst-up-pcpo*: $\perp = Ibottom$
 $\langle proof \rangle$

12.6 Continuity of *Iup* and *Ifup*

continuity for *Iup*

lemma *cont-Iup*: *cont Iup*
 $\langle proof \rangle$

continuity for *Ifup*

lemma *cont-Ifup1*: *cont* $(\lambda f. Ifup f x)$
 $\langle proof \rangle$

lemma *monofun-Ifup2*: *monofun* ($\lambda x. \text{Ifup } f x$)
⟨proof⟩

lemma *cont-Ifup2*: *cont* ($\lambda x. \text{Ifup } f x$)
⟨proof⟩

12.7 Continuous versions of constants

definition *up* :: $'a \rightarrow 'a u$
where *up* = $(\Lambda x. \text{Iup } x)$

definition *fup* :: $('a \rightarrow 'b :: \text{pcpo}) \rightarrow 'a u \rightarrow 'b$
where *fup* = $(\Lambda f p. \text{Ifup } f p)$

translations

case l of XCONST up·x \Rightarrow *t* \Leftarrow *CONST fup* · $(\Lambda x. t) \cdot l$
case l of (XCONST up :: 'a)·x \Rightarrow *t* \dashv *CONST fup* · $(\Lambda x. t) \cdot l$
 $\Lambda(XCONST up \cdot x). t \Leftarrow CONST fup \cdot (\Lambda x. t)$

continuous versions of lemmas for $'a_{\perp}$

lemma *Exh-Up*: $z = \perp \vee (\exists x. z = up \cdot x)$
⟨proof⟩

lemma *up-eq [simp]*: $(up \cdot x = up \cdot y) = (x = y)$
⟨proof⟩

lemma *up-inject*: $up \cdot x = up \cdot y \implies x = y$
⟨proof⟩

lemma *up-defined [simp]*: $up \cdot x \neq \perp$
⟨proof⟩

lemma *not-up-less-UU*: $up \cdot x \not\sqsubseteq \perp$
⟨proof⟩

lemma *up-below [simp]*: $up \cdot x \sqsubseteq up \cdot y \longleftrightarrow x \sqsubseteq y$
⟨proof⟩

lemma *upE [case-names bottom up, cases type: u]*: $\llbracket p = \perp \implies Q; \Lambda x. p = up \cdot x \implies Q \rrbracket \implies Q$
⟨proof⟩

lemma *up-induct [case-names bottom up, induct type: u]*: $P \perp \implies (\Lambda x. P (up \cdot x)) \implies P x$
⟨proof⟩

lifting preserves chain-finiteness

lemma *up-chain-cases*:

```

assumes  $Y$ : chain  $Y$ 
obtains  $\forall i. Y i = \perp$ 
|  $A k$  where  $\forall i. up \cdot (A i) = Y (i + k)$  and chain  $A$  and  $(\bigsqcup i. Y i) = up \cdot (\bigsqcup i. A i)$ 
|  $\langle proof \rangle$ 

lemma compact-up: compact  $x \implies$  compact  $(up \cdot x)$ 
|  $\langle proof \rangle$ 

lemma compact-upD: compact  $(up \cdot x) \implies$  compact  $x$ 
|  $\langle proof \rangle$ 

lemma compact-up-iff [simp]: compact  $(up \cdot x) =$  compact  $x$ 
|  $\langle proof \rangle$ 

instance  $u :: (chfin) chfin$ 
|  $\langle proof \rangle$ 

properties of fup

lemma fup1 [simp]:  $fup \cdot f \cdot \perp = \perp$ 
|  $\langle proof \rangle$ 

lemma fup2 [simp]:  $fup \cdot f \cdot (up \cdot x) = f \cdot x$ 
|  $\langle proof \rangle$ 

lemma fup3 [simp]:  $fup \cdot up \cdot x = x$ 
|  $\langle proof \rangle$ 

end

```

13 Lifting types of class type to flat pcpo’s

```

theory Lift
imports Up
begin

pcpodef ' $a$ ::type lift = UNIV :: ' $a$  discr  $u$  set
|  $\langle proof \rangle$ 

lemmas inst-lift-pcpo = Abs-lift-strict [symmetric]

definition
|  $Def :: 'a::type \Rightarrow 'a lift$  where
| |  $Def x = Abs-lift (up \cdot (Discr x))$ 

```

13.1 Lift as a datatype

```

lemma lift-induct:  $\llbracket P \perp; \bigwedge x. P (Def x) \rrbracket \implies P y$ 
|  $\langle proof \rangle$ 

```

old-rep-datatype $\perp :: 'a :: type lift Def$
 $\langle proof \rangle$

\perp and Def

lemma *not-Undef-is-Def*: $(x \neq \perp) = (\exists y. x = Def y)$
 $\langle proof \rangle$

lemma *lift-definedE*: $\llbracket x \neq \perp; \wedge a. x = Def a \implies R \rrbracket \implies R$
 $\langle proof \rangle$

For $x \neq \perp$ in assumptions *defined* replaces x by $Def a$ in conclusion.

$\langle ML \rangle$

lemma *DefE*: $Def x = \perp \implies R$
 $\langle proof \rangle$

lemma *DefE2*: $\llbracket x = Def s; x = \perp \rrbracket \implies R$
 $\langle proof \rangle$

lemma *Def-below-Def*: $Def x \sqsubseteq Def y \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *Def-below-iff [simp]*: $Def x \sqsubseteq y \longleftrightarrow Def x = y$
 $\langle proof \rangle$

13.2 Lift is flat

instance *lift :: (type) flat*
 $\langle proof \rangle$

13.3 Continuity of case-lift

lemma *case-lift-eq*: $case-lift \perp f x = fup \cdot (\Lambda y. f (undiscr y)) \cdot (Rep-lift x)$
 $\langle proof \rangle$

lemma *cont2cont-case-lift [simp]*:
 $\llbracket \Lambda y. cont (\lambda x. f x y); cont g \rrbracket \implies cont (\lambda x. case-lift \perp (f x) (g x))$
 $\langle proof \rangle$

13.4 Further operations

definition

$flipt1 :: ('a :: type \Rightarrow 'b :: pcpo) \Rightarrow ('a lift \rightarrow 'b)$ (**binder** ‘FLIFT’ 10) **where**
 $flipt1 = (\lambda f. (\Lambda x. case-lift \perp f x))$

translations

$\Lambda(XCONST Def x). t \Rightarrow CONST flipt1 (\lambda x. t)$
 $\Lambda(CONST Def x). FLIFT y. t \leq FLIFT x y. t$

```

 $\Lambda(\text{CONST } \text{Def } x). \ t <= \text{FLIFT } x. \ t$ 

definition
   $\text{flift2} :: ('a::\text{type} \Rightarrow 'b::\text{type}) \Rightarrow ('a \text{ lift} \rightarrow 'b \text{ lift}) \text{ where}$ 
     $\text{flift2 } f = (\text{FLIFT } x. \text{ Def } (f x))$ 

lemma  $\text{flift1-Def} [\text{simp}]: \text{flift1 } f \cdot (\text{Def } x) = (f x)$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{flift2-Def} [\text{simp}]: \text{flift2 } f \cdot (\text{Def } x) = \text{Def } (f x)$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{flift1-strict} [\text{simp}]: \text{flift1 } f \cdot \perp = \perp$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{flift2-strict} [\text{simp}]: \text{flift2 } f \cdot \perp = \perp$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{flift2-defined} [\text{simp}]: x \neq \perp \implies (\text{flift2 } f) \cdot x \neq \perp$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{flift2-bottom-iff} [\text{simp}]: (\text{flift2 } f \cdot x = \perp) = (x = \perp)$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{FLIFT-mono}:$ 
   $(\bigwedge x. f x \sqsubseteq g x) \implies (\text{FLIFT } x. f x) \sqsubseteq (\text{FLIFT } x. g x)$ 
   $\langle \text{proof} \rangle$ 

lemma  $\text{cont2cont-flift1} [\text{simp}, \text{cont2cont}]:$ 
   $[\![ \bigwedge y. \text{cont } (\lambda x. f x y) ]!] \implies \text{cont } (\lambda x. \text{FLIFT } y. f x y)$ 
   $\langle \text{proof} \rangle$ 

end

```

14 The type of lifted booleans

```

theory Tr
  imports Lift
begin

14.1 Type definition and constructors

type-synonym tr = bool lift

translations
  (type) tr  $\leftarrow$  (type) bool lift

definition TT :: tr
  where TT = Def True

```

definition $FF :: tr$
where $FF = \text{Def False}$

Exhaustion and Elimination for type tr

lemma $\text{Exh-tr}: t = \perp \vee t = TT \vee t = FF$
 $\langle \text{proof} \rangle$

lemma trE [case-names bottom TT FF , cases type: tr]:
 $\llbracket p = \perp \Rightarrow Q; p = TT \Rightarrow Q; p = FF \Rightarrow Q \rrbracket \Rightarrow Q$
 $\langle \text{proof} \rangle$

lemma tr-induct [case-names bottom TT FF , induct type: tr]:
 $P \perp \Rightarrow P TT \Rightarrow P FF \Rightarrow P x$
 $\langle \text{proof} \rangle$

distinctness for type tr

lemma dist-below-tr [simp]:
 $TT \not\sqsubseteq \perp$ $FF \not\sqsubseteq \perp$ $TT \not\sqsubseteq FF$ $FF \not\sqsubseteq TT$
 $\langle \text{proof} \rangle$

lemma dist-eq-tr [simp]: $TT \neq \perp$ $FF \neq \perp$ $TT \neq FF$ $\perp \neq TT$ $\perp \neq FF$ $FF \neq TT$
 $\langle \text{proof} \rangle$

lemma TT-below-iff [simp]: $TT \sqsubseteq x \longleftrightarrow x = TT$
 $\langle \text{proof} \rangle$

lemma FF-below-iff [simp]: $FF \sqsubseteq x \longleftrightarrow x = FF$
 $\langle \text{proof} \rangle$

lemma not-below-TT-iff [simp]: $x \not\sqsubseteq TT \longleftrightarrow x = FF$
 $\langle \text{proof} \rangle$

lemma not-below-FF-iff [simp]: $x \not\sqsubseteq FF \longleftrightarrow x = TT$
 $\langle \text{proof} \rangle$

14.2 Case analysis

definition $\text{tr-case} :: 'a::pcpo \rightarrow 'a \rightarrow tr \rightarrow 'a$
where $\text{tr-case} = (\Lambda t e (\text{Def } b). \text{ if } b \text{ then } t \text{ else } e)$

abbreviation $cifte-syn :: [tr, 'c::pcpo, 'c] \Rightarrow 'c$ (($\langle \text{notation}=\text{mixfix If expression} \rangle$)
 $\text{If } (-) / \text{ then } (-) / \text{ else } (-) \rangle [0, 0, 60] 60)$
where $\text{If } b \text{ then } e1 \text{ else } e2 \equiv \text{tr-case} \cdot e1 \cdot e2 \cdot b$

translations

$\Lambda (XCONST TT). t \Rightarrow CONST \text{tr-case} \cdot t \cdot \perp$
 $\Lambda (XCONST FF). t \Rightarrow CONST \text{tr-case} \cdot \perp \cdot t$

```
lemma ifte-thms [simp]:
  If  $\perp$  then  $e1$  else  $e2 = \perp$ 
  If  $FF$  then  $e1$  else  $e2 = e2$ 
  If  $TT$  then  $e1$  else  $e2 = e1$ 
  ⟨proof⟩
```

14.3 Boolean connectives

```
definition trand ::  $tr \rightarrow tr \rightarrow tr$ 
  where andalso-def:  $trand = (\Lambda x y. If x then y else FF)$ 
```

```
abbreviation andalso-syn ::  $tr \Rightarrow tr \Rightarrow tr$  ( $\langle\text{-}\rangle$ - andalso  $\rightarrow$  [36,35] 35)
  where  $x$  andalso  $y \equiv trand \cdot x \cdot y$ 
```

```
definition tror ::  $tr \rightarrow tr \rightarrow tr$ 
  where orelse-def:  $tror = (\Lambda x y. If x then TT else y)$ 
```

```
abbreviation orelse-syn ::  $tr \Rightarrow tr \Rightarrow tr$  ( $\langle\text{-}\rangle$ - orelse  $\rightarrow$  [31,30] 30)
  where  $x$  orelse  $y \equiv tror \cdot x \cdot y$ 
```

```
definition neg ::  $tr \rightarrow tr$ 
  where neg = flift2 Not
```

```
definition If2 ::  $tr \Rightarrow 'c::pcpo \Rightarrow 'c \Rightarrow 'c$ 
  where If2  $Q x y = (If Q then x else y)$ 
```

tactic for tr-thms with case split

```
lemmas tr-defs = andalso-def orelse-def neg-def tr-case-def TT-def FF-def
```

lemmas about andalso, orelse, neg and if

```
lemma andalso-thms [simp]:
  ( $TT$  andalso  $y) = y$ 
  ( $FF$  andalso  $y) = FF$ 
  ( $\perp$  andalso  $y) = \perp$ 
  ( $y$  andalso  $TT) = y$ 
  ( $y$  andalso  $y) = y$ 
  ⟨proof⟩
```

```
lemma orelse-thms [simp]:
  ( $TT$  orelse  $y) = TT$ 
  ( $FF$  orelse  $y) = y$ 
  ( $\perp$  orelse  $y) = \perp$ 
  ( $y$  orelse  $FF) = y$ 
  ( $y$  orelse  $y) = y$ 
  ⟨proof⟩
```

```
lemma neg-thms [simp]:
  neg  $\cdot TT = FF$ 
  neg  $\cdot FF = TT$ 
```

neg. $\perp = \perp$
⟨proof⟩

split-tac for If via If2 because the constant has to be a constant

lemma *split-If2*: $P(\text{If2 } Q \ x \ y) \longleftrightarrow ((Q = \perp \longrightarrow P \ \perp) \wedge (Q = \text{TT} \longrightarrow P \ x) \wedge (Q = \text{FF} \longrightarrow P \ y))$
⟨proof⟩

⟨ML⟩

14.4 Rewriting of HOLCF operations to HOL functions

lemma *andalso-or*: $t \neq \perp \implies (t \text{ andalso } s) = \text{FF} \longleftrightarrow t = \text{FF} \vee s = \text{FF}$
⟨proof⟩

lemma *andalso-and*: $t \neq \perp \implies ((t \text{ andalso } s) \neq \text{FF}) \longleftrightarrow t \neq \text{FF} \wedge s \neq \text{FF}$
⟨proof⟩

lemma *Def-bool1* [*simp*]: $\text{Def } x \neq \text{FF} \longleftrightarrow x$
⟨proof⟩

lemma *Def-bool2* [*simp*]: $\text{Def } x = \text{FF} \longleftrightarrow \neg x$
⟨proof⟩

lemma *Def-bool3* [*simp*]: $\text{Def } x = \text{TT} \longleftrightarrow x$
⟨proof⟩

lemma *Def-bool4* [*simp*]: $\text{Def } x \neq \text{TT} \longleftrightarrow \neg x$
⟨proof⟩

lemma *If-and-if*: $(\text{If } \text{Def } P \text{ then } A \text{ else } B) = (\text{if } P \text{ then } A \text{ else } B)$
⟨proof⟩

14.5 Compactness

lemma *compact-TT*: *compact TT*
⟨proof⟩

lemma *compact-FF*: *compact FF*
⟨proof⟩

end

15 The type of strict sums

theory *Ssum*
imports *Tr*
begin

15.1 Definition of strict sum type

```

definition ssum =
  {p :: tr × ('a::pcpo × 'b::pcpo). p = ⊥ ∨
   (fst p = TT ∧ fst (snd p) ≠ ⊥ ∧ snd (snd p) = ⊥) ∨
   (fst p = FF ∧ fst (snd p) = ⊥ ∧ snd (snd p) ≠ ⊥)}

pcpodef ('a::pcpo, 'b::pcpo) ssum ((notation=infix strict sum)- ⊕/ -) [21,
20] 20) =
  ssum :: (tr × 'a × 'b) set
  ⟨proof⟩

instance ssum :: ({chfin,pcpo}, {chfin,pcpo}) chfin
  ⟨proof⟩

type-notation (ASCII)
  ssum (infixr ++ 10)

```

15.2 Definitions of constructors

```

definition sinl :: 'a::pcpo → ('a ++ 'b::pcpo)
  where sinl = (Λ a. Abs-ssum (seq·a·TT, a, ⊥))

definition sinr :: 'b::pcpo → ('a::pcpo ++ 'b)
  where sinr = (Λ b. Abs-ssum (seq·b·FF, ⊥, b))

lemma sinl-ssum: (seq·a·TT, a, ⊥) ∈ ssum
  ⟨proof⟩

lemma sinr-ssum: (seq·b·FF, ⊥, b) ∈ ssum
  ⟨proof⟩

lemma Rep-ssum-sinl: Rep-ssum (sinl·a) = (seq·a·TT, a, ⊥)
  ⟨proof⟩

lemma Rep-ssum-sinr: Rep-ssum (sinr·b) = (seq·b·FF, ⊥, b)

lemmas Rep-ssum-simps =
  Rep-ssum-inject [symmetric] below-ssum-def
  prod-eq-iff below-prod-def
  Rep-ssum-strict Rep-ssum-sinl Rep-ssum-sinr

```

15.3 Properties of *sinl* and *sinr*

Ordering

```

lemma sinl-below [simp]: sinl·x ⊑ sinl·y ←→ x ⊑ y
  ⟨proof⟩

```

lemma *sinr-below* [simp]: $\text{sinr}\cdot x \sqsubseteq \text{sinr}\cdot y \longleftrightarrow x \sqsubseteq y$
(proof)

lemma *sinl-below-sinr* [simp]: $\text{sinl}\cdot x \sqsubseteq \text{sinr}\cdot y \longleftrightarrow x = \perp$
(proof)

lemma *sinr-below-sinl* [simp]: $\text{sinr}\cdot x \sqsubseteq \text{sinl}\cdot y \longleftrightarrow x = \perp$
(proof)

Equality

lemma *sinl-eq* [simp]: $\text{sinl}\cdot x = \text{sinl}\cdot y \longleftrightarrow x = y$
(proof)

lemma *sinr-eq* [simp]: $\text{sinr}\cdot x = \text{sinr}\cdot y \longleftrightarrow x = y$
(proof)

lemma *sinl-eq-sinr* [simp]: $\text{sinl}\cdot x = \text{sinr}\cdot y \longleftrightarrow x = \perp \wedge y = \perp$
(proof)

lemma *sinr-eq-sinl* [simp]: $\text{sinr}\cdot x = \text{sinl}\cdot y \longleftrightarrow x = \perp \wedge y = \perp$
(proof)

lemma *sinl-inject*: $\text{sinl}\cdot x = \text{sinl}\cdot y \implies x = y$
(proof)

lemma *sinr-inject*: $\text{sinr}\cdot x = \text{sinr}\cdot y \implies x = y$
(proof)

Strictness

lemma *sinl-strict* [simp]: $\text{sinl}\cdot \perp = \perp$
(proof)

lemma *sinr-strict* [simp]: $\text{sinr}\cdot \perp = \perp$
(proof)

lemma *sinl-bottom-iff* [simp]: $\text{sinl}\cdot x = \perp \longleftrightarrow x = \perp$
(proof)

lemma *sinr-bottom-iff* [simp]: $\text{sinr}\cdot x = \perp \longleftrightarrow x = \perp$
(proof)

lemma *sinl-defined*: $x \neq \perp \implies \text{sinl}\cdot x \neq \perp$
(proof)

lemma *sinr-defined*: $x \neq \perp \implies \text{sinr}\cdot x \neq \perp$
(proof)

Compactness

lemma *compact-sinl*: *compact* $x \implies \text{compact}(\text{sinl}\cdot x)$

$\langle proof \rangle$

lemma *compact-sinr*: *compact* $x \Rightarrow \text{compact} (\text{sinr}\cdot x)$
 $\langle proof \rangle$

lemma *compact-sinlD*: *compact* $(\text{sinl}\cdot x) \Rightarrow \text{compact} x$
 $\langle proof \rangle$

lemma *compact-sinrD*: *compact* $(\text{sinr}\cdot x) \Rightarrow \text{compact} x$
 $\langle proof \rangle$

lemma *compact-sinl-iff [simp]*: *compact* $(\text{sinl}\cdot x) = \text{compact} x$
 $\langle proof \rangle$

lemma *compact-sinr-iff [simp]*: *compact* $(\text{sinr}\cdot x) = \text{compact} x$
 $\langle proof \rangle$

15.4 Case analysis

lemma *ssumE* [*case-names bottom sinl sinr, cases type: ssum*]:
obtains $p = \perp$
 $| x \text{ where } p = \text{sinl}\cdot x \text{ and } x \neq \perp$
 $| y \text{ where } p = \text{sinr}\cdot y \text{ and } y \neq \perp$
 $\langle proof \rangle$

lemma *ssum-induct* [*case-names bottom sinl sinr, induct type: ssum*]:
 $\llbracket P \perp;$
 $\wedge x. x \neq \perp \Rightarrow P (\text{sinl}\cdot x);$
 $\wedge y. y \neq \perp \Rightarrow P (\text{sinr}\cdot y) \rrbracket \Rightarrow P x$
 $\langle proof \rangle$

lemma *ssumE2* [*case-names sinl sinr*]:
 $\llbracket \wedge x. p = \text{sinl}\cdot x \Rightarrow Q; \wedge y. p = \text{sinr}\cdot y \Rightarrow Q \rrbracket \Rightarrow Q$
 $\langle proof \rangle$

lemma *below-sinlD*: $p \sqsubseteq \text{sinl}\cdot x \Rightarrow \exists y. p = \text{sinl}\cdot y \wedge y \sqsubseteq x$
 $\langle proof \rangle$

lemma *below-sinrD*: $p \sqsubseteq \text{sinr}\cdot x \Rightarrow \exists y. p = \text{sinr}\cdot y \wedge y \sqsubseteq x$
 $\langle proof \rangle$

15.5 Case analysis combinator

definition *ssccase* :: $('a::pcpo \rightarrow 'c::pcpo) \rightarrow ('b::pcpo \rightarrow 'c) \rightarrow ('a ++ 'b) \rightarrow 'c$
where *ssccase* = $(\Lambda f g s. (\lambda(t, x, y). \text{If } t \text{ then } f\cdot x \text{ else } g\cdot y) (\text{Rep-ssum } s))$

translations

case s of XCONST sinl·x ⇒ t1 | XCONST sinr·y ⇒ t2 ⇌ CONST sscase·(Λ x. t1)·(Λ y. t2)·s

*case s of (XCONST sinl :: 'a)·x ⇒ t1 | XCONST sinr·y ⇒ t2 → CONST
 $\text{sscase} \cdot (\Lambda x. t1) \cdot (\Lambda y. t2) \cdot s$*

translations

$\Lambda(XCONST sinl \cdot x). t \Leftrightarrow CONST \text{sscase} \cdot (\Lambda x. t) \cdot \perp$
 $\Lambda(XCONST sinr \cdot y). t \Leftrightarrow CONST \text{sscase} \cdot \perp \cdot (\Lambda y. t)$

lemma *beta-sscase*: $\text{sscase} \cdot f \cdot g \cdot s = (\lambda(t, x, y). If\ t\ then\ f \cdot x\ else\ g \cdot y) \ (Rep\text{-}ssum\ s)$
⟨proof⟩

lemma *sscase1* [simp]: $\text{sscase} \cdot f \cdot g \cdot \perp = \perp$
⟨proof⟩

lemma *sscase2* [simp]: $x \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (sinl \cdot x) = f \cdot x$
⟨proof⟩

lemma *sscase3* [simp]: $y \neq \perp \implies \text{sscase} \cdot f \cdot g \cdot (sinr \cdot y) = g \cdot y$
⟨proof⟩

lemma *sscase4* [simp]: $\text{sscase} \cdot sinl \cdot sinr \cdot z = z$
⟨proof⟩

15.6 Strict sum preserves flatness

instance *ssum* :: (flat, flat) flat
⟨proof⟩

end

16 The Strict Function Type

theory *Sfun*
imports *Cfun*
begin

pcpodef ('a::pcpo, 'b::pcpo) *sfun* (**infixr** ‹→!› 0) = {f :: 'a → 'b. f · ∅ = ∅}
⟨proof⟩

type-notation (ASCII)
sfun (**infixr** ‹→!› 0)

TODO: Define nice syntax for abstraction, application.

definition *sfun-abs* :: ('a::pcpo → 'b::pcpo) → ('a →! 'b)
where *sfun-abs* = ($\Lambda f. \text{Abs-}sfun(\text{strictify}\cdot f)$)

definition *sfun-rep* :: ('a::pcpo →! 'b::pcpo) → 'a → 'b
where *sfun-rep* = ($\Lambda f. \text{Rep-}sfun(f)$)

lemma *sfun-rep-beta*: *sfun-rep* · f = *Rep-sfun* f

```

⟨proof⟩

lemma sfun-rep-strict1 [simp]: sfun-rep. $\perp$  =  $\perp$ 
⟨proof⟩

lemma sfun-rep-strict2 [simp]: sfun-rep. $f$ . $\perp$  =  $\perp$ 
⟨proof⟩

lemma strictify-cancel:  $f$ . $\perp$  =  $\perp$   $\implies$  strictify. $f$  =  $f$ 
⟨proof⟩

lemma sfun-abs-sfun-rep [simp]: sfun-abs.(sfun-rep. $f$ ) =  $f$ 
⟨proof⟩

lemma sfun-rep-sfun-abs [simp]: sfun-rep.(sfun-abs. $f$ ) = strictify. $f$ 
⟨proof⟩

lemma sfun-eq-iff:  $f$  =  $g$   $\longleftrightarrow$  sfun-rep. $f$  = sfun-rep. $g$ 
⟨proof⟩

lemma sfun-below-iff:  $f \sqsubseteq g \longleftrightarrow sfun-rep.f \sqsubseteq sfun-rep.g$ 
⟨proof⟩

end

```

17 Map functions for various types

```

theory Map-Functions
  imports Deflation Sprod Ssum Sfun Up
begin

```

17.1 Map operator for continuous function space

```

definition cfun-map :: ( $'b \rightarrow 'a$ )  $\rightarrow$  ( $'c \rightarrow 'd$ )  $\rightarrow$  ( $'a \rightarrow 'c$ )  $\rightarrow$  ( $'b \rightarrow 'd$ )
  where cfun-map = ( $\Lambda a\ b\ f\ x. b.(f.(a.x))$ )

```

```

lemma cfun-map-beta [simp]: cfun-map. $a$ . $b$ . $f$ . $x$  =  $b.(f.(a.x))$ 
⟨proof⟩

```

```

lemma cfun-map-ID: cfun-map. $ID$ . $ID$  =  $ID$ 
⟨proof⟩

```

```

lemma cfun-map-map: cfun-map. $f1$ . $g1$ .(cfun-map. $f2$ . $g2$ . $p$ ) = cfun-map.( $\Lambda x. f2.(f1.x)$ ).( $\Lambda x. g1.(g2.x)$ ). $p$ 
⟨proof⟩

```

```

lemma ep-pair-cfun-map:
  assumes ep-pair  $e1\ p1$  and ep-pair  $e2\ p2$ 
  shows ep-pair (cfun-map. $p1$ . $e2$ ) (cfun-map. $e1$ . $p2$ )

```

(proof)

lemma *deflation-cfun-map*:
assumes *deflation d1 and deflation d2*
shows *deflation (cfun-map·d1·d2)*
(proof)

lemma *finite-range-cfun-map*:
assumes *a: finite (range (λx. a·x))*
assumes *b: finite (range (λy. b·y))*
shows *finite (range (λf. cfun-map·a·b·f)) (is finite (range ?h))*
(proof)

lemma *finite-deflation-cfun-map*:
assumes *finite-deflation d1 and finite-deflation d2*
shows *finite-deflation (cfun-map·d1·d2)*
(proof)

Finite deflations are compact elements of the function space

lemma *finite-deflation-imp-compact*: *finite-deflation d* \implies *compact d*
(proof)

17.2 Map operator for product type

definition *prod-map* :: $('a \rightarrow 'b) \rightarrow ('c \rightarrow 'd) \rightarrow 'a \times 'c \rightarrow 'b \times 'd$
where *prod-map* = $(\Lambda f g p. (f \cdot (\text{fst } p), g \cdot (\text{snd } p)))$

lemma *prod-map-Pair [simp]*: *prod-map·f·g·(x, y) = (f·x, g·y)*
(proof)

lemma *prod-map-ID*: *prod-map·ID·ID = ID*
(proof)

lemma *prod-map-map*: *prod-map·f1·g1·(prod-map·f2·g2·p) = prod-map·(Λ x. f1·(f2·x))·(Λ x. g1·(g2·x))·p*
(proof)

lemma *ep-pair-prod-map*:
assumes *ep-pair e1 p1 and ep-pair e2 p2*
shows *ep-pair (prod-map·e1·e2) (prod-map·p1·p2)*
(proof)

lemma *deflation-prod-map*:
assumes *deflation d1 and deflation d2*
shows *deflation (prod-map·d1·d2)*
(proof)

lemma *finite-deflation-prod-map*:
assumes *finite-deflation d1 and finite-deflation d2*

shows finite-deflation (prod-map·d1·d2)
 $\langle proof \rangle$

17.3 Map function for lifted cpo

definition u-map :: ($'a \rightarrow 'b$) $\rightarrow 'a$ u $\rightarrow 'b$ u
where u-map = ($\Lambda f. fup \cdot (up \circ f)$)

lemma u-map-strict [simp]: u-map·f· \perp = \perp
 $\langle proof \rangle$

lemma u-map-up [simp]: u-map·f·(up·x) = up·(f·x)
 $\langle proof \rangle$

lemma u-map-ID: u-map·ID = ID
 $\langle proof \rangle$

lemma u-map-map: u-map·f·(u-map·g·p) = u-map·($\Lambda x. f \cdot (g \cdot x)$)·p
 $\langle proof \rangle$

lemma u-map-oo: u-map·(f oo g) = u-map·f oo u-map·g
 $\langle proof \rangle$

lemma ep-pair-u-map: ep-pair e p \implies ep-pair (u-map·e) (u-map·p)
 $\langle proof \rangle$

lemma deflation-u-map: deflation d \implies deflation (u-map·d)
 $\langle proof \rangle$

lemma finite-deflation-u-map:
assumes finite-deflation d
shows finite-deflation (u-map·d)
 $\langle proof \rangle$

17.4 Map function for strict products

definition sprod-map :: ($'a::pcpo \rightarrow 'b::pcpo$) $\rightarrow ('c::pcpo \rightarrow 'd::pcpo) \rightarrow 'a \otimes 'c \rightarrow 'b \otimes 'd$
where sprod-map = ($\Lambda f g. ssplit \cdot (\Lambda x y. (:f \cdot x, g \cdot y))$)

lemma sprod-map-strict [simp]: sprod-map·a·b· \perp = \perp
 $\langle proof \rangle$

lemma sprod-map-spair [simp]: $x \neq \perp \implies y \neq \perp \implies sprod-map \cdot f \cdot g \cdot (:x, y) = (:f \cdot x, g \cdot y)$
 $\langle proof \rangle$

lemma sprod-map-spair': f· \perp = $\perp \implies g \cdot \perp = \perp \implies sprod-map \cdot f \cdot g \cdot (:x, y) = (:f \cdot x, g \cdot y)$
 $\langle proof \rangle$

lemma *sprod-map-ID*: $\text{sprod-map} \cdot \text{ID} \cdot \text{ID} = \text{ID}$

$\langle \text{proof} \rangle$

lemma *sprod-map-map*:

$\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \implies$
 $\text{sprod-map} \cdot f1 \cdot g1 \cdot (\text{sprod-map} \cdot f2 \cdot g2 \cdot p) =$
 $\text{sprod-map} \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$

$\langle \text{proof} \rangle$

lemma *ep-pair-sprod-map*:

assumes *ep-pair e1 p1 and ep-pair e2 p2*
shows *ep-pair (sprod-map · e1 · e2) (sprod-map · p1 · p2)*

$\langle \text{proof} \rangle$

lemma *deflation-sprod-map*:

assumes *deflation d1 and deflation d2*
shows *deflation (sprod-map · d1 · d2)*

$\langle \text{proof} \rangle$

lemma *finite-deflation-sprod-map*:

assumes *finite-deflation d1 and finite-deflation d2*
shows *finite-deflation (sprod-map · d1 · d2)*

$\langle \text{proof} \rangle$

17.5 Map function for strict sums

definition *ssum-map* :: $('a::\text{pcpo} \rightarrow 'b::\text{pcpo}) \rightarrow ('c::\text{pcpo} \rightarrow 'd::\text{pcpo}) \rightarrow 'a \oplus 'c \rightarrow 'b \oplus 'd$

where $\text{ssum-map} = (\Lambda f g. \text{ssccase} \cdot (\text{sinl} \ oo f) \cdot (\text{sinr} \ oo g))$

lemma *ssum-map-strict [simp]*: $\text{ssum-map} \cdot f \cdot g \cdot \perp = \perp$

$\langle \text{proof} \rangle$

lemma *ssum-map-sinl [simp]*: $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$

$\langle \text{proof} \rangle$

lemma *ssum-map-sinr [simp]*: $x \neq \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$

$\langle \text{proof} \rangle$

lemma *ssum-map-sinl'*: $f \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinl} \cdot x) = \text{sinl} \cdot (f \cdot x)$

$\langle \text{proof} \rangle$

lemma *ssum-map-sinr'*: $g \cdot \perp = \perp \implies \text{ssum-map} \cdot f \cdot g \cdot (\text{sinr} \cdot x) = \text{sinr} \cdot (g \cdot x)$

$\langle \text{proof} \rangle$

lemma *ssum-map-ID*: $\text{ssum-map} \cdot \text{ID} \cdot \text{ID} = \text{ID}$

$\langle \text{proof} \rangle$

```

lemma ssum-map-map:
   $\llbracket f1 \cdot \perp = \perp; g1 \cdot \perp = \perp \rrbracket \implies$ 
   $\text{ssum-map} \cdot f1 \cdot g1 \cdot (\text{ssum-map} \cdot f2 \cdot g2 \cdot p) =$ 
   $\text{ssum-map} \cdot (\Lambda x. f1 \cdot (f2 \cdot x)) \cdot (\Lambda x. g1 \cdot (g2 \cdot x)) \cdot p$ 
   $\langle proof \rangle$ 

lemma ep-pair(ssum-map):
  assumes ep-pair e1 p1 and ep-pair e2 p2
  shows ep-pair (ssum-map · e1 · e2) (ssum-map · p1 · p2)
   $\langle proof \rangle$ 

lemma deflation(ssum-map):
  assumes deflation d1 and deflation d2
  shows deflation (ssum-map · d1 · d2)
   $\langle proof \rangle$ 

lemma finite-deflation(ssum-map):
  assumes finite-deflation d1 and finite-deflation d2
  shows finite-deflation (ssum-map · d1 · d2)
   $\langle proof \rangle$ 

```

17.6 Map operator for strict function space

```

definition sfun-map :: ('b::pcpo  $\rightarrow$  'a::pcpo)  $\rightarrow$  ('c::pcpo  $\rightarrow$  'd::pcpo)  $\rightarrow$  ('a  $\rightarrow!$  'c)
   $\rightarrow$  ('b  $\rightarrow!$  'd)
  where sfun-map = ( $\Lambda a b.$  sfun-abs oo cfun-map · a · b oo sfun-rep)

lemma sfun-map-ID: sfun-map · ID · ID = ID
   $\langle proof \rangle$ 

lemma sfun-map-map:
  assumes f2 ·  $\perp = \perp$  and g2 ·  $\perp = \perp$ 
  shows sfun-map · f1 · g1 · (sfun-map · f2 · g2 · p) =
    sfun-map · ( $\Lambda x. f2 \cdot (f1 \cdot x)$ ) · ( $\Lambda x. g1 \cdot (g2 \cdot x)$ ) · p
   $\langle proof \rangle$ 

lemma ep-pair(sfun-map):
  assumes 1: ep-pair e1 p1
  assumes 2: ep-pair e2 p2
  shows ep-pair (sfun-map · p1 · e2) (sfun-map · e1 · p2)
   $\langle proof \rangle$ 

lemma deflation(sfun-map):
  assumes 1: deflation d1
  assumes 2: deflation d2
  shows deflation (sfun-map · d1 · d2)
   $\langle proof \rangle$ 

lemma finite-deflation(sfun-map):

```

```

assumes finite-deflation d1
  and finite-deflation d2
shows finite-deflation (sfun-map·d1·d2)
⟨proof⟩

end

```

18 The cpo of cartesian products

```

theory Cprod
  imports Cfun
begin

```

18.1 Continuous case function for unit type

```

definition unit-when :: 'a → unit → 'a
  where unit-when = (Λ a -. a)

```

```

translations
  Λ(). t ⇌ CONST unit-when·t

```

```

lemma unit-when [simp]: unit-when·a·u = a
  ⟨proof⟩

```

18.2 Continuous version of split function

```

definition csplit :: ('a → 'b → 'c) → ('a × 'b) → 'c
  where csplit = (Λ f p. f·(fst p)·(snd p))

```

```

translations
  Λ(CONST Pair x y). t ⇌ CONST csplit·(Λ x y. t)

```

```

abbreviation cfst :: 'a × 'b → 'a
  where cfst ≡ Abs-cfun fst

```

```

abbreviation csnd :: 'a × 'b → 'b
  where csnd ≡ Abs-cfun snd

```

18.3 Convert all lemmas to the continuous versions

```

lemma csplit1 [simp]: csplit·f·⊥ = f·⊥·⊥
  ⟨proof⟩

```

```

lemma csplit-Pair [simp]: csplit·f·(x, y) = f·x·y
  ⟨proof⟩

```

```

end

```

19 Profinite and bifinite cpos

```
theory Bifinite
imports Map-Functions Cprod Sprod Sfun Up HOL-Library.Countable
begin
```

19.1 Chains of finite deflations

```
locale approx-chain =
fixes approx :: nat ⇒ 'a → 'a
assumes chain-approx [simp]: chain (λi. approx i)
assumes lub-approx [simp]: (⊔ i. approx i) = ID
assumes finite-deflation-approx [simp]: ∀i. finite-deflation (approx i)
begin
```

```
lemma deflation-approx: deflation (approx i)
⟨proof⟩
```

```
lemma approx-idem: approx i · (approx i · x) = approx i · x
⟨proof⟩
```

```
lemma approx-below: approx i · x ⊑ x
⟨proof⟩
```

```
lemma finite-range-approx: finite (range (λx. approx i · x))
⟨proof⟩
```

```
lemma compact-approx [simp]: compact (approx n · x)
⟨proof⟩
```

```
lemma compact-eq-approx: compact x ⟹ ∃i. approx i · x = x
⟨proof⟩
```

```
end
```

19.2 Omega-profinite and bifinite domains

```
class bifinite = pcpo +
assumes bifinite: ∃(a::nat ⇒ 'a → 'a). approx-chain a

class profinite = cpo +
assumes profinite: ∃(a::nat ⇒ 'a⊥ → 'a⊥). approx-chain a
```

19.3 Building approx chains

```
lemma approx-chain-iso:
assumes a: approx-chain a
assumes [simp]: ∀x. f · (g · x) = x
assumes [simp]: ∀y. g · (f · y) = y
shows approx-chain (λi. f oo a i oo g)
```

$\langle proof \rangle$

lemma *approx-chain-u-map*:

assumes *approx-chain a*

shows *approx-chain* ($\lambda i. u\text{-map}\cdot(a i)$)

$\langle proof \rangle$

lemma *approx-chain-sfun-map*:

assumes *approx-chain a and approx-chain b*

shows *approx-chain* ($\lambda i. sfun\text{-map}\cdot(a i)\cdot(b i)$)

$\langle proof \rangle$

lemma *approx-chain-sprod-map*:

assumes *approx-chain a and approx-chain b*

shows *approx-chain* ($\lambda i. sprod\text{-map}\cdot(a i)\cdot(b i)$)

$\langle proof \rangle$

lemma *approx-chain-ssum-map*:

assumes *approx-chain a and approx-chain b*

shows *approx-chain* ($\lambda i. ssum\text{-map}\cdot(a i)\cdot(b i)$)

$\langle proof \rangle$

lemma *approx-chain-cfun-map*:

assumes *approx-chain a and approx-chain b*

shows *approx-chain* ($\lambda i. cfun\text{-map}\cdot(a i)\cdot(b i)$)

$\langle proof \rangle$

lemma *approx-chain-prod-map*:

assumes *approx-chain a and approx-chain b*

shows *approx-chain* ($\lambda i. prod\text{-map}\cdot(a i)\cdot(b i)$)

$\langle proof \rangle$

Approx chains for countable discrete types.

definition *discr-approx :: nat \Rightarrow 'a::countable discr u \rightarrow 'a discr u*

where *discr-approx =* ($\lambda i. \Lambda(up\cdot x). if\;to\text{-nat}\;(undiscr\;x) < i\;then\;up\cdot x\;else\;\perp$)

lemma *chain-discr-approx [simp]: chain discr-approx*

$\langle proof \rangle$

lemma *lub-discr-approx [simp]: ($\bigsqcup i. discr\text{-approx } i$) = ID*

$\langle proof \rangle$

lemma *inj-on-undiscr [simp]: inj-on undiscr A*

$\langle proof \rangle$

lemma *finite-deflation-discr-approx: finite-deflation (discr-approx i)*

$\langle proof \rangle$

lemma *discr-approx: approx-chain discr-approx*

$\langle proof \rangle$

19.4 Class instance proofs

instance *bifinite* \subseteq *profinite*
 $\langle proof \rangle$

instance *u* :: (*profinite*) *bifinite*
 $\langle proof \rangle$

Types '*a* \rightarrow '*b* and '*a*_⊥ $\rightarrow!$ '*b* are isomorphic.

definition *encode-cfun* = $(\Lambda f. sfun-abs.(fup \cdot f))$

definition *decode-cfun* = $(\Lambda g x. sfun-rep.g.(up \cdot x))$

lemma *decode-encode-cfun* [simp]: *decode-cfun* · (*encode-cfun* · *x*) = *x*
 $\langle proof \rangle$

lemma *encode-decode-cfun* [simp]: *encode-cfun* · (*decode-cfun* · *y*) = *y*
 $\langle proof \rangle$

instance *cfun* :: (*profinite*, *bifinite*) *bifinite*
 $\langle proof \rangle$

Types ('*a* \times '*b*)_⊥ and '*a*_⊥ \otimes '*b*_⊥ are isomorphic.

definition *encode-prod-u* = $(\Lambda(up \cdot (x, y)). (:up \cdot x, up \cdot y:))$

definition *decode-prod-u* = $(\Lambda(:up \cdot x, up \cdot y:). up \cdot (x, y))$

lemma *decode-encode-prod-u* [simp]: *decode-prod-u* · (*encode-prod-u* · *x*) = *x*
 $\langle proof \rangle$

lemma *encode-decode-prod-u* [simp]: *encode-prod-u* · (*decode-prod-u* · *y*) = *y*
 $\langle proof \rangle$

instance *prod* :: (*profinite*, *profinite*) *profinite*
 $\langle proof \rangle$

instance *prod* :: (*bifinite*, *bifinite*) *bifinite*
 $\langle proof \rangle$

instance *sfun* :: (*bifinite*, *bifinite*) *bifinite*
 $\langle proof \rangle$

instance *sprod* :: (*bifinite*, *bifinite*) *bifinite*
 $\langle proof \rangle$

instance *ssum* :: (*bifinite*, *bifinite*) *bifinite*
 $\langle proof \rangle$

```

lemma approx-chain-unit: approx-chain ( $\perp :: nat \Rightarrow unit \rightarrow unit$ )
   $\langle proof \rangle$ 

instance unit :: bifinite
   $\langle proof \rangle$ 

instance discr :: (countable) profinite
   $\langle proof \rangle$ 

instance lift :: (countable) bifinite
   $\langle proof \rangle$ 

end

```

20 Defining algebraic domains by ideal completion

```

theory Completion
imports Cfun
begin

```

20.1 Ideals over a preorder

```

locale preorder =
  fixes r :: 'a::type  $\Rightarrow$  'a  $\Rightarrow$  bool (infix  $\trianglelefteq$  50)
  assumes r-refl:  $x \trianglelefteq x$ 
  assumes r-trans:  $[x \trianglelefteq y; y \trianglelefteq z] \implies x \trianglelefteq z$ 
begin

definition
  ideal :: 'a set  $\Rightarrow$  bool where
    ideal A =  $((\exists x. x \in A) \wedge (\forall x \in A. \forall y \in A. \exists z \in A. x \trianglelefteq z \wedge y \trianglelefteq z) \wedge$ 
               $(\forall x y. x \trianglelefteq y \longrightarrow y \in A \longrightarrow x \in A))$ 

lemma idealI:
  assumes  $\exists x. x \in A$ 
  assumes  $\bigwedge x y. [x \in A; y \in A] \implies \exists z \in A. x \trianglelefteq z \wedge y \trianglelefteq z$ 
  assumes  $\bigwedge x y. [x \trianglelefteq y; y \in A] \implies x \in A$ 
  shows ideal A
   $\langle proof \rangle$ 

lemma idealD1:
  ideal A  $\implies \exists x. x \in A$ 
   $\langle proof \rangle$ 

lemma idealD2:
   $[\text{ideal } A; x \in A; y \in A] \implies \exists z \in A. x \trianglelefteq z \wedge y \trianglelefteq z$ 
   $\langle proof \rangle$ 

```

```
lemma idealD3:
   $\llbracket \text{ideal } A; x \preceq y; y \in A \rrbracket \implies x \in A$ 
   $\langle proof \rangle$ 
```

```
lemma ideal-principal: ideal {x. x  $\preceq$  z}
   $\langle proof \rangle$ 
```

```
lemma ex-ideal:  $\exists A. A \in \{A. \text{ideal } A\}$ 
   $\langle proof \rangle$ 
```

The set of ideals is a cpo

```
lemma ideal-UN:
  fixes A :: nat  $\Rightarrow$  'a set
  assumes ideal-A:  $\bigwedge i. \text{ideal } (A i)$ 
  assumes chain-A:  $\bigwedge i j. i \leq j \implies A i \subseteq A j$ 
  shows ideal ( $\bigcup i. A i$ )
   $\langle proof \rangle$ 
```

```
lemma typedef-ideal-po:
  fixes Abs :: 'a set  $\Rightarrow$  'b::below
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
  shows OFCLASS('b, po-class)
   $\langle proof \rangle$ 
```

```
lemma
  fixes Abs :: 'a set  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
  assumes S: chain S
  shows typedef-ideal-lub: range S <<| Abs ( $\bigcup i. \text{Rep } (S i)$ )
  and typedef-ideal-rep-lub: Rep ( $\bigcup i. S i$ ) = ( $\bigcup i. \text{Rep } (S i)$ )
   $\langle proof \rangle$ 
```

```
lemma typedef-ideal-cpo:
  fixes Abs :: 'a set  $\Rightarrow$  'b::po
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below:  $\bigwedge x y. x \sqsubseteq y \longleftrightarrow \text{Rep } x \subseteq \text{Rep } y$ 
  shows OFCLASS('b, cpo-class)
   $\langle proof \rangle$ 
```

end

```
interpretation below: preorder below :: 'a::po  $\Rightarrow$  'a  $\Rightarrow$  bool
   $\langle proof \rangle$ 
```

20.2 Lemmas about least upper bounds

```
lemma is-ub-the-lub-ex:  $\llbracket \exists u. S <<| u; x \in S \rrbracket \implies x \sqsubseteq \text{lub } S$ 
```

$\langle proof \rangle$

lemma *is-lub-the-lub-ex*: $\llbracket \exists u. S <<| u; S <| x \rrbracket \implies \text{lub } S \sqsubseteq x$
 $\langle proof \rangle$

20.3 Locale for ideal completion

hide-const (open) *Filter.principal*

```
locale ideal-completion = preorder +
  fixes principal :: 'a::type => 'b
  fixes rep :: 'b => 'a::type set
  assumes ideal-rep:  $\bigwedge x. \text{ideal} (\text{rep } x)$ 
  assumes rep-lub:  $\bigwedge Y. \text{chain } Y \implies \text{rep} (\bigsqcup i. Y i) = (\bigcup i. \text{rep} (Y i))$ 
  assumes rep-principal:  $\bigwedge a. \text{rep} (\text{principal } a) = \{b. b \preceq a\}$ 
  assumes belowI:  $\bigwedge x y. \text{rep } x \subseteq \text{rep } y \implies x \sqsubseteq y$ 
  assumes countable:  $\exists f::'a \Rightarrow \text{nat}. \text{inj } f$ 
begin
```

lemma *rep-mono*: $x \sqsubseteq y \implies \text{rep } x \subseteq \text{rep } y$
 $\langle proof \rangle$

lemma *below-def*: $x \sqsubseteq y \longleftrightarrow \text{rep } x \subseteq \text{rep } y$
 $\langle proof \rangle$

lemma *principal-below-iff-mem-rep*: $\text{principal } a \sqsubseteq x \longleftrightarrow a \in \text{rep } x$
 $\langle proof \rangle$

lemma *principal-below-iff [simp]*: $\text{principal } a \sqsubseteq \text{principal } b \longleftrightarrow a \preceq b$
 $\langle proof \rangle$

lemma *principal-eq-iff*: $\text{principal } a = \text{principal } b \longleftrightarrow a \preceq b \wedge b \preceq a$
 $\langle proof \rangle$

lemma *eq-iff*: $x = y \longleftrightarrow \text{rep } x = \text{rep } y$
 $\langle proof \rangle$

lemma *principal-mono*: $a \preceq b \implies \text{principal } a \sqsubseteq \text{principal } b$
 $\langle proof \rangle$

lemma *ch2ch-principal [simp]*:
 $\forall i. Y i \preceq Y (\text{Suc } i) \implies \text{chain} (\lambda i. \text{principal} (Y i))$
 $\langle proof \rangle$

20.3.1 Principal ideals approximate all elements

lemma *compact-principal [simp]*: $\text{compact} (\text{principal } a)$
 $\langle proof \rangle$

Construct a chain whose lub is the same as a given ideal

lemma *obtain-principal-chain*:
obtains Y **where** $\forall i. Y i \preceq Y (\text{Suc } i)$ **and** $x = (\bigsqcup i. \text{principal} (Y i))$
(proof)

lemma *principal-induct*:
assumes $adm: adm P$
assumes $P: \bigwedge a. P (\text{principal } a)$
shows $P x$
(proof)

lemma *compact-imp-principal*: $\text{compact } x \implies \exists a. x = \text{principal } a$
(proof)

20.4 Defining functions in terms of basis elements

definition

extension :: $('a::type \Rightarrow 'c) \Rightarrow 'b \rightarrow 'c$ **where**
extension = $(\lambda f. (\Lambda x. \text{lub} (f ` \text{rep } x)))$

lemma *extension-lemma*:
fixes $f :: 'a::type \Rightarrow 'c$
assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows $\exists u. f ` \text{rep } x <<| u$
(proof)

lemma *extension-beta*:
fixes $f :: 'a::type \Rightarrow 'c$
assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows *extension f*. $x = \text{lub} (f ` \text{rep } x)$
(proof)

lemma *extension-principal*:
fixes $f :: 'a::type \Rightarrow 'c$
assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
shows *extension f*. $(\text{principal } a) = f a$
(proof)

lemma *extension-mono*:
assumes $f\text{-mono}: \bigwedge a b. a \preceq b \implies f a \sqsubseteq f b$
assumes $g\text{-mono}: \bigwedge a b. a \preceq b \implies g a \sqsubseteq g b$
assumes $\text{below}: \bigwedge a. f a \sqsubseteq g a$
shows *extension f* \sqsubseteq *extension g*
(proof)

lemma *cont-extension*:
assumes $f\text{-mono}: \bigwedge a b x. a \preceq b \implies f x a \sqsubseteq f x b$
assumes $f\text{-cont}: \bigwedge a. \text{cont} (\lambda x. f x a)$
shows $\text{cont} (\lambda x. \text{extension} (\lambda a. f x a))$
(proof)

```

end

lemma (in preorder) typedef-ideal-completion:
  fixes Abs :: 'a set ⇒ 'b
  assumes type: type-definition Rep Abs {S. ideal S}
  assumes below: ∀x y. x ⊑ y ↔ Rep x ⊑ Rep y
  assumes principal: ∀a. principal a = Abs {b. b ⊑ a}
  assumes countable: ∃f::'a ⇒ nat. inj f
  shows ideal-completion r principal Rep
  ⟨proof⟩

end

```

21 A universal bifinite domain

```

theory Universal
imports Bifinite Completion HOL-Library.Nat-Bijection
begin

unbundle no binomial-syntax

```

21.1 Basis for universal domain

21.1.1 Basis datatype

type-synonym *ubasis* = *nat*

definition

node :: *nat* ⇒ *ubasis* ⇒ *ubasis set* ⇒ *ubasis*

where

node i a S = *Suc* (*prod-encode* (*i*, *prod-encode* (*a*, *set-encode* *S*)))

lemma *node-not-0* [simp]: *node i a S* ≠ 0
 ⟨proof⟩

lemma *node-gt-0* [simp]: 0 < *node i a S*
 ⟨proof⟩

lemma *node-inject* [simp]:
 $\llbracket \text{finite } S; \text{finite } T \rrbracket$
 $\implies \text{node } i \text{ a } S = \text{node } j \text{ b } T \longleftrightarrow i = j \wedge a = b \wedge S = T$
 ⟨proof⟩

lemma *node-gt0*: *i* < *node i a S*
 ⟨proof⟩

lemma *node-gt1*: *a* < *node i a S*
 ⟨proof⟩

```

lemma nat-less-power2:  $n < 2^n$ 
  ⟨proof⟩

lemma node-gt2:  $\llbracket \text{finite } S; b \in S \rrbracket \implies b < \text{node } i \ a \ S$ 
  ⟨proof⟩

lemma eq-prod-encode-pairI:
   $\llbracket \text{fst } (\text{prod-decode } x) = a; \text{snd } (\text{prod-decode } x) = b \rrbracket \implies x = \text{prod-encode } (a, b)$ 
  ⟨proof⟩

lemma node-cases:
  assumes 1:  $x = 0 \implies P$ 
  assumes 2:  $\bigwedge i \ a \ S. \llbracket \text{finite } S; x = \text{node } i \ a \ S \rrbracket \implies P$ 
  shows  $P$ 
  ⟨proof⟩

lemma node-induct:
  assumes 1:  $P \ 0$ 
  assumes 2:  $\bigwedge i \ a \ S. \llbracket P \ a; \text{finite } S; \forall b \in S. \ P \ b \rrbracket \implies P \ (\text{node } i \ a \ S)$ 
  shows  $P \ x$ 
  ⟨proof⟩

```

21.1.2 Basis ordering

```

inductive
  ubasis-le :: nat ⇒ nat ⇒ bool
where
  ubasis-le-refl: ubasis-le a a
  | ubasis-le-trans:
     $\llbracket \text{ubasis-le } a \ b; \text{ubasis-le } b \ c \rrbracket \implies \text{ubasis-le } a \ c$ 
  | ubasis-le-lower:
     $\text{finite } S \implies \text{ubasis-le } a \ (\text{node } i \ a \ S)$ 
  | ubasis-le-upper:
     $\llbracket \text{finite } S; b \in S; \text{ubasis-le } a \ b \rrbracket \implies \text{ubasis-le } (\text{node } i \ a \ S) \ b$ 

```

```

lemma ubasis-le-minimal: ubasis-le 0 x
  ⟨proof⟩

```

```

interpretation udom: preorder ubasis-le
  ⟨proof⟩

```

21.1.3 Generic take function

```

function
  ubasis-until :: (ubasis ⇒ bool) ⇒ ubasis ⇒ ubasis
where
  ubasis-until P 0 = 0
  | finite S ⇒ ubasis-until P ( $\text{node } i \ a \ S$ ) =
    (if P ( $\text{node } i \ a \ S$ ) then  $\text{node } i \ a \ S$  else ubasis-until P a)

```

$\langle proof \rangle$

termination *ubasis-until*

$\langle proof \rangle$

lemma *ubasis-until*: $P 0 \implies P (\text{ubasis-until } P x)$

lemma *ubasis-until'*: $0 < \text{ubasis-until } P x \implies P (\text{ubasis-until } P x)$

lemma *ubasis-until-same*: $P x \implies \text{ubasis-until } P x = x$

$\langle proof \rangle$

lemma *ubasis-until-idem*:

$P 0 \implies \text{ubasis-until } P (\text{ubasis-until } P x) = \text{ubasis-until } P x$

$\langle proof \rangle$

lemma *ubasis-until-0*:

$\forall x. x \neq 0 \longrightarrow \neg P x \implies \text{ubasis-until } P x = 0$

$\langle proof \rangle$

lemma *ubasis-until-less*: $\text{ubasis-le} (\text{ubasis-until } P x) x$

$\langle proof \rangle$

lemma *ubasis-until-chain*:

assumes $PQ: \bigwedge x. P x \implies Q x$

shows $\text{ubasis-le} (\text{ubasis-until } P x) (\text{ubasis-until } Q x)$

$\langle proof \rangle$

lemma *ubasis-until-mono*:

assumes $\bigwedge i a S b. [\![\text{finite } S; P (\text{node } i a S); b \in S; \text{ubasis-le } a b]\!] \implies P b$

shows $\text{ubasis-le } a b \implies \text{ubasis-le} (\text{ubasis-until } P a) (\text{ubasis-until } P b)$

$\langle proof \rangle$

lemma *finite-range-ubasis-until*:

$\text{finite } \{x. P x\} \implies \text{finite} (\text{range} (\text{ubasis-until } P))$

$\langle proof \rangle$

21.2 Defining the universal domain by ideal completion

typedef *udom* = {*S*. *udom.ideal* *S*}

$\langle proof \rangle$

instantiation *udom* :: *below*

begin

definition

$x \sqsubseteq y \longleftrightarrow \text{Rep-udom } x \subseteq \text{Rep-udom } y$

```

instance ⟨proof⟩
end

instance udom :: po
⟨proof⟩

instance udom :: cpo
⟨proof⟩

definition
  udom-principal :: nat ⇒ udom where
    udom-principal t = Abs-udom {u. ubasis-le u t}

lemma ubasis-countable: ∃f::ubasis ⇒ nat. inj f
⟨proof⟩

interpretation udom:
  ideal-completion ubasis-le udom-principal Rep-udom
⟨proof⟩

Universal domain is pointed

lemma udom-minimal: udom-principal 0 ⊑ x
⟨proof⟩

instance udom :: pcpo
⟨proof⟩

lemma inst-udom-pcpo: ⊥ = udom-principal 0
⟨proof⟩

```

21.3 Compact bases of domains

```

typedef 'a compact-basis = {x:'a::pcpo. compact x}
⟨proof⟩

lemma Rep-compact-basis' [simp]: compact (Rep-compact-basis a)
⟨proof⟩

lemma Abs-compact-basis-inverse' [simp]:
  compact x ⇒ Rep-compact-basis (Abs-compact-basis x) = x
⟨proof⟩

instantiation compact-basis :: (pcpo) below
begin

definition
  compact-le-def:
    ( $\sqsubseteq$ ) ≡ ( $\lambda x y. \text{Rep-compact-basis } x \sqsubseteq \text{Rep-compact-basis } y$ )

```

```

instance ⟨proof⟩
end

instance compact-basis :: (pcpo) po
⟨proof⟩

definition
approximants :: 'a::pcpo ⇒ 'a compact-basis set where
approximants = (λx. {a. Rep-compact-basis a ⊑ x})

definition
compact-bot :: 'a::pcpo compact-basis where
compact-bot = Abs-compact-basis ⊥

lemma Rep-compact-bot [simp]: Rep-compact-basis compact-bot = ⊥
⟨proof⟩

lemma compact-bot-minimal [simp]: compact-bot ⊑ a
⟨proof⟩

```

21.4 Universality of *udom*

We use a locale to parameterize the construction over a chain of approx functions on the type to be embedded.

```

locale bifinite-approx-chain =
approx-chain approx for approx :: nat ⇒ 'a::bifinite → 'a
begin

```

21.4.1 Choosing a maximal element from a finite set

```

lemma finite-has-maximal:
fixes A :: 'a compact-basis set
shows [|finite A; A ≠ {}|] ⇒ ∃x∈A. ∀y∈A. x ⊑ y → x = y
⟨proof⟩

```

```

definition
choose :: 'a compact-basis set ⇒ 'a compact-basis
where
choose A = (SOME x. x ∈ {x∈A. ∀y∈A. x ⊑ y → x = y})

```

```

lemma choose-lemma:
 [|finite A; A ≠ {}|] ⇒ choose A ∈ {x∈A. ∀y∈A. x ⊑ y → x = y}
⟨proof⟩

```

```

lemma maximal-choose:
 [|finite A; y ∈ A; choose A ⊑ y|] ⇒ choose A = y
⟨proof⟩

```

```

lemma choose-in:  $\llbracket \text{finite } A; A \neq \{\} \rrbracket \implies \text{choose } A \in A$ 
⟨proof⟩

function
  choose-pos :: 'a compact-basis set  $\Rightarrow$  'a compact-basis  $\Rightarrow$  nat
where
  choose-pos A x =
    (if finite A  $\wedge$  x  $\in$  A  $\wedge$  x  $\neq$  choose A
     then Suc (choose-pos (A - {choose A}) x) else 0)
⟨proof⟩

termination choose-pos
⟨proof⟩

declare choose-pos.simps [simp del]

lemma choose-pos-choose: finite A  $\implies$  choose-pos A (choose A) = 0
⟨proof⟩

lemma inj-on-choose-pos [OF refl]:
   $\llbracket \text{card } A = n; \text{finite } A \rrbracket \implies \text{inj-on } (\text{choose-pos } A) A$ 
⟨proof⟩

lemma choose-pos-bounded [OF refl]:
   $\llbracket \text{card } A = n; \text{finite } A; x \in A \rrbracket \implies \text{choose-pos } A x < n$ 
⟨proof⟩

lemma choose-pos-lessD:
   $\llbracket \text{choose-pos } A x < \text{choose-pos } A y; \text{finite } A; x \in A; y \in A \rrbracket \implies x \not\sqsubseteq y$ 
⟨proof⟩

```

21.4.2 Compact basis take function

```

primrec
  cb-take :: nat  $\Rightarrow$  'a compact-basis  $\Rightarrow$  'a compact-basis where
  cb-take 0 = ( $\lambda x$ . compact-bot)
  | cb-take (Suc n) = ( $\lambda a$ . Abs-compact-basis (approx n · (Rep-compact-basis a)))

declare cb-take.simps [simp del]

lemma cb-take-zero [simp]: cb-take 0 a = compact-bot
⟨proof⟩

lemma Rep-cb-take:
  Rep-compact-basis (cb-take (Suc n) a) = approx n · (Rep-compact-basis a)
⟨proof⟩

lemmas approx-Rep-compact-basis = Rep-cb-take [symmetric]

```

lemma *cb-take-covers*: $\exists n. \text{cb-take } n x = x$
 $\langle \text{proof} \rangle$

lemma *cb-take-less*: $\text{cb-take } n x \sqsubseteq x$
 $\langle \text{proof} \rangle$

lemma *cb-take-idem*: $\text{cb-take } n (\text{cb-take } n x) = \text{cb-take } n x$
 $\langle \text{proof} \rangle$

lemma *cb-take-mono*: $x \sqsubseteq y \implies \text{cb-take } n x \sqsubseteq \text{cb-take } n y$
 $\langle \text{proof} \rangle$

lemma *cb-take-chain-le*: $m \leq n \implies \text{cb-take } m x \sqsubseteq \text{cb-take } n x$
 $\langle \text{proof} \rangle$

lemma *finite-range-cb-take*: $\text{finite}(\text{range}(\text{cb-take } n))$
 $\langle \text{proof} \rangle$

21.4.3 Rank of basis elements

definition

rank :: '*a compact-basis* \Rightarrow nat

where

rank *x* = (*LEAST* *n*. *cb-take* *n* *x* = *x*)

lemma *compact-approx-rank*: $\text{cb-take}(\text{rank } x) x = x$
 $\langle \text{proof} \rangle$

lemma *rank-leD*: $\text{rank } x \leq n \implies \text{cb-take } n x = x$
 $\langle \text{proof} \rangle$

lemma *rank-leI*: $\text{cb-take } n x = x \implies \text{rank } x \leq n$
 $\langle \text{proof} \rangle$

lemma *rank-le-iff*: $\text{rank } x \leq n \longleftrightarrow \text{cb-take } n x = x$
 $\langle \text{proof} \rangle$

lemma *rank-compact-bot* [*simp*]: $\text{rank compact-bot} = 0$
 $\langle \text{proof} \rangle$

lemma *rank-eq-0-iff* [*simp*]: $\text{rank } x = 0 \longleftrightarrow x = \text{compact-bot}$
 $\langle \text{proof} \rangle$

definition

rank-le :: '*a compact-basis* \Rightarrow '*a compact-basis set*

where

rank-le *x* = {*y*. *rank* *y* \leq *rank* *x*}

definition

rank-lt :: '*a compact-basis* \Rightarrow '*a compact-basis set*
where
rank-lt $x = \{y. \text{rank } y < \text{rank } x\}$

definition
rank-eq :: '*a compact-basis* \Rightarrow '*a compact-basis set*
where
rank-eq $x = \{y. \text{rank } y = \text{rank } x\}$

lemma *rank-eq-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-eq } x = \text{rank-eq } y$
 $\langle \text{proof} \rangle$

lemma *rank-lt-cong*: $\text{rank } x = \text{rank } y \implies \text{rank-lt } x = \text{rank-lt } y$
 $\langle \text{proof} \rangle$

lemma *rank-eq-subset*: $\text{rank-eq } x \subseteq \text{rank-le } x$
 $\langle \text{proof} \rangle$

lemma *rank-lt-subset*: $\text{rank-lt } x \subseteq \text{rank-le } x$
 $\langle \text{proof} \rangle$

lemma *finite-rank-le*: $\text{finite } (\text{rank-le } x)$
 $\langle \text{proof} \rangle$

lemma *finite-rank-eq*: $\text{finite } (\text{rank-eq } x)$
 $\langle \text{proof} \rangle$

lemma *finite-rank-lt*: $\text{finite } (\text{rank-lt } x)$
 $\langle \text{proof} \rangle$

lemma *rank-lt-Int-rank-eq*: $\text{rank-lt } x \cap \text{rank-eq } x = \{\}$
 $\langle \text{proof} \rangle$

lemma *rank-lt-Un-rank-eq*: $\text{rank-lt } x \cup \text{rank-eq } x = \text{rank-le } x$
 $\langle \text{proof} \rangle$

21.4.4 Sequencing basis elements

definition
place :: '*a compact-basis* \Rightarrow *nat*
where
place $x = \text{card } (\text{rank-lt } x) + \text{choose-pos } (\text{rank-eq } x) \ x$

lemma *place-bounded*: $\text{place } x < \text{card } (\text{rank-le } x)$
 $\langle \text{proof} \rangle$

lemma *place-ge*: $\text{card } (\text{rank-lt } x) \leq \text{place } x$
 $\langle \text{proof} \rangle$

lemma *place-rank-mono*:
fixes $x y :: 'a \text{ compact-basis}$
shows $\text{rank } x < \text{rank } y \implies \text{place } x < \text{place } y$
{proof}

lemma *place-eqD*: $\text{place } x = \text{place } y \implies x = y$
{proof}

lemma *inj-place*: inj place
{proof}

21.4.5 Embedding and projection on basis elements

definition

$\text{sub} :: 'a \text{ compact-basis} \Rightarrow 'a \text{ compact-basis}$

where

$\text{sub } x = (\text{case rank } x \text{ of } 0 \Rightarrow \text{compact-bot} \mid \text{Suc } k \Rightarrow \text{cb-take } k \ x)$

lemma *rank-sub-less*: $x \neq \text{compact-bot} \implies \text{rank } (\text{sub } x) < \text{rank } x$
{proof}

lemma *place-sub-less*: $x \neq \text{compact-bot} \implies \text{place } (\text{sub } x) < \text{place } x$
{proof}

lemma *sub-below*: $\text{sub } x \sqsubseteq x$
{proof}

lemma *rank-less-imp-below-sub*: $\llbracket x \sqsubseteq y; \text{rank } x < \text{rank } y \rrbracket \implies x \sqsubseteq \text{sub } y$
{proof}

function *basis-emb* :: $'a \text{ compact-basis} \Rightarrow \text{ubasis}$
where $\text{basis-emb } x = (\text{if } x = \text{compact-bot} \text{ then } 0 \text{ else}$
 $\quad \text{node } (\text{place } x) (\text{basis-emb } (\text{sub } x))$
 $\quad (\text{basis-emb } ' \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\}))$
{proof}

termination *basis-emb*
{proof}

declare *basis-emb.simps* [*simp del*]

lemma *basis-emb-compact-bot* [*simp*]:
 $\text{basis-emb compact-bot} = 0$
{proof}

lemma *basis-emb-rec*:
 $\text{basis-emb } x = \text{node } (\text{place } x) (\text{basis-emb } (\text{sub } x)) (\text{basis-emb } ' \{y. \text{place } y < \text{place } x \wedge x \sqsubseteq y\})$
if $x \neq \text{compact-bot}$

$\langle proof \rangle$

lemma *basis-emb-eq-0-iff* [simp]:
 $basis\text{-}emb\ x = 0 \longleftrightarrow x = compact\text{-}bot$
 $\langle proof \rangle$

lemma *fin1*: *finite* {*y*. *place* *y* < *place* *x* \wedge *x* \sqsubseteq *y*}
 $\langle proof \rangle$

lemma *fin2*: *finite* (*basis-emb* ‘ {*y*. *place* *y* < *place* *x* \wedge *x* \sqsubseteq *y*})
 $\langle proof \rangle$

lemma *rank-place-mono*:
 $\llbracket place\ x < place\ y; x \sqsubseteq y \rrbracket \implies rank\ x < rank\ y$
 $\langle proof \rangle$

lemma *basis-emb-mono*:
 $x \sqsubseteq y \implies ubasis\text{-}le\ (basis\text{-}emb\ x)\ (basis\text{-}emb\ y)$
 $\langle proof \rangle$

lemma *inj-basis-emb*: *inj* *basis-emb*
 $\langle proof \rangle$

definition
 $basis\text{-}prj :: ubasis \Rightarrow 'a compact\text{-}basis$
where
 $basis\text{-}prj\ x = inv\ basis\text{-}emb$
 $(ubasis\text{-}until\ (\lambda x. x \in range\ (basis\text{-}emb :: 'a compact\text{-}basis \Rightarrow ubasis))\ x)$

lemma *basis-prj-basis-emb*: $\bigwedge x. basis\text{-}prj\ (basis\text{-}emb\ x) = x$
 $\langle proof \rangle$

lemma *basis-prj-node*:
 $\llbracket finite\ S; node\ i\ a\ S \notin range\ (basis\text{-}emb :: 'a compact\text{-}basis \Rightarrow nat) \rrbracket$
 $\implies basis\text{-}prj\ (node\ i\ a\ S) = (basis\text{-}prj\ a :: 'a compact\text{-}basis)$
 $\langle proof \rangle$

lemma *basis-prj-0*: *basis-prj* 0 = *compact-bot*
 $\langle proof \rangle$

lemma *node-eq-basis-emb-iff*:
 $finite\ S \implies node\ i\ a\ S = basis\text{-}emb\ x \longleftrightarrow$
 $x \neq compact\text{-}bot \wedge i = place\ x \wedge a = basis\text{-}emb\ (sub\ x) \wedge$
 $S = basis\text{-}emb\ ' \{y. place\ y < place\ x \wedge x \sqsubseteq y\}$
 $\langle proof \rangle$

lemma *basis-prj-mono*: *ubasis-le* *a b* $\implies basis\text{-}prj\ a \sqsubseteq basis\text{-}prj\ b$
 $\langle proof \rangle$

lemma *basis-emb-prj-less*: *ubasis-le* (*basis-emb* (*basis-prj* *x*)) *x*
(proof)

lemma *ideal-completion*:

ideal-completion below Rep-compact-basis (*approximants* :: '*a* \Rightarrow -)
(proof)

end

interpretation *compact-basis*:

ideal-completion below Rep-compact-basis
approximants :: '*a*::*bifinite* \Rightarrow '*a* *compact-basis set*
(proof)

21.4.6 EP-pair from any bifinite domain into *udom*

context *bifinite-approx-chain* **begin**

definition

udom-emb :: '*a* \rightarrow *udom*

where

udom-emb = *compact-basis.extension* ($\lambda x.$ *udom-principal* (*basis-emb* *x*)))

definition

udom-prj :: *udom* \rightarrow '*a*

where

udom-prj = *udom.extension* ($\lambda x.$ *Rep-compact-basis* (*basis-prj* *x*)))

lemma *udom-emb-principal*:

udom-emb.(Rep-compact-basis x) = *udom-principal* (*basis-emb* *x*)
(proof)

lemma *udom-prj-principal*:

udom-prj.(udom-principal x) = *Rep-compact-basis* (*basis-prj* *x*)
(proof)

lemma *ep-pair-udom*: *ep-pair udom-emb udom-prj*
(proof)

end

abbreviation *udom-emb* \equiv *bifinite-approx-chain.udom-emb*

abbreviation *udom-prj* \equiv *bifinite-approx-chain.udom-prj*

lemmas *ep-pair-udom* =

bifinite-approx-chain.ep-pair-udom [unfolded *bifinite-approx-chain-def*]

21.5 Chain of approx functions for type *udom*

definition

```

udom-approx :: nat ⇒ udom → udom
where
  udom-approx i =
    udom.extension (λx. udom-principal (ubasis-until (λy. y ≤ i) x))

lemma udom-approx-mono:
  ubasis-le a b ⇒
    udom-principal (ubasis-until (λy. y ≤ i) a) ⊑
    udom-principal (ubasis-until (λy. y ≤ i) b)
  ⟨proof⟩

lemma adm-mem-finite: [cont f; finite S] ⇒ adm (λx. f x ∈ S)
  ⟨proof⟩

lemma udom-approx-principal:
  udom-approx i · (udom-principal x) =
    udom-principal (ubasis-until (λy. y ≤ i) x)
  ⟨proof⟩

lemma finite-deflation-udom-approx: finite-deflation (udom-approx i)
  ⟨proof⟩

interpretation udom-approx: finite-deflation udom-approx i
  ⟨proof⟩

lemma chain-udom-approx [simp]: chain (λi. udom-approx i)
  ⟨proof⟩

lemma lub-udom-approx [simp]: (⊔ i. udom-approx i) = ID
  ⟨proof⟩

lemma udom-approx [simp]: approx-chain udom-approx
  ⟨proof⟩

instance udom :: bifinite
  ⟨proof⟩

hide-const (open) node

unbundle binomial-syntax

end

```

22 Algebraic deflations

```

theory Algebraic
imports Universal Map-Functions
begin

```

22.1 Type constructor for finite deflations

typedef ' a ::*bifinite fin-defl* = { d ::' a \rightarrow ' a . finite-deflation d }

$\langle proof \rangle$

instantiation *fin-defl* :: (*bifinite*) below
begin

definition *below-fin-defl-def*:

$below \equiv \lambda x y. Rep\text{-}fin\text{-}defl x \sqsubseteq Rep\text{-}fin\text{-}defl y$

instance $\langle proof \rangle$
end

instance *fin-defl* :: (*bifinite*) po
 $\langle proof \rangle$

lemma *finite-deflation-Rep-fin-defl*: finite-deflation (*Rep-fin-defl d*)
 $\langle proof \rangle$

lemma *deflation-Rep-fin-defl*: deflation (*Rep-fin-defl d*)
 $\langle proof \rangle$

interpretation *Rep-fin-defl*: finite-deflation *Rep-fin-defl d*
 $\langle proof \rangle$

lemma *fin-defl-belowI*:
 $(\bigwedge x. Rep\text{-}fin\text{-}defl a \cdot x = x \implies Rep\text{-}fin\text{-}defl b \cdot x = x) \implies a \sqsubseteq b$
 $\langle proof \rangle$

lemma *fin-defl-belowD*:
 $[\![a \sqsubseteq b; Rep\text{-}fin\text{-}defl a \cdot x = x]\!] \implies Rep\text{-}fin\text{-}defl b \cdot x = x$
 $\langle proof \rangle$

lemma *fin-defl-eqI*:
 $a = b \text{ if } (\bigwedge x. Rep\text{-}fin\text{-}defl a \cdot x = x \longleftrightarrow Rep\text{-}fin\text{-}defl b \cdot x = x)$
 $\langle proof \rangle$

lemma *Rep-fin-defl-mono*: $a \sqsubseteq b \implies Rep\text{-}fin\text{-}defl a \sqsubseteq Rep\text{-}fin\text{-}defl b$
 $\langle proof \rangle$

lemma *Abs-fin-defl-mono*:
 $\llbracket \text{finite-deflation } a; \text{finite-deflation } b; a \sqsubseteq b \rrbracket$
 $\implies Abs\text{-}fin\text{-}defl a \sqsubseteq Abs\text{-}fin\text{-}defl b$
 $\langle proof \rangle$

lemma (**in** *finite-deflation*) *compact-belowI*:
 $d \sqsubseteq f \text{ if } \bigwedge x. compact x \implies d \cdot x = x \implies f \cdot x = x$
 $\langle proof \rangle$

lemma *compact-Rep-fin-defl* [simp]: *compact* (*Rep-fin-defl a*)
⟨proof⟩

22.2 Defining algebraic deflations by ideal completion

typedef '*a::bifinite defl* = {*S::'a fin-defl set. below.ideal S*}

instantiation *defl* :: (*bifinite*) *below*
begin

definition *x ⊑ y* \longleftrightarrow *Rep-defl x ⊆ Rep-defl y*

instance *⟨proof⟩*

end

instance *defl* :: (*bifinite*) *po*
⟨proof⟩

instance *defl* :: (*bifinite*) *cpo*
⟨proof⟩

definition *defl-principal* :: '*a::bifinite fin-defl* \Rightarrow '*a defl*
where *defl-principal t* = *Abs-defl {u. u ⊑ t}*

lemma *fin-defl-countable*: $\exists f: 'a::bifinite fin-defl \Rightarrow \text{nat. inj } f$
⟨proof⟩

interpretation *defl*: *ideal-completion below defl-principal Rep-defl*
⟨proof⟩

Algebraic deflations are pointed

lemma *defl-minimal*: *defl-principal (Abs-fin-defl ⊥)* $\sqsubseteq x$
⟨proof⟩

instance *defl* :: (*bifinite*) *pcpo*
⟨proof⟩

lemma *inst-defl-pcpo*: $\perp = \text{defl-principal} (\text{Abs-fin-defl } \perp)$
⟨proof⟩

22.3 Applying algebraic deflations

definition *cast* :: '*a::bifinite defl* \rightarrow '*a* \rightarrow '*a*
where *cast* = *defl.extension Rep-fin-defl*

lemma *cast-defl-principal*: *cast · (defl-principal a)* = *Rep-fin-defl a*
⟨proof⟩

lemma *deflation-cast*: *deflation* (*cast*·*d*)
⟨proof⟩

lemma *finite-deflation-cast*: *compact* *d* \implies *finite-deflation* (*cast*·*d*)
⟨proof⟩

interpretation *cast*: *deflation* *cast*·*d*
⟨proof⟩

declare *cast.idem* [*simp*]

lemma *compact-cast* [*simp*]: *compact* (*cast*·*d*) **if** *compact* *d*
⟨proof⟩

lemma *cast-below-cast*: *cast*·*A* \sqsubseteq *cast*·*B* \longleftrightarrow *A* \sqsubseteq *B*
⟨proof⟩

lemma *compact-cast-iff*: *compact* (*cast*·*d*) \longleftrightarrow *compact* *d*
⟨proof⟩

lemma *cast-below-imp-below*: *cast*·*A* \sqsubseteq *cast*·*B* \implies *A* \sqsubseteq *B*
⟨proof⟩

lemma *cast-eq-imp-eq*: *cast*·*A* = *cast*·*B* \implies *A* = *B*
⟨proof⟩

lemma *cast-strict1* [*simp*]: *cast*· \perp = \perp
⟨proof⟩

lemma *cast-strict2* [*simp*]: *cast*·*A*· \perp = \perp
⟨proof⟩

22.4 Deflation combinators

definition

```
deft-fun1 e p f =
  defl.extension (λa.
    defl-principal (Abs-fin-defl
      (e oo f · (Rep-fin-defl a) oo p)))
```

definition

```
deft-fun2 e p f =
  defl.extension (λa.
    defl.extension (λb.
      defl-principal (Abs-fin-defl
        (e oo f · (Rep-fin-defl a) · (Rep-fin-defl b) oo p))))
```

lemma *cast-defl-fun1*:

```

assumes ep: ep-pair e p
assumes f:  $\bigwedge a.$  finite-deflation  $a \implies$  finite-deflation  $(f \cdot a)$ 
shows cast · (defl-fun1 e p f · A) = e oo f · (cast · A) oo p
⟨proof⟩

lemma cast-defl-fun2:
assumes ep: ep-pair e p
assumes f:  $\bigwedge a b.$  finite-deflation  $a \implies$  finite-deflation  $b \implies$ 
finite-deflation  $(f \cdot a \cdot b)$ 
shows cast · (defl-fun2 e p f · A · B) = e oo f · (cast · A) · (cast · B) oo p
⟨proof⟩

end

```

23 Representable domains

```

theory Representable
imports Algebraic Map-Functions HOL-Library.Countable
begin

```

23.1 Class of representable domains

We define a “domain” as a cpo that is isomorphic to some algebraic deflation over the universal domain; this is equivalent to being omega-bifinite. A predomain is a cpo that, when lifted, becomes a domain. Predomains are represented by deflations over a lifted universal domain type.

```

class predomain-syn = cpo +
fixes liftemb :: ' $a_{\perp} \rightarrow udom_{\perp}$ '
fixes liftprj ::  $udom_{\perp} \rightarrow 'a_{\perp}$ 
fixes liftdefl :: ' $a$  itself  $\Rightarrow udom$  u defl

class predomain = predomain-syn +
assumes predomain-ep: ep-pair liftemb liftprj
assumes cast-liftdefl: cast · (liftdefl TYPE('a)) = liftemb oo liftprj

syntax -LIFTDEFL :: type  $\Rightarrow$  logic  $((1LIFTDEFL/(1'(-))))$ 
syntax-consts -LIFTDEFL  $\doteqdot$  liftdefl
translations LIFTDEFL('t)  $\doteqdot$  CONST liftdefl TYPE('t)

definition liftdefl-of ::  $udom$  defl  $\rightarrow udom$  u defl
where liftdefl-of = defl-fun1 ID ID u-map

lemma cast-liftdefl-of: cast · (liftdefl-of · t) = u-map · (cast · t)
⟨proof⟩

class domain = predomain-syn + pcpo +
fixes emb :: ' $a \rightarrow udom$ 
fixes prj ::  $udom \rightarrow 'a$ 

```

```

fixes defl :: 'a itself  $\Rightarrow$  udom defl
assumes ep-pair-emb-prj: ep-pair emb prj
assumes cast-DEFL: cast·(defl TYPE('a)) = emb oo prj
assumes liftemb-eq: liftemb = u-map·emb
assumes liftprj-eq: liftprj = u-map·prj
assumes liftdefl-eq: liftdefl TYPE('a) = liftdefl-of·(defl TYPE('a))

```

```

syntax -DEFL :: type  $\Rightarrow$  logic ( $\langle\langle 1\text{DEFL}/(1'(-)) \rangle\rangle$ )
syntax-consts -DEFL  $\Leftarrow$  defl
translations DEFL('t)  $\Leftarrow$  CONST defl TYPE('t)

```

instance domain \subseteq predomain
 $\langle proof \rangle$

Constants liftemb and liftprj imply class predomain.
 $\langle ML \rangle$

interpretation predomain: pcpo-ep-pair liftemb liftprj
 $\langle proof \rangle$

interpretation domain: pcpo-ep-pair emb prj
 $\langle proof \rangle$

```

lemmas emb-inverse = domain.e-inverse
lemmas emb-prj-below = domain.e-p-below
lemmas emb-eq-iff = domain.e-eq-iff
lemmas emb-strict = domain.e-strict
lemmas prj-strict = domain.p-strict

```

23.2 Domains are bifinite

```

lemma approx-chain-ep-cast:
assumes ep: ep-pair (e::'a::pcpo  $\rightarrow$  'b::bifinite) (p::'b  $\rightarrow$  'a)
assumes cast-t: cast·t = e oo p
shows  $\exists (a::nat \Rightarrow 'a::pcpo \rightarrow 'a)$ . approx-chain a
 $\langle proof \rangle$ 

```

instance domain \subseteq bifinite
 $\langle proof \rangle$

instance predomain \subseteq profinite
 $\langle proof \rangle$

23.3 Universal domain ep-pairs

```

definition u-emb = udom-emb ( $\lambda i$ . u-map·(udom-approx i))
definition u-prj = udom-prj ( $\lambda i$ . u-map·(udom-approx i))

```

```

definition prod-emb = udom-emb ( $\lambda i$ . prod-map·(udom-approx i)·(udom-approx i))

```

```

definition prod-prj = udom-prj ( $\lambda i.$  prod-map·(udom-approx  $i$ )·(udom-approx  $i$ ))

definition sprod-emb = udom-emb ( $\lambda i.$  sprod-map·(udom-approx  $i$ )·(udom-approx  $i$ ))
definition sprod-prj = udom-prj ( $\lambda i.$  sprod-map·(udom-approx  $i$ )·(udom-approx  $i$ ))

definition ssum-emb = udom-emb ( $\lambda i.$  ssum-map·(udom-approx  $i$ )·(udom-approx  $i$ ))
definition ssum-prj = udom-prj ( $\lambda i.$  ssum-map·(udom-approx  $i$ )·(udom-approx  $i$ ))

definition sfun-emb = udom-emb ( $\lambda i.$  sfun-map·(udom-approx  $i$ )·(udom-approx  $i$ ))
definition sfun-prj = udom-prj ( $\lambda i.$  sfun-map·(udom-approx  $i$ )·(udom-approx  $i$ ))

lemma ep-pair-u: ep-pair u-emb u-prj
  ⟨proof⟩

lemma ep-pair-prod: ep-pair prod-emb prod-prj
  ⟨proof⟩

lemma ep-pair-sprod: ep-pair sprod-emb sprod-prj
  ⟨proof⟩

lemma ep-pair-ssum: ep-pair ssum-emb ssum-prj
  ⟨proof⟩

lemma ep-pair-sfun: ep-pair sfun-emb sfun-prj
  ⟨proof⟩

```

23.4 Type combinators

```

definition u-defl :: udom defl → udom defl
  where u-defl = defl-fun1 u-emb u-prj u-map

definition prod-defl :: udom defl → udom defl → udom defl
  where prod-defl = defl-fun2 prod-emb prod-prj prod-map

definition sprod-defl :: udom defl → udom defl → udom defl
  where sprod-defl = defl-fun2 sprod-emb sprod-prj sprod-map

definition ssum-defl :: udom defl → udom defl → udom defl
  where ssum-defl = defl-fun2 ssum-emb ssum-prj ssum-map

definition sfun-defl :: udom defl → udom defl → udom defl
  where sfun-defl = defl-fun2 sfun-emb sfun-prj sfun-map

lemma cast-u-defl:
  cast·(u-defl·A) = u-emb oo u-map·(cast·A) oo u-prj
  ⟨proof⟩

```

```

lemma cast-prod-defl:
  cast·(prod-defl·A·B) =
    prod-emb oo prod-map·(cast·A)·(cast·B) oo prod-prj
  ⟨proof⟩

lemma cast-sprod-defl:
  cast·(sprod-defl·A·B) =
    sprod-emb oo sprod-map·(cast·A)·(cast·B) oo sprod-prj
  ⟨proof⟩

lemma cast-ssum-defl:
  cast·(ssum-defl·A·B) =
    ssum-emb oo ssum-map·(cast·A)·(cast·B) oo ssum-prj
  ⟨proof⟩

lemma cast-sfun-defl:
  cast·(sfun-defl·A·B) =
    sfun-emb oo sfun-map·(cast·A)·(cast·B) oo sfun-prj
  ⟨proof⟩

```

Special deflation combinator for unpointed types.

```

definition u-liftdefl :: udom u defl → udom defl
  where u-liftdefl = defl-fun1 u-emb u-prj ID

```

```

lemma cast-u-liftdefl:
  cast·(u-liftdefl·A) = u-emb oo cast·A oo u-prj
  ⟨proof⟩

```

```

lemma u-liftdefl-liftdefl-of:
  u-liftdefl·(liftdefl-of·A) = u-defl·A
  ⟨proof⟩

```

23.5 Class instance proofs

23.5.1 Universal domain

```

instantiation udom :: domain
begin

```

```

definition [simp]:
  emb = (ID :: udom → udom)

```

```

definition [simp]:
  prj = (ID :: udom → udom)

```

```

definition
  defl (t::udom itself) = (⊔ i. defl-principal (Abs-fin-defl (udom-approx i)))

```

```

definition
  (liftemb :: udom u → udom u) = u-map·emb

```

```

definition
  (liftprj :: udom u → udom u) = u-map·prj

definition
  liftdefl (t::udom itself) = liftdefl-of·DEFL(udom)

instance ⟨proof⟩

end

```

23.5.2 Lifted cpo

```

instantiation u :: (predomain) domain
begin

definition
  emb = u-emb oo liftemb

definition
  prj = liftprj oo u-prj

definition
  defl (t::'a u itself) = u-liftdefl·LIFTDEFL('a)

definition
  (liftemb :: 'a u u → udom u) = u-map·emb

definition
  (liftprj :: udom u → 'a u u) = u-map·prj

definition
  liftdefl (t::'a u itself) = liftdefl-of·DEFL('a u)

instance ⟨proof⟩

end

```

```

lemma DEFL-u: DEFL('a::predomain u) = u-liftdefl·LIFTDEFL('a)
⟨proof⟩

```

23.5.3 Strict function space

```

instantiation sfun :: (domain, domain) domain
begin

definition
  emb = sfun-emb oo sfun-map·prj·emb

definition

```

```

prj = sfun-map·emb·prj oo sfun-prj

definition
defl (t::('a →! 'b) itself) = sfun-defl·DEFL('a)·DEFL('b)

definition
(liftemb :: ('a →! 'b) u → udom u) = u-map·emb

definition
(liftprj :: udom u → ('a →! 'b) u) = u-map·prj

definition
liftdefl (t::('a →! 'b) itself) = liftdefl-of·DEFL('a →! 'b)

instance ⟨proof⟩

end

lemma DEFL-sfun:
DEFL('a::domain →! 'b::domain) = sfun-defl·DEFL('a)·DEFL('b)
⟨proof⟩

23.5.4 Continuous function space

instantiation cfun :: (predomain, domain) domain
begin

definition
emb = emb oo encode-cfun

definition
prj = decode-cfun oo prj

definition
defl (t::('a → 'b) itself) = DEFL('a u →! 'b)

definition
(liftemb :: ('a → 'b) u → udom u) = u-map·emb

definition
(liftprj :: udom u → ('a → 'b) u) = u-map·prj

definition
liftdefl (t::('a → 'b) itself) = liftdefl-of·DEFL('a → 'b)

instance ⟨proof⟩

end

```

lemma *DEFL-cfun*:
 $\text{DEFL}('a::\text{predomain} \rightarrow 'b::\text{domain}) = \text{DEFL}('a \ u \rightarrow! 'b)$
 $\langle \text{proof} \rangle$

23.5.5 Strict product

instantiation *sprod* :: (*domain*, *domain*) *domain*
begin

definition

$$\text{emb} = \text{sprod}\text{-emb} \text{ oo } \text{sprod}\text{-map}\text{-emb}\text{-emb}$$

definition

$$\text{prj} = \text{sprod}\text{-map}\text{-prj}\text{-prj} \text{ oo } \text{sprod}\text{-prj}$$

definition

$$\text{defl} (t::('a \otimes 'b) \text{ itself}) = \text{sprod}\text{-defl}\text{-of}\text{-DEFL}('a)\text{-DEFL}('b)$$

definition

$$(\text{liftemb} :: ('a \otimes 'b) \ u \rightarrow \text{udom} \ u) = \text{u-map}\text{-emb}$$

definition

$$(\text{liftprj} :: \text{udom} \ u \rightarrow ('a \otimes 'b) \ u) = \text{u-map}\text{-prj}$$

definition

$$\text{liftdefl} (t::('a \otimes 'b) \text{ itself}) = \text{liftdefl}\text{-of}\text{-DEFL}('a \otimes 'b)$$

instance $\langle \text{proof} \rangle$

end

lemma *DEFL-sprod*:

$$\text{DEFL}('a::\text{domain} \otimes 'b::\text{domain}) = \text{sprod}\text{-defl}\text{-of}\text{-DEFL}('a)\text{-DEFL}('b)$$

$$\langle \text{proof} \rangle$$

23.5.6 Cartesian product

definition *prod-liftdefl* :: *udom* *u defl* \rightarrow *udom* *u defl* \rightarrow *udom* *u defl*
where *prod-liftdefl* = *defl-fun2* (*u-map*·*prod-emb* oo *decode-prod-u*)
 $(\text{encode-prod-u} \text{ oo } \text{u-map}\text{-prod-prj})$ *sprod-map*

lemma *cast-prod-liftdefl*:

$$\text{cast}\cdot(\text{prod-liftdefl}\cdot a\cdot b) =$$
 $(\text{u-map}\text{-prod-emb} \text{ oo } \text{decode-prod-u}) \text{ oo } \text{sprod-map}\cdot(\text{cast}\cdot a)\cdot(\text{cast}\cdot b) \text{ oo }$
 $(\text{encode-prod-u} \text{ oo } \text{u-map}\text{-prod-prj})$

$\langle \text{proof} \rangle$

instantiation *prod* :: (*predomain*, *predomain*) *predomain*
begin

definition

$$\text{liftemb} = (\text{u-map}\cdot\text{prod-emb} \text{ oo } \text{decode-prod-u}) \text{ oo } (\text{sprod-map}\cdot\text{liftemb}\cdot\text{liftemb} \text{ oo } \text{encode-prod-u})$$
definition

$$\text{liftprj} = (\text{decode-prod-u} \text{ oo } \text{sprod-map}\cdot\text{liftprj}\cdot\text{liftprj}) \text{ oo } (\text{encode-prod-u} \text{ oo } \text{u-map}\cdot\text{prod-prj})$$
definition

$$\text{liftdefl } (t::('a \times 'b) \text{ itself}) = \text{prod-liftdefl}\cdot\text{LIFTDEFL}('a)\cdot\text{LIFTDEFL}('b)$$
instance $\langle proof \rangle$ **end****instantiation** $\text{prod} :: (\text{domain}, \text{domain}) \text{ domain}$ **begin****definition**

$$\text{emb} = \text{prod-emb} \text{ oo } \text{prod-map}\cdot\text{emb}\cdot\text{emb}$$
definition

$$\text{prj} = \text{prod-map}\cdot\text{prj}\cdot\text{prj} \text{ oo } \text{prod-prj}$$
definition

$$\text{defl } (t::('a \times 'b) \text{ itself}) = \text{prod-defl}\cdot\text{DEFL}('a)\cdot\text{DEFL}('b)$$
instance $\langle proof \rangle$ **end****lemma** $\text{DEFL-prod}:$

$$\text{DEFL}('a::\text{domain} \times 'b::\text{domain}) = \text{prod-defl}\cdot\text{DEFL}('a)\cdot\text{DEFL}('b)$$

$$\langle proof \rangle$$
lemma $\text{LIFTDEFL-prod}:$

$$\text{LIFTDEFL}('a::\text{predomain} \times 'b::\text{predomain}) =$$

$$\text{prod-liftdefl}\cdot\text{LIFTDEFL}('a)\cdot\text{LIFTDEFL}('b)$$

$$\langle proof \rangle$$
23.5.7 Unit type**instantiation** $\text{unit} :: \text{domain}$ **begin****definition**

$$\text{emb} = (\perp :: \text{unit} \rightarrow \text{udom})$$
definition

```

prj = ( $\perp :: udom \rightarrow unit$ )
definition
defl (t::unit itself) =  $\perp$ 
definition
(liftemb :: unit u  $\rightarrow$  udom u) = u-map·emb
definition
(liftprj :: udom u  $\rightarrow$  unit u) = u-map·prj
definition
liftdefl (t::unit itself) = liftdefl-of·DEFL(unit)
instance ⟨proof⟩
end

```

23.5.8 Discrete cpo

```

instantiation discr :: (countable) predomain
begin

definition
(liftemb :: 'a discr u  $\rightarrow$  udom u) = strictify·up oo udom·emb discr-approx
definition
(liftprj :: udom u  $\rightarrow$  'a discr u) = udom-prj discr-approx oo fup·ID
definition
liftdefl (t::'a discr itself) =
( $\bigsqcup$  i. defl-principal (Abs-fin-defl (liftemb oo discr-approx i oo (liftprj::udom u
 $\rightarrow$  'a discr u)))))
instance ⟨proof⟩
end

```

23.5.9 Strict sum

```

instantiation ssum :: (domain, domain) domain
begin

definition
emb = ssum-emb oo ssum-map·emb·emb
definition
prj = ssum-map·prj·prj oo ssum-prj
definition

```

defl ($t::('a \oplus 'b)$ *itself*) = *ssum-defl*·*DEFL*($'a$)·*DEFL*($'b$)

definition

$(liftemb :: ('a \oplus 'b) u \rightarrow udom u) = u\text{-map}\cdot emb$

definition

$(liftprj :: udom u \rightarrow ('a \oplus 'b) u) = u\text{-map}\cdot prj$

definition

$liftdefl (t::('a \oplus 'b) itself) = liftdefl\text{-of}\cdot DEFL('a \oplus 'b)$

instance $\langle proof \rangle$

end

lemma *DEFL-ssum*:

$DEFL('a::domain \oplus 'b::domain) = ssum\text{-defl}\cdot DEFL('a)\cdot DEFL('b)$
 $\langle proof \rangle$

23.5.10 Lifted HOL type

instantiation *lift* :: (*countable*) *domain*
begin

definition

$emb = emb oo (\Lambda x. Rep\text{-}lift x)$

definition

$prj = (\Lambda y. Abs\text{-}lift y) oo prj$

definition

$defl (t::'a lift itself) = DEFL('a discr u)$

definition

$(liftemb :: 'a lift u \rightarrow udom u) = u\text{-map}\cdot emb$

definition

$(liftprj :: udom u \rightarrow 'a lift u) = u\text{-map}\cdot prj$

definition

$liftdefl (t::'a lift itself) = liftdefl\text{-of}\cdot DEFL('a lift)$

instance $\langle proof \rangle$

end

end

24 The unit domain

theory *One*

imports *Lift*

begin

type-synonym *one* = *unit lift*

translations

 (*type*) *one* \leftarrow (*type*) *unit lift*

definition *ONE* :: *one*

where *ONE* \equiv *Def ()*

Exhaustion and Elimination for type *one*

lemma *Exh-one*: *t* = \perp \vee *t* = *ONE*

 ⟨*proof*⟩

lemma *oneE* [*case-names bottom ONE*]: $\llbracket p = \perp \implies Q; p = \text{ONE} \implies Q \rrbracket \implies Q$

 ⟨*proof*⟩

lemma *one-induct* [*case-names bottom ONE*]: *P* $\perp \implies P \text{ ONE} \implies P \text{ x}$

 ⟨*proof*⟩

lemma *dist-below-one* [*simp*]: *ONE* $\not\sqsubseteq \perp$

 ⟨*proof*⟩

lemma *below-ONE* [*simp*]: *x* $\sqsubseteq \text{ONE}$

 ⟨*proof*⟩

lemma *ONE-below-iff* [*simp*]: *ONE* $\sqsubseteq x \longleftrightarrow x = \text{ONE}$

 ⟨*proof*⟩

lemma *ONE-defined* [*simp*]: *ONE* $\neq \perp$

 ⟨*proof*⟩

lemma *one-neq-iffs* [*simp*]:

x $\neq \text{ONE} \longleftrightarrow x = \perp$

ONE $\neq x \longleftrightarrow x = \perp$

x $\neq \perp \longleftrightarrow x = \text{ONE}$

$\perp \neq x \longleftrightarrow x = \text{ONE}$

 ⟨*proof*⟩

lemma *compact-ONE*: *compact ONE*

 ⟨*proof*⟩

Case analysis function for type *one*

definition *one-case* :: $'a::\text{pcpo} \rightarrow \text{one} \rightarrow 'a$

where *one-case* = $(\Lambda a x. \text{seq}\cdot x \cdot a)$

translations

*case x of XCONST ONE \Rightarrow t \Leftarrow CONST one-case·t·x
 case x of XCONST ONE :: 'a \Rightarrow t \rightharpoonup CONST one-case·t·x
 Λ (XCONST ONE). t \Leftarrow CONST one-case·t*

lemma one-case1 [simp]: (case \perp of ONE \Rightarrow t) = \perp
 $\langle proof \rangle$

lemma one-case2 [simp]: (case ONE of ONE \Rightarrow t) = t
 $\langle proof \rangle$

lemma one-case3 [simp]: (case x of ONE \Rightarrow ONE) = x
 $\langle proof \rangle$

end

theory Fixrec
imports Cprod Sprod Ssum Up One Tr Cfun
keywords fixrec :: thy-defn
begin

25 Fixed point operator and admissibility

25.1 Iteration

primrec iterate :: nat \Rightarrow ('a \rightarrow 'a) \rightarrow ('a \rightarrow 'a)
where
iterate 0 = (Λ F x. x)
| iterate (Suc n) = (Λ F x. F · (iterate n · F · x))

Derive inductive properties of iterate from primitive recursion

lemma iterate-0 [simp]: iterate 0 · F · x = x
 $\langle proof \rangle$

lemma iterate-Suc [simp]: iterate (Suc n) · F · x = F · (iterate n · F · x)
 $\langle proof \rangle$

declare iterate.simps [simp del]

lemma iterate-Suc2: iterate (Suc n) · F · x = iterate n · F · (F · x)
 $\langle proof \rangle$

lemma iterate-iterate: iterate m · F · (iterate n · F · x) = iterate (m + n) · F · x
 $\langle proof \rangle$

The sequence of function iterations is a chain.

lemma chain-iterate [simp]: chain (λi . iterate i · F · \perp)

$\langle proof \rangle$

25.2 Least fixed point operator

definition $fix :: ('a::pcpo \rightarrow 'a) \rightarrow 'a$
where $fix = (\Lambda F. \bigsqcup i. iterate i \cdot F \cdot \perp)$

Binder syntax for fix

abbreviation $fix\text{-}syn :: ('a::pcpo \Rightarrow 'a) \Rightarrow 'a$ (**binder** $\langle \mu \rangle 10$)
where $fix\text{-}syn (\lambda x. f x) \equiv fix \cdot (\Lambda x. f x)$

notation (ASCII)
 $fix\text{-}syn$ (**binder** $\langle FIX \rangle 10$)

Properties of fix

direct connection between fix and iteration

lemma $fix\text{-}def2: fix \cdot F = (\bigsqcup i. iterate i \cdot F \cdot \perp)$
 $\langle proof \rangle$

lemma $iterate\text{-}below\text{-}fix: iterate n \cdot f \cdot \perp \sqsubseteq fix \cdot f$
 $\langle proof \rangle$

Kleene’s fixed point theorems for continuous functions in pointed omega cpo’s

lemma $fix\text{-}eq: fix \cdot F = F \cdot (fix \cdot F)$
 $\langle proof \rangle$

lemma $fix\text{-}least\text{-}below: F \cdot x \sqsubseteq x \implies fix \cdot F \sqsubseteq x$
 $\langle proof \rangle$

lemma $fix\text{-}least: F \cdot x = x \implies fix \cdot F \sqsubseteq x$
 $\langle proof \rangle$

lemma $fix\text{-}eqI:$
assumes $fixed: F \cdot x = x$
and $least: \bigwedge z. F \cdot z = z \implies x \sqsubseteq z$
shows $fix \cdot F = x$
 $\langle proof \rangle$

lemma $fix\text{-}eq2: f \equiv fix \cdot F \implies f = F \cdot f$
 $\langle proof \rangle$

lemma $fix\text{-}eq3: f \equiv fix \cdot F \implies f \cdot x = F \cdot f \cdot x$
 $\langle proof \rangle$

lemma $fix\text{-}eq4: f = fix \cdot F \implies f = F \cdot f$
 $\langle proof \rangle$

lemma *fix-eq5*: $f = \text{fix}\cdot F \implies f\cdot x = F\cdot f\cdot x$
(proof)

strictness of *fix*

lemma *fix-bottom-iff*: $\text{fix}\cdot F = \perp \longleftrightarrow F\cdot \perp = \perp$
(proof)

lemma *fix-strict*: $F\cdot \perp = \perp \implies \text{fix}\cdot F = \perp$
(proof)

lemma *fix-defined*: $F\cdot \perp \neq \perp \implies \text{fix}\cdot F \neq \perp$
(proof)

fix applied to identity and constant functions

lemma *fix-id*: $(\mu x. x) = \perp$
(proof)

lemma *fix-const*: $(\mu x. c) = c$
(proof)

25.3 Fixed point induction

lemma *fix-ind*: $\text{adm } P \implies P \perp \implies (\bigwedge x. P x \implies P (F\cdot x)) \implies P (\text{fix}\cdot F)$
(proof)

lemma *cont-fix-ind*: $\text{cont } F \implies \text{adm } P \implies P \perp \implies (\bigwedge x. P x \implies P (F x)) \implies P (\text{fix}\cdot (\text{Abs-ccfun } F))$
(proof)

lemma *def-fix-ind*: $\llbracket f \equiv \text{fix}\cdot F; \text{adm } P; P \perp; \bigwedge x. P x \implies P (F\cdot x) \rrbracket \implies P f$
(proof)

lemma *fix-ind2*:
assumes *adm*: $\text{adm } P$
assumes *0*: $P \perp$ **and** *1*: $P (F\cdot \perp)$
assumes *step*: $\bigwedge x. \llbracket P x; P (F\cdot x) \rrbracket \implies P (F\cdot (F\cdot x))$
shows $P (\text{fix}\cdot F)$
(proof)

lemma *parallel-fix-ind*:
assumes *adm*: $\text{adm} (\lambda x. P (\text{fst } x) (\text{snd } x))$
assumes *base*: $P \perp \perp$
assumes *step*: $\bigwedge x y. P x y \implies P (F\cdot x) (G\cdot y)$
shows $P (\text{fix}\cdot F) (\text{fix}\cdot G)$
(proof)

lemma *cont-parallel-fix-ind*:
assumes *cont* *F* **and** *cont* *G*
assumes *adm* $(\lambda x. P (\text{fst } x) (\text{snd } x))$

```

assumes  $P \perp \perp$ 
assumes  $\bigwedge x y. P x y \implies P(F x) (G y)$ 
shows  $P(\text{fix}\cdot(\text{Abs-cfun } F)) (\text{fix}\cdot(\text{Abs-cfun } G))$ 
⟨proof⟩

```

25.4 Fixed-points on product types

Bekic’s Theorem: Simultaneous fixed points over pairs can be written in terms of separate fixed points.

```

lemma fix-cprod:
  fixes  $F :: 'a::pcpo \times 'b::pcpo \rightarrow 'a \times 'b$ 
  shows
     $\text{fix}\cdot F =$ 
     $(\mu x. \text{fst} (F\cdot(x, \mu y. \text{snd} (F\cdot(x, y)))),$ 
     $\mu y. \text{snd} (F\cdot(\mu x. \text{fst} (F\cdot(x, \mu y. \text{snd} (F\cdot(x, y)))), y)))$ 
  (is  $\text{fix}\cdot F = (?x, ?y)$ )
⟨proof⟩

```

26 Package for defining recursive functions in HOLCF

26.1 Pattern-match monad

```

pcpodef 'a match = UNIV::(one ++ 'a u) set
⟨proof⟩

```

```

definition
  fail :: 'a match where
  fail = Abs-match (sinl·ONE)

```

```

definition
  succeed :: 'a → 'a match where
  succeed = (Λ x. Abs-match (sinr·(up·x)))

```

```

lemma matchE [case-names bottom fail succeed, cases type: match]:
  [p = ⊥ ⇒ Q; p = fail ⇒ Q; ∏x. p = succeed·x ⇒ Q] ⇒ Q
⟨proof⟩

```

```

lemma succeed-defined [simp]: succeed·x ≠ ⊥
⟨proof⟩

```

```

lemma fail-defined [simp]: fail ≠ ⊥
⟨proof⟩

```

```

lemma succeed-eq [simp]: (succeed·x = succeed·y) = (x = y)
⟨proof⟩

```

```

lemma succeed-neq-fail [simp]:
  succeed·x ≠ fail fail ≠ succeed·x

```

$\langle proof \rangle$

26.1.1 Run operator

definition

```
run :: 'a match → 'a::pcpo where
  run = (Λ m. sscase·⊥·(fup·ID)·(Rep-match m))
```

rewrite rules for run

lemma *run-strict* [simp]: $run \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *run-fail* [simp]: $run \cdot fail = \perp$
 $\langle proof \rangle$

lemma *run-succeed* [simp]: $run \cdot (succeed \cdot x) = x$
 $\langle proof \rangle$

26.1.2 Monad plus operator

definition

```
mplus :: 'a match → 'a match → 'a match where
  mplus = (Λ m1 m2. sscase·(Λ -. m2)·(Λ -. m1)·(Rep-match m1))
```

abbreviation

```
mplus-syn :: ['a match, 'a match] ⇒ 'a match (infixr <+++> 65) where
  m1 +++ m2 == mplus·m1·m2
```

rewrite rules for mplus

lemma *mplus-strict* [simp]: $\perp +++ m = \perp$
 $\langle proof \rangle$

lemma *mplus-fail* [simp]: $fail +++ m = m$
 $\langle proof \rangle$

lemma *mplus-succeed* [simp]: $succeed \cdot x +++ m = succeed \cdot x$
 $\langle proof \rangle$

lemma *mplus-fail2* [simp]: $m +++ fail = m$
 $\langle proof \rangle$

lemma *mplus-assoc*: $(x +++ y) +++ z = x +++ (y +++ z)$
 $\langle proof \rangle$

26.2 Match functions for built-in types

definition

```
match-bottom :: 'a::pcpo → 'c match → 'c match
where
```

match-bottom = $(\Lambda x k. \text{seq}\cdot x \cdot \text{fail})$

definition

match-Pair :: $'a \times 'b \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c \text{ match}$

where

match-Pair = $(\Lambda x k. \text{csplit}\cdot k \cdot x)$

definition

match-spair :: $'a::\text{pcpo} \otimes 'b::\text{pcpo} \rightarrow ('a \rightarrow 'b \rightarrow 'c \text{ match}) \rightarrow 'c::\text{pcpo} \text{ match}$

where

match-spair = $(\Lambda x k. \text{ssplit}\cdot k \cdot x)$

definition

match-sinl :: $'a::\text{pcpo} \oplus 'b::\text{pcpo} \rightarrow ('a \rightarrow 'c::\text{pcpo} \text{ match}) \rightarrow 'c \text{ match}$

where

match-sinl = $(\Lambda x k. \text{sscse}\cdot k \cdot (\Lambda b. \text{fail}) \cdot x)$

definition

match-sinr :: $'a::\text{pcpo} \oplus 'b::\text{pcpo} \rightarrow ('b \rightarrow 'c::\text{pcpo} \text{ match}) \rightarrow 'c \text{ match}$

where

match-sinr = $(\Lambda x k. \text{sscse}\cdot (\Lambda a. \text{fail}) \cdot k \cdot x)$

definition

match-up :: $'a u \rightarrow ('a \rightarrow 'c::\text{pcpo} \text{ match}) \rightarrow 'c \text{ match}$

where

match-up = $(\Lambda x k. \text{fup}\cdot k \cdot x)$

definition

match-ONE :: $\text{one} \rightarrow 'c::\text{pcpo} \text{ match} \rightarrow 'c \text{ match}$

where

match-ONE = $(\Lambda \text{ONE} k. k)$

definition

match-TT :: $\text{tr} \rightarrow 'c::\text{pcpo} \text{ match} \rightarrow 'c \text{ match}$

where

match-TT = $(\Lambda x k. \text{If } x \text{ then } k \text{ else fail})$

definition

match-FF :: $\text{tr} \rightarrow 'c::\text{pcpo} \text{ match} \rightarrow 'c \text{ match}$

where

match-FF = $(\Lambda x k. \text{If } x \text{ then fail else } k)$

lemma *match-bottom-simps* [simp]:

match-bottom· $x \cdot k$ = $(\text{if } x = \perp \text{ then } \perp \text{ else fail})$

$\langle \text{proof} \rangle$

lemma *match-Pair-simps* [simp]:

match-Pair· $(x, y) \cdot k$ = $k \cdot x \cdot y$

$\langle \text{proof} \rangle$

lemma *match-spair-simps* [simp]:
 $\llbracket x \neq \perp; y \neq \perp \rrbracket \implies \text{match-spair} \cdot (:x, y) \cdot k = k \cdot x \cdot y$
 $\text{match-spair} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-sinl-simps* [simp]:
 $x \neq \perp \implies \text{match-sinl} \cdot (\text{sinl} \cdot x) \cdot k = k \cdot x$
 $y \neq \perp \implies \text{match-sinl} \cdot (\text{sinr} \cdot y) \cdot k = \text{fail}$
 $\text{match-sinl} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-sinr-simps* [simp]:
 $x \neq \perp \implies \text{match-sinr} \cdot (\text{sinl} \cdot x) \cdot k = \text{fail}$
 $y \neq \perp \implies \text{match-sinr} \cdot (\text{sinr} \cdot y) \cdot k = k \cdot y$
 $\text{match-sinr} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-up-simps* [simp]:
 $\text{match-up} \cdot (\text{up} \cdot x) \cdot k = k \cdot x$
 $\text{match-up} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-ONE-simps* [simp]:
 $\text{match-ONE} \cdot \text{ONE} \cdot k = k$
 $\text{match-ONE} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-TT-simps* [simp]:
 $\text{match-TT} \cdot \text{TT} \cdot k = k$
 $\text{match-TT} \cdot \text{FF} \cdot k = \text{fail}$
 $\text{match-TT} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

lemma *match-FF-simps* [simp]:
 $\text{match-FF} \cdot \text{FF} \cdot k = k$
 $\text{match-FF} \cdot \text{TT} \cdot k = \text{fail}$
 $\text{match-FF} \cdot \perp \cdot k = \perp$
 $\langle \text{proof} \rangle$

26.3 Mutual recursion

The following rules are used to prove unfolding theorems from fixed-point definitions of mutually recursive functions.

lemma *Pair-equalI*: $\llbracket x \equiv \text{fst } p; y \equiv \text{snd } p \rrbracket \implies (x, y) \equiv p$
 $\langle \text{proof} \rangle$

lemma *Pair-eqD1*: $(x, y) = (x', y') \implies x = x'$
 $\langle \text{proof} \rangle$

lemma *Pair-eqD2*: $(x, y) = (x', y') \implies y = y'$
 $\langle proof \rangle$

lemma *def-cont-fix-eq*:
 $\llbracket f \equiv fix \cdot (Abs\text{-}cfun F); cont F \rrbracket \implies f = F f$
 $\langle proof \rangle$

lemma *def-cont-fix-ind*:
 $\llbracket f \equiv fix \cdot (Abs\text{-}cfun F); cont F; adm P; P \perp; \bigwedge x. P x \implies P (F x) \rrbracket \implies P f$
 $\langle proof \rangle$

lemma for proving rewrite rules

lemma *ssubst-lhs*: $\llbracket t = s; P s = Q \rrbracket \implies P t = Q$
 $\langle proof \rangle$

26.4 Initializing the fixrec package

ML

hide-const (**open**) *succeed fail run*

end

27 Domain package

theory *Domain*
imports *Representable Map-Functions Fixrec*
keywords
lazy unsafe and
domainindef domain :: thy-defn and
domain-isomorphism :: thy-decl
begin

27.1 Continuous isomorphisms

A locale for continuous isomorphisms

locale *iso* =
fixes *abs* :: $'a::pcpo \rightarrow 'b::pcpo$
fixes *rep* :: $'b \rightarrow 'a$
assumes *abs-iso* [*simp*]: $rep \cdot (abs \cdot x) = x$
assumes *rep-iso* [*simp*]: $abs \cdot (rep \cdot y) = y$
begin

lemma *swap*: *iso rep abs*
 $\langle proof \rangle$

lemma *abs-below*: $(abs \cdot x \sqsubseteq abs \cdot y) = (x \sqsubseteq y)$

$\langle proof \rangle$

lemma *rep-below*: $(rep \cdot x \sqsubseteq rep \cdot y) = (x \sqsubseteq y)$
 $\langle proof \rangle$

lemma *abs-eq*: $(abs \cdot x = abs \cdot y) = (x = y)$
 $\langle proof \rangle$

lemma *rep-eq*: $(rep \cdot x = rep \cdot y) = (x = y)$
 $\langle proof \rangle$

lemma *abs-strict*: $abs \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *rep-strict*: $rep \cdot \perp = \perp$
 $\langle proof \rangle$

lemma *abs-defin'*: $abs \cdot x = \perp \implies x = \perp$
 $\langle proof \rangle$

lemma *rep-defin'*: $rep \cdot z = \perp \implies z = \perp$
 $\langle proof \rangle$

lemma *abs-defined*: $z \neq \perp \implies abs \cdot z \neq \perp$
 $\langle proof \rangle$

lemma *rep-defined*: $z \neq \perp \implies rep \cdot z \neq \perp$
 $\langle proof \rangle$

lemma *abs-bottom-iff*: $(abs \cdot x = \perp) = (x = \perp)$
 $\langle proof \rangle$

lemma *rep-bottom-iff*: $(rep \cdot x = \perp) = (x = \perp)$
 $\langle proof \rangle$

lemma *casedist-rule*: $rep \cdot x = \perp \vee P \implies x = \perp \vee P$
 $\langle proof \rangle$

lemma *compact-abs-rev*: $compact (abs \cdot x) \implies compact x$
 $\langle proof \rangle$

lemma *compact-rep-rev*: $compact (rep \cdot x) \implies compact x$
 $\langle proof \rangle$

lemma *compact-abs*: $compact x \implies compact (abs \cdot x)$
 $\langle proof \rangle$

lemma *compact-rep*: $compact x \implies compact (rep \cdot x)$
 $\langle proof \rangle$

```
lemma iso-swap:  $(x = \text{abs}\cdot y) = (\text{rep}\cdot x = y)$ 
  ⟨proof⟩
```

```
end
```

27.2 Proofs about take functions

This section contains lemmas that are used in a module that supports the domain isomorphism package; the module contains proofs related to take functions and the finiteness predicate.

```
lemma deflation-abs-rep:
  fixes abs and rep and d
  assumes abs-iso:  $\bigwedge x. \text{rep}\cdot(\text{abs}\cdot x) = x$ 
  assumes rep-iso:  $\bigwedge y. \text{abs}\cdot(\text{rep}\cdot y) = y$ 
  shows deflation d  $\implies$  deflation (abs oo d oo rep)
  ⟨proof⟩
```

```
lemma deflation-chain-min:
  assumes chain: chain d
  assumes defl:  $\bigwedge n. \text{deflation}(d\ n)$ 
  shows d m·(d n·x) = d (min m n)·x
  ⟨proof⟩
```

```
lemma lub-ID-take-lemma:
  assumes chain t and ( $\bigsqcup n. t\ n$ ) = ID
  assumes  $\bigwedge n. t\ n\cdot x = t\ n\cdot y$  shows x = y
  ⟨proof⟩
```

```
lemma lub-ID-reach:
  assumes chain t and ( $\bigsqcup n. t\ n$ ) = ID
  shows ( $\bigsqcup n. t\ n\cdot x$ ) = x
  ⟨proof⟩
```

```
lemma lub-ID-take-induct:
  assumes chain t and ( $\bigsqcup n. t\ n$ ) = ID
  assumes adm P and  $\bigwedge n. P(t\ n\cdot x)$  shows P x
  ⟨proof⟩
```

27.3 Finiteness

Let a “decisive” function be a deflation that maps every input to either itself or bottom. Then if a domain’s take functions are all decisive, then all values in the domain are finite.

definition

```
decisive :: ('a::pcpo  $\rightarrow$  'a)  $\Rightarrow$  bool
```

where

```
decisive d  $\longleftrightarrow$   $(\forall x. d\cdot x = x \vee d\cdot x = \perp)$ 
```

lemma *decisiveI*: $(\bigwedge x. d \cdot x = x \vee d \cdot x = \perp) \implies \text{decisive } d$
(proof)

lemma *decisive-cases*:

assumes *decisive d obtains* $d \cdot x = x \mid d \cdot x = \perp$
(proof)

lemma *decisive-bottom*: *decisive* \perp
(proof)

lemma *decisive-ID*: *decisive ID*
(proof)

lemma *decisive-ssum-map*:
assumes *f: decisive f*
assumes *g: decisive g*
shows *decisive (ssum-map·f·g)*
(proof)

lemma *decisive-sprod-map*:
assumes *f: decisive f*
assumes *g: decisive g*
shows *decisive (sprod-map·f·g)*
(proof)

lemma *decisive-abs-rep*:
fixes *abs rep*
assumes *iso: iso abs rep*
assumes *d: decisive d*
shows *decisive (abs oo d oo rep)*
(proof)

lemma *lub-ID-finite*:
assumes *chain: chain d*
assumes *lub: $(\bigcup n. d n) = ID$*
assumes *decisive: $\bigwedge n. \text{decisive } (d n)$*
shows $\exists n. d n \cdot x = x$
(proof)

lemma *lub-ID-finite-take-induct*:
assumes *chain d and $(\bigcup n. d n) = ID$ and $\bigwedge n. \text{decisive } (d n)$*
shows $(\bigwedge n. P (d n \cdot x)) \implies P x$
(proof)

27.4 Proofs about constructor functions

Lemmas for proving nchotomy rule:

lemma *ex-one-bottom-iff*:

$(\exists x. P x \wedge x \neq \perp) = P \text{ ONE}$
 $\langle proof \rangle$

lemma *ex-up-bottom-iff*:
 $(\exists x. P x \wedge x \neq \perp) = (\exists x. P (\text{up}\cdot x))$
 $\langle proof \rangle$

lemma *ex-sprod-bottom-iff*:
 $(\exists y. P y \wedge y \neq \perp) =$
 $(\exists x y. (P (:x, y:) \wedge x \neq \perp) \wedge y \neq \perp)$
 $\langle proof \rangle$

lemma *ex-sprod-up-bottom-iff*:
 $(\exists y. P y \wedge y \neq \perp) =$
 $(\exists x y. P (:up\cdot x, y:) \wedge y \neq \perp)$
 $\langle proof \rangle$

lemma *ex-ssum-bottom-iff*:
 $(\exists x. P x \wedge x \neq \perp) =$
 $((\exists x. P (\text{sinl}\cdot x) \wedge x \neq \perp) \vee$
 $(\exists x. P (\text{sinr}\cdot x) \wedge x \neq \perp))$
 $\langle proof \rangle$

lemma *exh-start*: $p = \perp \vee (\exists x. p = x \wedge x \neq \perp)$
 $\langle proof \rangle$

lemmas *ex-bottom-iffs* =
ex-ssum-bottom-iff
ex-sprod-up-bottom-iff
ex-sprod-bottom-iff
ex-up-bottom-iff
ex-one-bottom-iff

Rules for turning nchotomy into exhaust:

lemma *exh-casedist0*: $\llbracket R; R \implies P \rrbracket \implies P$
 $\langle proof \rangle$

lemma *exh-casedist1*: $((P \vee Q \implies R) \implies S) \equiv (\llbracket P \implies R; Q \implies R \rrbracket \implies S)$
 $\langle proof \rangle$

lemma *exh-casedist2*: $(\exists x. P x \implies Q) \equiv (\bigwedge x. P x \implies Q)$
 $\langle proof \rangle$

lemma *exh-casedist3*: $(P \wedge Q \implies R) \equiv (P \implies Q \implies R)$
 $\langle proof \rangle$

lemmas *exh-casedists* = *exh-casedist1* *exh-casedist2* *exh-casedist3*

Rules for proving constructor properties

```

lemmas con-strict-rules =
  sinl-strict sinr-strict spair-strict1 spair-strict2

lemmas con-bottom-iff-rules =
  sinl-bottom-iff sinr-bottom-iff spair-bottom-iff up-defined ONE-defined

lemmas con-below-iff-rules =
  sinl-below sinr-below sinl-below-sinr sinr-below-sinl con-bottom-iff-rules

lemmas con-eq-iff-rules =
  sinl-eq sinr-eq sinl-eq-sinr sinr-eq-sinl con-bottom-iff-rules

lemmas sel-strict-rules =
  cfcomp2 sscase1 sfst-strict ssnd-strict fup1

lemma sel-app-extra-rules:
  sscase·ID· $\perp$ ·(sinr·x) =  $\perp$ 
  sscase·ID· $\perp$ ·(sinl·x) = x
  sscase· $\perp$ ·ID·(sinl·x) =  $\perp$ 
  sscase· $\perp$ ·ID·(sinr·x) = x
  fup·ID·(up·x) = x
  ⟨proof⟩

lemmas sel-app-rules =
  sel-strict-rules sel-app-extra-rules
  ssnd-spair sfst-spair up-defined spair-defined

lemmas sel-bottom-iff-rules =
  cfcomp2 sfst-bottom-iff ssnd-bottom-iff

lemmas take-con-rules =
  ssum-map-sinl' ssum-map-sinr' sprod-map-spair' u-map-up
  deflation-strict deflation-ID ID1 cfcomp2

```

27.5 ML setup

named-theorems domain-deflation theorems like deflation $a ==> \text{deflation } (\text{foo-map\$}a)$
and domain-map-ID theorems like foo-map\$ID = ID

⟨ML⟩

27.6 Representations of types

```

lemma emb-prj: emb·((prj·x)::'a::domain) = cast·DEFL('a)·x
  ⟨proof⟩

lemma emb-prj-emb:
  fixes x :: 'a::domain
  assumes DEFL('a) ⊑ DEFL('b)
  shows emb·(prj·(emb·x) :: 'b::domain) = emb·x

```

$\langle proof \rangle$

```
lemma prj-emb-prj:
  assumes DEFL('a::domain) ⊑ DEFL('b::domain)
  shows prj·(emb·(prj·x :: 'b)) = (prj·x :: 'a)
⟨proof⟩
```

Isomorphism lemmas used internally by the domain package:

```
lemma domain-abs-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b::domain) = DEFL('a::domain)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows rep·(abs·x) = x
⟨proof⟩
```

```
lemma domain-rep-iso:
  fixes abs and rep
  assumes DEFL: DEFL('b::domain) = DEFL('a::domain)
  assumes abs-def: (abs :: 'a → 'b) ≡ prj oo emb
  assumes rep-def: (rep :: 'b → 'a) ≡ prj oo emb
  shows abs·(rep·x) = x
⟨proof⟩
```

27.7 Deflations as sets

```
definition defl-set :: 'a::bifinite defl ⇒ 'a set
where defl-set A = {x. cast·A·x = x}
```

```
lemma adm-defl-set: adm (λx. x ∈ defl-set A)
⟨proof⟩
```

```
lemma defl-set-bottom: ⊥ ∈ defl-set A
⟨proof⟩
```

```
lemma defl-set-cast [simp]: cast·A·x ∈ defl-set A
⟨proof⟩
```

```
lemma defl-set-subset-iff: defl-set A ⊆ defl-set B ↔ A ⊑ B
⟨proof⟩
```

27.8 Proving a subtype is representable

Temporarily relax type constraints.

$\langle ML \rangle$

```
lemma typedef-domain-class:
  fixes Rep :: 'a::pcpo ⇒ udom
  fixes Abs :: udom ⇒ 'a::pcpo
```

```

fixes t :: udom defl
assumes type: type-definition Rep Abs (defl-set t)
assumes below: ( $\sqsubseteq$ )  $\equiv \lambda x y. Rep x \sqsubseteq Rep y$ 
assumes emb: emb  $\equiv (\Lambda x. Rep x)$ 
assumes prj: prj  $\equiv (\Lambda x. Abs (cast \cdot t \cdot x))$ 
assumes defl: defl  $\equiv (\lambda a::'a itself. t)$ 
assumes liftemb: (liftemb :: 'a u  $\rightarrow$  udom u)  $\equiv u\text{-map}\cdot emb$ 
assumes liftprj: (liftprj :: udom u  $\rightarrow$  'a u)  $\equiv u\text{-map}\cdot prj$ 
assumes liftdefl: (liftdefl :: 'a itself  $\Rightarrow$  -)  $\equiv (\lambda t. liftdefl\text{-of}\cdot DEFL('a))$ 
shows OFCLASS('a, domain-class)
⟨proof⟩

lemma typedef-DEFL:
assumes defl  $\equiv (\lambda a::'a::pcpo itself. t)$ 
shows DEFL('a::pcpo) = t
⟨proof⟩

```

Restore original typing constraints.

⟨ML⟩

27.9 Isomorphic deflations

```

definition isodefl :: ('a::domain  $\rightarrow$  'a)  $\Rightarrow$  udom defl  $\Rightarrow$  bool
where isodefl d t  $\longleftrightarrow$  cast \cdot t = emb oo d oo prj

definition isodefl' :: ('a::predomain  $\rightarrow$  'a)  $\Rightarrow$  udom u defl  $\Rightarrow$  bool
where isodefl' d t  $\longleftrightarrow$  cast \cdot t = liftemb oo u-map \cdot d oo liftprj

lemma isodeflI: ( $\bigwedge x. cast \cdot t \cdot x = emb \cdot (d \cdot (prj \cdot x))$ )  $\implies$  isodefl d t
⟨proof⟩

lemma cast-isodefl: isodefl d t  $\implies$  cast \cdot t = ( $\Lambda x. emb \cdot (d \cdot (prj \cdot x))$ )
⟨proof⟩

lemma isodefl-strict: isodefl d t  $\implies$  d \cdot ⊥ = ⊥
⟨proof⟩

lemma isodefl-imp-deflation:
fixes d :: 'a::domain  $\rightarrow$  'a
assumes isodefl d t shows deflation d
⟨proof⟩

lemma isodefl-ID-DEFL: isodefl (ID :: 'a  $\rightarrow$  'a) DEFL('a::domain)
⟨proof⟩

lemma isodefl-LIFTDEFL:
  isodefl' (ID :: 'a  $\rightarrow$  'a) LIFTDEFL('a::predomain)
⟨proof⟩

```

lemma *isodefl-DEFL-imp-ID*: *isodefl* (*d* :: '*a* → '*a*) *DEFL*('*a*::domain) \implies *d* = *ID*
(proof)

lemma *isodefl-bottom*: *isodefl* \perp \perp
(proof)

lemma *adm-isodefl*:
cont f \implies *cont g* \implies *adm* ($\lambda x.$ *isodefl* (*f x*) (*g x*))
(proof)

lemma *isodefl-lub*:
assumes *chain d* **and** *chain t*
assumes $\bigwedge i.$ *isodefl* (*d i*) (*t i*)
shows *isodefl* ($\bigsqcup i.$ *d i*) ($\bigsqcup i.$ *t i*)
(proof)

lemma *isodefl-fix*:
assumes $\bigwedge d t.$ *isodefl d t* \implies *isodefl* (*f·d*) (*g·t*)
shows *isodefl* (*fix·f*) (*fix·g*)
(proof)

lemma *isodefl-abs-rep*:
fixes *abs* **and** *rep* **and** *d*
assumes *DEFL*: *DEFL*('*b*::domain) = *DEFL*('*a*::domain)
assumes *abs-def*: (*abs* :: '*a* → '*b*) \equiv *prj oo emb*
assumes *rep-def*: (*rep* :: '*b* → '*a*) \equiv *prj oo emb*
shows *isodefl d t* \implies *isodefl* (*abs oo d oo rep*) *t*
(proof)

lemma *isodefl'-liftdefl-of*: *isodefl d t* \implies *isodefl'* *d* (*liftdefl-of·t*)
(proof)

lemma *isodefl-sfun*:
isodefl d1 t1 \implies *isodefl d2 t2* \implies
isodefl (*sfun-map·d1·d2*) (*sfun-defl·t1·t2*)
(proof)

lemma *isodefl-ssum*:
isodefl d1 t1 \implies *isodefl d2 t2* \implies
isodefl (*ssum-map·d1·d2*) (*ssum-defl·t1·t2*)
(proof)

lemma *isodefl-sprod*:
isodefl d1 t1 \implies *isodefl d2 t2* \implies
isodefl (*sprod-map·d1·d2*) (*sprod-defl·t1·t2*)
(proof)

lemma *isodefl-prod*:

isodefl d1 t1 \implies isodefl d2 t2 \implies
isodefl (prod-map·d1·d2) (prod-defl·t1·t2)
(proof)

lemma *isodefl-u*:
isodefl d t \implies isodefl (u-map·d) (u-defl·t)
(proof)

lemma *isodefl-u-liftdefl*:
isodefl' d t \implies isodefl (u-map·d) (u-liftdefl·t)
(proof)

lemma *encode-prod-u-map*:
encode-prod-u·(u-map·(prod-map·f·g)·(decode-prod-u·x))
 $= sprod\text{-map}·(u\text{-map}·f)·(u\text{-map}·g)·x$
(proof)

lemma *isodefl-prod-u*:
assumes *isodefl' d1 t1 and isodefl' d2 t2*
shows *isodefl' (prod-map·d1·d2) (prod-liftdefl·t1·t2)*
(proof)

lemma *encode-cfun-map*:
encode-cfun·(cfun-map·f·g·(decode-cfun·x))
 $= sfun\text{-map}·(u\text{-map}·f)·g·x$
(proof)

lemma *isodefl-cfun*:
assumes *isodefl (u-map·d1) t1 and isodefl d2 t2*
shows *isodefl (cfun-map·d1·d2) (sfun-defl·t1·t2)*
(proof)

27.10 Setting up the domain package

named-theorems *domain-defl-simps* theorems like $DEFL('a t) = t\text{-defl\$}DEFL('a)$
and *domain-isodefl* theorems like $isodefl d t ==> isodefl (foo-map\$d) (foo-defl\$t)$

$\langle ML \rangle$

lemmas [*domain-defl-simps*] =
DEFL-cfun DEFL-sfun DEFL-ssum DEFL-sprod DEFL-prod DEFL-u
liftdefl-eq LIFTDEFL-prod u-liftdefl-liftdefl-of

lemmas [*domain-map-ID*] =
cfun-map-ID sfun-map-ID ssum-map-ID sprod-map-ID prod-map-ID u-map-ID

lemmas [*domain-isodefl*] =
isodefl-u isodefl-sfun isodefl-ssum isodefl-sprod
isodefl-cfun isodefl-prod isodefl-prod-u isodefl'-liftdefl-of

```

isodefl-u-liftdefl

lemmas [domain-deflation] =
  deflation-cfun-map deflation-sfun-map deflation-ssum-map
  deflation-sprod-map deflation-prod-map deflation-u-map

⟨ML⟩

end

```

28 A compact basis for powerdomains

```

theory Compact-Basis
imports Universal
begin

```

28.1 A compact basis for powerdomains

```
definition pd-basis = {S::'a::bifinite compact-basis set. finite S ∧ S ≠ {}}
```

```
typedef 'a::bifinite pd-basis = pd-basis :: 'a compact-basis set set
⟨proof⟩
```

```
lemma finite-Rep-pd-basis [simp]: finite (Rep-pd-basis u)
⟨proof⟩
```

```
lemma Rep-pd-basis-nonempty [simp]: Rep-pd-basis u ≠ {}
⟨proof⟩
```

The powerdomain basis type is countable.

```
lemma pd-basis-countable: ∃f::'a::bifinite pd-basis ⇒ nat. inj f (is Ex ?P)
⟨proof⟩
```

28.2 Unit and plus constructors

definition

```
PDUnit :: 'a::bifinite compact-basis ⇒ 'a pd-basis where
PDUnit = (λx. Abs-pd-basis {x})
```

definition

```
PDPlus :: 'a::bifinite pd-basis ⇒ 'a pd-basis ⇒ 'a pd-basis where
PDPlus t u = Abs-pd-basis (Rep-pd-basis t ∪ Rep-pd-basis u)
```

lemma Rep-PDUnit:

```
Rep-pd-basis (PDUnit x) = {x}
⟨proof⟩
```

lemma Rep-PDPlus:

```
Rep-pd-basis (PDPlus u v) = Rep-pd-basis u ∪ Rep-pd-basis v
```

$\langle proof \rangle$

lemma *PDUnit-inject* [*simp*]: $(\text{PDUnit } a = \text{PDUnit } b) = (a = b)$
 $\langle proof \rangle$

lemma *PDPlus-assoc*: $\text{PDPlus} (\text{PDPlus } t u) v = \text{PDPlus } t (\text{PDPlus } u v)$
 $\langle proof \rangle$

lemma *PDPlus-commute*: $\text{PDPlus } t u = \text{PDPlus } u t$
 $\langle proof \rangle$

lemma *PDPlus-absorb*: $\text{PDPlus } t t = t$
 $\langle proof \rangle$

lemma *pd-basis-induct1* [*case-names PDUnit PDPlus*]:
assumes *PDUnit*: $\bigwedge a. P (\text{PDUnit } a)$
assumes *PDPlus*: $\bigwedge a t. P t \Rightarrow P (\text{PDPlus} (\text{PDUnit } a) t)$
shows *P x*
 $\langle proof \rangle$

lemma *pd-basis-induct* [*case-names PDUnit PDPlus*]:
assumes *PDUnit*: $\bigwedge a. P (\text{PDUnit } a)$
assumes *PDPlus*: $\bigwedge t u. [P t; P u] \Rightarrow P (\text{PDPlus } t u)$
shows *P x*
 $\langle proof \rangle$

28.3 Fold operator

definition

fold-pd ::
 $('a::\text{bifinite compact-basis} \Rightarrow 'b::\text{type}) \Rightarrow ('b \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ pd-basis} \Rightarrow 'b$
where *fold-pd g f t* = *semilattice-set.F f (g ` Rep-pd-basis t)*

lemma *fold-pd-PDUnit*:
assumes *semilattice f*
shows *fold-pd g f (PDUnit x) = g x*
 $\langle proof \rangle$

lemma *fold-pd-PDPlus*:
assumes *semilattice f*
shows *fold-pd g f (PDPlus t u) = f (fold-pd g f t) (fold-pd g f u)*
 $\langle proof \rangle$

end

29 Upper powerdomain

theory *UpperPD*
imports *Compact-Basis*

begin

29.1 Basis preorder

definition

upper-le :: 'a::bifinite pd-basis \Rightarrow 'a pd-basis \Rightarrow bool (infix $\leq_{\#}$ 50) where
 $\text{upper-le} = (\lambda u v. \forall y \in \text{Rep-pd-basis } v. \exists x \in \text{Rep-pd-basis } u. x \sqsubseteq y)$

lemma *upper-le-refl* [simp]: $t \leq_{\#} t$
(proof)

lemma *upper-le-trans*: $\llbracket t \leq_{\#} u; u \leq_{\#} v \rrbracket \implies t \leq_{\#} v$
(proof)

interpretation *upper-le*: preorder *upper-le*
(proof)

lemma *upper-le-minimal* [simp]: *PDUnit compact-bot* $\leq_{\#} t$
(proof)

lemma *PDUnit-upper-mono*: $x \sqsubseteq y \implies \text{PDUnit } x \leq_{\#} \text{PDUnit } y$
(proof)

lemma *PDPlus-upper-mono*: $\llbracket s \leq_{\#} t; u \leq_{\#} v \rrbracket \implies \text{PDPlus } s u \leq_{\#} \text{PDPlus } t v$
(proof)

lemma *PDPlus-upper-le*: *PDPlus t u* $\leq_{\#} t$
(proof)

lemma *upper-le-PDUnit-PDUnit-iff* [simp]:
 $(\text{PDUnit } a \leq_{\#} \text{PDUnit } b) = (a \sqsubseteq b)$
(proof)

lemma *upper-le-PDPlus-PDUnit-iff*:
 $(\text{PDPlus } t u \leq_{\#} \text{PDUnit } a) = (t \leq_{\#} \text{PDUnit } a \vee u \leq_{\#} \text{PDUnit } a)$
(proof)

lemma *upper-le-PDPlus-iff*: $(t \leq_{\#} \text{PDPlus } u v) = (t \leq_{\#} u \wedge t \leq_{\#} v)$
(proof)

lemma *upper-le-induct* [induct set: *upper-le*]:
assumes *le*: $t \leq_{\#} u$
assumes 1: $\bigwedge a b. a \sqsubseteq b \implies P(\text{PDUnit } a)(\text{PDUnit } b)$
assumes 2: $\bigwedge t u a. P t (\text{PDUnit } a) \implies P(\text{PDPlus } t u)(\text{PDUnit } a)$
assumes 3: $\bigwedge t u v. \llbracket P t u; P t v \rrbracket \implies P t (\text{PDPlus } u v)$
shows *P t u*
(proof)

29.2 Type definition

```
typedef 'a::bifinite upper-pd ((notation=postfix upper-pd)'(-')#) =  

  {S::'a pd-basis set. upper-le.ideal S}  

  ⟨proof⟩
```

```
instantiation upper-pd :: (bifinite) below  

begin
```

definition

```
x ⊑ y ↔ Rep-upper-pd x ⊆ Rep-upper-pd y
```

```
instance ⟨proof⟩  

end
```

```
instance upper-pd :: (bifinite) po  

  ⟨proof⟩
```

```
instance upper-pd :: (bifinite) cpo  

  ⟨proof⟩
```

definition

```
upper-principal :: 'a::bifinite pd-basis ⇒ 'a upper-pd where  

  upper-principal t = Abs-upper-pd {u. u ≤# t}
```

interpretation upper-pd:

```
ideal-completion upper-le upper-principal Rep-upper-pd  

  ⟨proof⟩
```

Upper powerdomain is pointed

```
lemma upper-pd-minimal: upper-principal (PDUnit compact-bot) ⊑ ys  

  ⟨proof⟩
```

```
instance upper-pd :: (bifinite) pcpo  

  ⟨proof⟩
```

```
lemma inst-upper-pd-pcpo: ⊥ = upper-principal (PDUnit compact-bot)  

  ⟨proof⟩
```

29.3 Monadic unit and plus

definition

```
upper-unit :: 'a::bifinite → 'a upper-pd where  

  upper-unit = compact-basis.extension (λa. upper-principal (PDUnit a))
```

definition

```
upper-plus :: 'a::bifinite upper-pd → 'a upper-pd → 'a upper-pd where  

  upper-plus = upper-pd.extension (λt. upper-pd.extension (λu.  

    upper-principal (PDPlus t u)))
```

abbreviation

```
upper-add :: 'a::bifinite upper-pd ⇒ 'a upper-pd ⇒ 'a upper-pd
  (infixl ∪# 65) where
    xs ∪# ys == upper-plus·xs·ys
```

syntax

```
-upper-pd :: args ⇒ logic ((indent=1 notation=mixfix upper-pd enumeration){-})
```

translations

```
{x,xs}# == {x}# ∪# {xs}#
{x}# == CONST upper-unit·x
```

lemma upper-unit-Rep-compact-basis [simp]:

```
{Rep-compact-basis a}# = upper-principal (PDUnit a)
⟨proof⟩
```

lemma upper-plus-principal [simp]:

```
upper-principal t ∪# upper-principal u = upper-principal (PDPlus t u)
⟨proof⟩
```

interpretation upper-add: semilattice upper-add ⟨proof⟩

```
lemmas upper-plus-assoc = upper-add.assoc
lemmas upper-plus-commute = upper-add.commute
lemmas upper-plus-absorb = upper-add.idem
lemmas upper-plus-left-commute = upper-add.left-commute
lemmas upper-plus-left-absorb = upper-add.left-idem
```

Useful for simp add: upper-plus-ac

```
lemmas upper-plus-ac =
  upper-plus-assoc upper-plus-commute upper-plus-left-commute
```

Useful for simp only: upper-plus-aci

```
lemmas upper-plus-aci =
  upper-plus-ac upper-plus-absorb upper-plus-left-absorb
```

lemma upper-plus-below1: xs ∪# ys ⊑ xs
⟨proof⟩

lemma upper-plus-below2: xs ∪# ys ⊑ ys
⟨proof⟩

lemma upper-plus-greatest: [xs ⊑ ys; xs ⊑ zs] ⇒ xs ⊑ ys ∪# zs
⟨proof⟩

lemma upper-below-plus-iff [simp]:
 xs ⊑ ys ∪# zs ⇔ xs ⊑ ys ∧ xs ⊑ zs
⟨proof⟩

lemma *upper-plus-below-unit-iff* [simp]:
 $xs \cup \# ys \sqsubseteq \{z\} \# \longleftrightarrow xs \sqsubseteq \{z\} \# \vee ys \sqsubseteq \{z\} \#$
 $\langle proof \rangle$

lemma *upper-unit-below-iff* [simp]: $\{x\} \# \sqsubseteq \{y\} \# \longleftrightarrow x \sqsubseteq y$
 $\langle proof \rangle$

lemmas *upper-pd-below-simps* =
upper-unit-below-iff
upper-below-plus-iff
upper-plus-below-unit-iff

lemma *upper-unit-eq-iff* [simp]: $\{x\} \# = \{y\} \# \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *upper-unit-strict* [simp]: $\{\perp\} \# = \perp$
 $\langle proof \rangle$

lemma *upper-plus-strict1* [simp]: $\perp \cup \# ys = \perp$
 $\langle proof \rangle$

lemma *upper-plus-strict2* [simp]: $xs \cup \# \perp = \perp$
 $\langle proof \rangle$

lemma *upper-unit-bottom-iff* [simp]: $\{x\} \# = \perp \longleftrightarrow x = \perp$
 $\langle proof \rangle$

lemma *upper-plus-bottom-iff* [simp]:
 $xs \cup \# ys = \perp \longleftrightarrow xs = \perp \vee ys = \perp$
 $\langle proof \rangle$

lemma *compact-upper-unit*: *compact* $x \implies \text{compact } \{x\} \#$
 $\langle proof \rangle$

lemma *compact-upper-unit-iff* [simp]: *compact* $\{x\} \# \longleftrightarrow \text{compact } x$
 $\langle proof \rangle$

lemma *compact-upper-plus* [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup \# ys)$
 $\langle proof \rangle$

29.4 Induction rules

lemma *upper-pd-induct1*:
assumes P : *adm* P
assumes *unit*: $\bigwedge x. P \{x\} \#$
assumes *insert*: $\bigwedge x ys. \llbracket P \{x\} \#; P ys \rrbracket \implies P (\{x\} \# \cup \# ys)$
shows $P (xs :: 'a :: \text{bifinite upper-pd})$
 $\langle proof \rangle$

```
lemma upper-pd-induct [case-names adm upper-unit upper-plus, induct type: upper-pd]:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}^\sharp$ 
  assumes plus:  $\bigwedge xs ys. [P xs; P ys] \implies P (xs \cup^\sharp ys)$ 
  shows P (xs::'a::bifinite upper-pd)
  ⟨proof⟩
```

29.5 Monadic bind

definition

```
upper-bind-basis ::  

'a::bifinite pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b::bifinite upper-pd where  

upper-bind-basis = fold-pd  

  ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$   

  ( $\lambda x y. \Lambda f. x \cdot f \cup^\sharp y \cdot f)$ 
```

```
lemma ACI-upper-bind:  

semilattice ( $\lambda x y. \Lambda f. x \cdot f \cup^\sharp y \cdot f)$   

⟨proof⟩
```

```
lemma upper-bind-basis-simps [simp]:  

upper-bind-basis (PDUnit a) =  

  ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a))$   

upper-bind-basis (PDPlus t u) =  

  ( $\Lambda f. \text{upper-bind-basis } t \cdot f \cup^\sharp \text{upper-bind-basis } u \cdot f)$   

⟨proof⟩
```

```
lemma upper-bind-basis-mono:  

t  $\leq^\sharp$  u  $\implies$  upper-bind-basis t  $\sqsubseteq$  upper-bind-basis u  

⟨proof⟩
```

definition

```
upper-bind :: 'a::bifinite upper-pd  $\rightarrow$  ('a  $\rightarrow$  'b upper-pd)  $\rightarrow$  'b::bifinite upper-pd  

where  

upper-bind = upper-pd.extension upper-bind-basis
```

syntax

```
-upper-bind :: [logic, logic, logic]  $\Rightarrow$  logic  

  (( $\langle \text{indent}=3 \text{ notation}=\langle \text{binder upper-bind} \rangle \rangle \cup^\sharp \text{-} \cdot \text{/} \text{-} \rangle$ ) [0, 0, 10] 10)
```

translations

```
 $\bigcup^\sharp_{x \in xs. e == CONST \text{ upper-bind} \cdot xs \cdot (\Lambda x. e)}$ 
```

```
lemma upper-bind-principal [simp]:  

upper-bind · (upper-principal t) = upper-bind-basis t  

⟨proof⟩
```

lemma *upper-bind-unit* [*simp*]:

upper-bind.{*x*} \sharp .*f* = *f*.*x*

{proof}

lemma *upper-bind-plus* [*simp*]:

upper-bind.(*xs* $\cup\sharp$ *ys*).*f* = *upper-bind*.*xs*.*f* $\cup\sharp$ *upper-bind*.*ys*.*f*

{proof}

lemma *upper-bind-strict* [*simp*]: *upper-bind*. \perp .*f* = *f*. \perp

{proof}

lemma *upper-bind-bind*:

upper-bind.(*upper-bind*.*xs*.*f*).*g* = *upper-bind*.*xs*.(Λ *x*. *upper-bind*.(*f*.*x*).*g*)

{proof}

29.6 Map

definition

upper-map :: (*'a*::*bifinite* \rightarrow *'b*::*bifinite*) \rightarrow *'a upper-pd* \rightarrow *'b upper-pd* **where**

upper-map = (Λ *f* *xs*. *upper-bind*.*xs*.(Λ *x*. {*f*.*x*} \sharp))

lemma *upper-map-unit* [*simp*]:

upper-map.*f*.{*x*} \sharp = {*f*.*x*} \sharp

{proof}

lemma *upper-map-plus* [*simp*]:

upper-map.*f*.(*xs* $\cup\sharp$ *ys*) = *upper-map*.*f*.*xs* $\cup\sharp$ *upper-map*.*f*.*ys*

{proof}

lemma *upper-map-bottom* [*simp*]: *upper-map*.*f*. \perp = {*f*. \perp } \sharp

{proof}

lemma *upper-map-ident*: *upper-map*.(Λ *x*. *x*).*xs* = *xs*

{proof}

lemma *upper-map-ID*: *upper-map*.*ID* = *ID*

{proof}

lemma *upper-map-map*:

upper-map.*f*.(*upper-map*.*g*.*xs*) = *upper-map*.(Λ *x*. *f*.(*g*.*x*)).*xs*

{proof}

lemma *upper-bind-map*:

upper-bind.(*upper-map*.*f*.*xs*).*g* = *upper-bind*.*xs*.(Λ *x*. *g*.(*f*.*x*))

{proof}

lemma *upper-map-bind*:

upper-map.*f*.(*upper-bind*.*xs*.*g*) = *upper-bind*.*xs*.(Λ *x*. *upper-map*.*f*.(*g*.*x*))

{proof}

lemma *ep-pair-upper-map*: *ep-pair e p* \implies *ep-pair (upper-map·e) (upper-map·p)*
(proof)

lemma *deflation-upper-map*: *deflation d* \implies *deflation (upper-map·d)*
(proof)

lemma *finite-deflation-upper-map*:
assumes *finite-deflation d* **shows** *finite-deflation (upper-map·d)*
(proof)

29.7 Upper powerdomain is bifinite

lemma *approx-chain-upper-map*:
assumes *approx-chain a*
shows *approx-chain ($\lambda i. \text{upper-map}·(a i)$)*
(proof)

instance *upper-pd :: (bifinite) bifinite*
(proof)

29.8 Join

definition

upper-join :: 'a::bifinite upper-pd upper-pd \rightarrow 'a upper-pd where
upper-join = ($\Lambda xss. \text{upper-bind}\cdot xss\cdot (\Lambda xs. xs)$)

lemma *upper-join-unit [simp]*:
upper-join·{xs}‡ = xs
(proof)

lemma *upper-join-plus [simp]*:
upper-join·(xss $\cup\#$ yss) = upper-join·xss $\cup\#$ upper-join·yss
(proof)

lemma *upper-join-bottom [simp]*: *upper-join·⊥ = ⊥*
(proof)

lemma *upper-join-map-unit*:
upper-join·(upper-map·upper-unit·xs) = xs
(proof)

lemma *upper-join-map-join*:
upper-join·(upper-map·upper-join·xsss) = upper-join·(upper-join·xsss)
(proof)

lemma *upper-join-map-map*:
upper-join·(upper-map·(upper-map·f)·xss) =
upper-map·f·(upper-join·xss)

$\langle proof \rangle$

end

30 Lower powerdomain

```
theory LowerPD
imports Compact-Basis
begin
```

30.1 Basis preorder

definition

```
lower-le :: 'a::bifinite pd-basis ⇒ 'a pd-basis ⇒ bool (infix ‹≤b› 50) where
lower-le = (λu v. ∀ x ∈ Rep-pd-basis u. ∃ y ∈ Rep-pd-basis v. x ⊑ y)
```

lemma lower-le-refl [simp]: $t \leq b t$
 $\langle proof \rangle$

lemma lower-le-trans: $[t \leq b u; u \leq b v] \implies t \leq b v$
 $\langle proof \rangle$

interpretation lower-le: preorder lower-le
 $\langle proof \rangle$

lemma lower-le-minimal [simp]: PDUnit compact-bot $\leq b t$
 $\langle proof \rangle$

lemma PDUnit-lower-mono: $x \sqsubseteq y \implies \text{PDUnit } x \leq b \text{ PDUnit } y$
 $\langle proof \rangle$

lemma PDPlus-lower-mono: $[s \leq b t; u \leq b v] \implies \text{PDPlus } s u \leq b \text{ PDPlus } t v$
 $\langle proof \rangle$

lemma PDPlus-lower-le: $t \leq b \text{ PDPlus } t u$
 $\langle proof \rangle$

lemma lower-le-PDUnit-PDUnit-iff [simp]:
 $(\text{PDUnit } a \leq b \text{ PDUnit } b) = (a \sqsubseteq b)$
 $\langle proof \rangle$

lemma lower-le-PDUnit-PDPlus-iff:
 $(\text{PDUnit } a \leq b \text{ PDPlus } t u) = (\text{PDUnit } a \leq b t \vee \text{PDUnit } a \leq b u)$
 $\langle proof \rangle$

lemma lower-le-PDPlus-iff: $(\text{PDPlus } t u \leq b v) = (t \leq b v \wedge u \leq b v)$
 $\langle proof \rangle$

lemma lower-le-induct [induct set: lower-le]:

```

assumes le:  $t \leq b u$ 
assumes 1:  $\bigwedge a b. a \sqsubseteq b \implies P (\text{PDUnit } a) (\text{PDUnit } b)$ 
assumes 2:  $\bigwedge t u a. P (\text{PDUnit } a) t \implies P (\text{PDUnit } a) (\text{PDPlus } t u)$ 
assumes 3:  $\bigwedge t u v. [P t v; P u v] \implies P (\text{PDPlus } t u) v$ 
shows  $P t u$ 
⟨proof⟩

```

30.2 Type definition

```

typedef 'a::bifinite lower-pd ((notation=postfix lower-pd)(-'b)) =
{S::'a pd-basis set. lower-le.ideal S}
⟨proof⟩

```

```

instantiation lower-pd :: (bifinite) below
begin

```

definition

```

 $x \sqsubseteq y \longleftrightarrow \text{Rep-lower-pd } x \subseteq \text{Rep-lower-pd } y$ 

```

```

instance ⟨proof⟩
end

```

```

instance lower-pd :: (bifinite) po
⟨proof⟩

```

```

instance lower-pd :: (bifinite) cpo
⟨proof⟩

```

definition

```

lower-principal :: 'a::bifinite pd-basis  $\Rightarrow$  'a lower-pd where
lower-principal t = Abs-lower-pd {u. u  $\leq b$  t}

```

interpretation lower-pd:

```

ideal-completion lower-le lower-principal Rep-lower-pd
⟨proof⟩

```

Lower powerdomain is pointed

```

lemma lower-pd-minimal: lower-principal (PDUnit compact-bot)  $\sqsubseteq$  ys
⟨proof⟩

```

```

instance lower-pd :: (bifinite) pcpo
⟨proof⟩

```

```

lemma inst-lower-pd-pcpo:  $\perp = \text{lower-principal } (\text{PDUnit compact-bot})$ 
⟨proof⟩

```

30.3 Monadic unit and plus

definition

lower-unit :: '*a*::bifinite → '*a* lower-pd **where**
lower-unit = compact-basis.extension ($\lambda a.$ lower-principal (*PDUnit a*))

definition

lower-plus :: '*a*::bifinite lower-pd → '*a* lower-pd → '*a* lower-pd **where**
lower-plus = lower-pd.extension ($\lambda t.$ lower-pd.extension ($\lambda u.$
lower-principal (*PDPlus t u*)))

abbreviation

lower-add :: '*a*::bifinite lower-pd ⇒ '*a* lower-pd ⇒ '*a* lower-pd
(infixl « $\cup\triangleright$ 65) **where**
xs $\cup\triangleright$ *ys* == *lower-plus*.*xs*.*ys*

syntax

-*lower-pd* :: args ⇒ logic ((*indent*=1 *notation*=*mixfix lower-pd enumeration*)
{-}b)

translations

{x, xs}b == *{x}b* $\cup\triangleright$ *{xs}b*
{x}b == CONST *lower-unit*.*x*

lemma *lower-unit-Rep-compact-basis* [*simp*]:

{Rep-compact-basis *a*}*b* = *lower-principal* (*PDUnit a*)
{proof}

lemma *lower-plus-principal* [*simp*]:

lower-principal *t* $\cup\triangleright$ *lower-principal* *u* = *lower-principal* (*PDPlus t u*)
{proof}

interpretation *lower-add*: semilattice *lower-add* *{proof}*

lemmas *lower-plus-assoc* = *lower-add.assoc*
lemmas *lower-plus-commute* = *lower-add.commute*
lemmas *lower-plus-absorb* = *lower-add.idem*
lemmas *lower-plus-left-commute* = *lower-add.left-commute*
lemmas *lower-plus-left-absorb* = *lower-add.left-idem*

Useful for *simp add*: *lower-plus-ac*

lemmas *lower-plus-ac* =
lower-plus-assoc *lower-plus-commute* *lower-plus-left-commute*

Useful for *simp only*: *lower-plus-aci*

lemmas *lower-plus-aci* =
lower-plus-ac *lower-plus-absorb* *lower-plus-left-absorb*

lemma *lower-plus-below1*: *xs* ⊑ *xs* $\cup\triangleright$ *ys*
{proof}

lemma *lower-plus-below2*: *ys* ⊑ *xs* $\cup\triangleright$ *ys*
{proof}

lemma *lower-plus-least*: $\llbracket xs \sqsubseteq zs; ys \sqsubseteq zs \rrbracket \implies xs \cup b ys \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-plus-below-iff* [simp]:
 $xs \cup b ys \sqsubseteq zs \longleftrightarrow xs \sqsubseteq zs \wedge ys \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-unit-below-plus-iff* [simp]:
 $\{x\}b \sqsubseteq ys \cup b zs \longleftrightarrow \{x\}b \sqsubseteq ys \vee \{x\}b \sqsubseteq zs$
 $\langle proof \rangle$

lemma *lower-unit-below-iff* [simp]: $\{x\}b \sqsubseteq \{y\}b \longleftrightarrow x \sqsubseteq y$
 $\langle proof \rangle$

lemmas *lower-pd-below-simps* =
lower-unit-below-iff
lower-plus-below-iff
lower-unit-below-plus-iff

lemma *lower-unit-eq-iff* [simp]: $\{x\}b = \{y\}b \longleftrightarrow x = y$
 $\langle proof \rangle$

lemma *lower-unit-strict* [simp]: $\{\perp\}b = \perp$
 $\langle proof \rangle$

lemma *lower-unit-bottom-iff* [simp]: $\{x\}b = \perp \longleftrightarrow x = \perp$
 $\langle proof \rangle$

lemma *lower-plus-bottom-iff* [simp]:
 $xs \cup b ys = \perp \longleftrightarrow xs = \perp \wedge ys = \perp$
 $\langle proof \rangle$

lemma *lower-plus-strict1* [simp]: $\perp \cup b ys = ys$
 $\langle proof \rangle$

lemma *lower-plus-strict2* [simp]: $xs \cup b \perp = xs$
 $\langle proof \rangle$

lemma *compact-lower-unit*: *compact* $x \implies \text{compact } \{x\}b$
 $\langle proof \rangle$

lemma *compact-lower-unit-iff* [simp]: *compact* $\{x\}b \longleftrightarrow \text{compact } x$
 $\langle proof \rangle$

lemma *compact-lower-plus* [simp]:
 $\llbracket \text{compact } xs; \text{compact } ys \rrbracket \implies \text{compact } (xs \cup b ys)$
 $\langle proof \rangle$

30.4 Induction rules

```

lemma lower-pd-induct1:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}$ 
  assumes insert:  $\bigwedge x ys. [P \{x\}; P ys] \implies P (\{x\} \cup ys)$ 
  shows P (xs:'a::bifinite lower-pd)
  ⟨proof⟩

lemma lower-pd-induct [case-names adm lower-unit lower-plus, induct type: lower-pd]:
  assumes P: adm P
  assumes unit:  $\bigwedge x. P \{x\}$ 
  assumes plus:  $\bigwedge xs ys. [P xs; P ys] \implies P (xs \cup ys)$ 
  shows P (xs:'a::bifinite lower-pd)
  ⟨proof⟩

```

30.5 Monadic bind

definition

```

lower-bind-basis :: 
'a::bifinite pd-basis  $\Rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b::bifinite lower-pd where
lower-bind-basis = fold-pd
  ( $\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  ( $\lambda x y. \Lambda f. x \cdot f \cup y \cdot f$ )

```

```

lemma ACI-lower-bind:
  semilattice ( $\lambda x y. \Lambda f. x \cdot f \cup y \cdot f$ )
  ⟨proof⟩

```

```

lemma lower-bind-basis-simps [simp]:
  lower-bind-basis (PDUnit a) =
    ( $\Lambda f. f \cdot (\text{Rep-compact-basis } a)$ )
  lower-bind-basis (PDPlus t u) =
    ( $\Lambda f. \text{lower-bind-basis } t \cdot f \cup \text{lower-bind-basis } u \cdot f$ )
  ⟨proof⟩

```

```

lemma lower-bind-basis-mono:
  t  $\leq$  u  $\implies$  lower-bind-basis t  $\sqsubseteq$  lower-bind-basis u
  ⟨proof⟩

```

definition

```

lower-bind :: 'a::bifinite lower-pd  $\rightarrow$  ('a  $\rightarrow$  'b lower-pd)  $\rightarrow$  'b::bifinite lower-pd
where
  lower-bind = lower-pd.extension lower-bind-basis

```

syntax

```

-lower-bind :: [logic, logic, logic]  $\Rightarrow$  logic
  (⟨⟨indent=3 notation=⟨binder lower-bind⟩⟩⟩ ∪ b-ε-./ -) [0, 0, 10] 10

```

translations

$\bigcup_{x \in xs} e == CONST\ lower-bind\cdot xs\cdot(\Lambda x. e)$

lemma *lower-bind-principal* [simp]:
lower-bind·(*lower-principal* t) = *lower-bind-basis* t
{proof}

lemma *lower-bind-unit* [simp]:
lower-bind·{ x } \flat · f = f · x
{proof}

lemma *lower-bind-plus* [simp]:
lower-bind·($xs \uplus ys$)· f = *lower-bind*· xs · f \uplus *lower-bind*· ys · f
{proof}

lemma *lower-bind-strict* [simp]: *lower-bind*· \perp · f = f · \perp
{proof}

lemma *lower-bind-bind*:
lower-bind·(*lower-bind*· xs · f)· g = *lower-bind*· xs ·($\Lambda x. lower-bind(f\cdot x)\cdot g$)
{proof}

30.6 Map

definition

lower-map :: ($'a::bifinite \rightarrow 'b::bifinite$) $\rightarrow 'a\ lower-pd \rightarrow 'b\ lower-pd$ **where**
lower-map = ($\Lambda f\ xs. lower-bind\cdot xs\cdot(\Lambda x. \{f\cdot x\}\flat)$)

lemma *lower-map-unit* [simp]:
lower-map· f ·{ x } \flat = { f · x } \flat
{proof}

lemma *lower-map-plus* [simp]:
lower-map· f ·($xs \uplus ys$) = *lower-map*· f · xs \uplus *lower-map*· f · ys
{proof}

lemma *lower-map-bottom* [simp]: *lower-map*· f · \perp = { f · \perp } \flat
{proof}

lemma *lower-map-ident*: *lower-map*·($\Lambda x. x$)· xs = xs
{proof}

lemma *lower-map-ID*: *lower-map*· ID = ID
{proof}

lemma *lower-map-map*:
lower-map· f ·(*lower-map*· g · xs) = *lower-map*·($\Lambda x. f\cdot(g\cdot x)$)· xs
{proof}

lemma *lower-bind-map*:

lower-bind·(lower-map·f·xs)·g = lower-bind·xs·(Λ x. g·(f·x))
⟨proof⟩

lemma *lower-map-bind*:

lower-map·f·(lower-bind·xs·g) = lower-bind·xs·(Λ x. lower-map·f·(g·x))
⟨proof⟩

lemma *ep-pair-lower-map*: *ep-pair e p* \implies *ep-pair (lower-map·e) (lower-map·p)*
⟨proof⟩

lemma *deflation-lower-map*: *deflation d* \implies *deflation (lower-map·d)*
⟨proof⟩

lemma *finite-deflation-lower-map*:

assumes *finite-deflation d* **shows** *finite-deflation (lower-map·d)*
⟨proof⟩

30.7 Lower powerdomain is bifinite

lemma *approx-chain-lower-map*:

assumes *approx-chain a*
shows *approx-chain (λi. lower-map·(a i))*
⟨proof⟩

instance *lower-pd :: (bifinite) bifinite*
⟨proof⟩

30.8 Join

definition

lower-join :: 'a::bifinite lower-pd lower-pd \rightarrow 'a lower-pd **where**
lower-join = (Λ xss. lower-bind·xss·(Λ xs. xs))

lemma *lower-join-unit [simp]*:

lower-join·{xs}b = xs
⟨proof⟩

lemma *lower-join-plus [simp]*:

lower-join·(xss \sqcup yss) = lower-join·xss \sqcup lower-join·yss
⟨proof⟩

lemma *lower-join-bottom [simp]*: *lower-join·⊥ = ⊥*
⟨proof⟩

lemma *lower-join-map-unit*:

lower-join·(lower-map·lower-unit·xs) = xs
⟨proof⟩

lemma *lower-join-map-join*:

lower-join·(lower-map·lower-join·xsss) = lower-join·(lower-join·xsss)
⟨proof⟩

lemma *lower-join-map-map:*
lower-join·(lower-map·(lower-map·f)·xss) =
lower-map·f·(lower-join·xss)
⟨proof⟩

end

31 Convex powerdomain

theory *ConvexPD*
imports *UpperPD LowerPD*
begin

31.1 Basis preorder

definition
convex-le :: 'a::bifinite pd-basis ⇒ 'a pd-basis ⇒ bool (infix ⟨≤¤⟩ 50) where
convex-le = (λu v. u ≤¤ v ∧ u ≤¤ v)

lemma *convex-le-refl [simp]: t ≤¤ t*
⟨proof⟩

lemma *convex-le-trans: ⟦t ≤¤ u; u ≤¤ v⟧ ⇒ t ≤¤ v*
⟨proof⟩

interpretation *convex-le: preorder convex-le*
⟨proof⟩

lemma *upper-le-minimal [simp]: PDUnit compact-bot ≤¤ t*
⟨proof⟩

lemma *PDUnit-convex-mono: x ⊑ y ⇒ PDUnit x ≤¤ PDUnit y*
⟨proof⟩

lemma *PDPlus-convex-mono: ⟦s ≤¤ t; u ≤¤ v⟧ ⇒ PDPlus s u ≤¤ PDPlus t v*
⟨proof⟩

lemma *convex-le-PDUnit-PDUnit-iff [simp]:*
 $(\text{PDUnit } a \le¤ \text{PDUnit } b) = (a \sqsubseteq b)$
⟨proof⟩

lemma *convex-le-PDUnit-lemma1:*
 $(\text{PDUnit } a \le¤ t) = (\forall b \in \text{Rep-pd-basis } t. a \sqsubseteq b)$
⟨proof⟩

lemma *convex-le-PDUnit-PDPlus-iff [simp]:*

$(PDUnit a \leq\# PDPlus t u) = (PDUnit a \leq\# t \wedge PDUnit a \leq\# u)$
 $\langle proof \rangle$

lemma convex-le-PDUnit-lemma2:
 $(t \leq\# PDUnit b) = (\forall a \in Rep\text{-}pd\text{-basis } t. a \sqsubseteq b)$
 $\langle proof \rangle$

lemma convex-le-PDPlus-PDUnit-iff [simp]:
 $(PDPlus t u \leq\# PDUnit a) = (t \leq\# PDUnit a \wedge u \leq\# PDUnit a)$
 $\langle proof \rangle$

lemma convex-le-PDPlus-lemma:
assumes $z : PDPlus t u \leq\# z$
shows $\exists v w. z = PDPlus v w \wedge t \leq\# v \wedge u \leq\# w$
 $\langle proof \rangle$

lemma convex-le-induct [induct set: convex-le]:
assumes $le : t \leq\# u$
assumes 2: $\bigwedge t u v. [P t u; P u v] \implies P t v$
assumes 3: $\bigwedge a b. a \sqsubseteq b \implies P (PDUnit a) (PDUnit b)$
assumes 4: $\bigwedge t u v w. [P t v; P u w] \implies P (PDPlus t u) (PDPlus v w)$
shows $P t u$
 $\langle proof \rangle$

31.2 Type definition

typedef 'a::bifinite convex-pd ((*notation*=*postfix convex-pd*)'(-')#) =
 $\{S::'a pd\text{-basis set. convex-le.ideal } S\}$
 $\langle proof \rangle$

instantiation convex-pd :: (bifinite) below
begin

definition
 $x \sqsubseteq y \longleftrightarrow Rep\text{-}convex\text{-}pd x \subseteq Rep\text{-}convex\text{-}pd y$

instance $\langle proof \rangle$
end

instance convex-pd :: (bifinite) po
 $\langle proof \rangle$

instance convex-pd :: (bifinite) cpo
 $\langle proof \rangle$

definition
 $convex\text{-}principal :: 'a::bifinite pd\text{-basis} \Rightarrow 'a convex\text{-}pd$ **where**
 $convex\text{-}principal t = Abs\text{-}convex\text{-}pd \{u. u \leq\# t\}$

interpretation convex-pd:

ideal-completion convex-le convex-principal Rep-convex-pd
 $\langle proof \rangle$

Convex powerdomain is pointed

lemma convex-pd-minimal: convex-principal (PDUnit compact-bot) $\sqsubseteq ys$
 $\langle proof \rangle$

instance convex-pd :: (bifinite) pcpo
 $\langle proof \rangle$

lemma inst-convex-pd-pcpo: $\perp = \text{convex-principal} (\text{PDUnit compact-bot})$
 $\langle proof \rangle$

31.3 Monadic unit and plus

definition

convex-unit :: 'a::bifinite \rightarrow 'a convex-pd **where**
 $\text{convex-unit} = \text{compact-basis.extension} (\lambda a. \text{convex-principal} (\text{PDUnit } a))$

definition

convex-plus :: 'a::bifinite convex-pd \rightarrow 'a convex-pd \rightarrow 'a convex-pd **where**
 $\text{convex-plus} = \text{convex-pd.extension} (\lambda t. \text{convex-pd.extension} (\lambda u.$
 $\text{convex-principal} (\text{PDPlus } t u)))$

abbreviation

convex-add :: 'a::bifinite convex-pd \Rightarrow 'a convex-pd \Rightarrow 'a convex-pd
(infixl $\cup\!\!\!/\!$ 65) **where**
 $xs \cup\!\!\!/\! ys == \text{convex-plus}\cdot xs \cdot ys$

syntax

-convex-pd :: args \Rightarrow logic (($\langle indent=1 notation=\langle mixfix convex-pd enumeration \rangle\rangle\{-\}\!\!\!/\!$) \rangle)

translations

$\{x, xs\}\!\!\!/\! == \{x\}\!\!\!/\! \cup\!\!\!/\! \{xs\}\!\!\!/\!$
 $\{x\}\!\!\!/\! == CONST \text{convex-unit}\cdot x$

lemma convex-unit-Rep-compact-basis [simp]:

$\{\text{Rep-compact-basis } a\}\!\!\!/\! == \text{convex-principal} (\text{PDUnit } a)$
 $\langle proof \rangle$

lemma convex-plus-principal [simp]:

$\text{convex-principal } t \cup\!\!\!/\! \text{convex-principal } u == \text{convex-principal} (\text{PDPlus } t u)$
 $\langle proof \rangle$

interpretation convex-add: semilattice convex-add $\langle proof \rangle$

lemmas convex-plus-assoc = convex-add.assoc

lemmas convex-plus-commute = convex-add.commute

```
lemmas convex-plus-absorb = convex-add.idem
lemmas convex-plus-left-commute = convex-add.left-commute
lemmas convex-plus-left-absorb = convex-add.left-idem
```

Useful for *simp add*: *convex-plus-ac*

```
lemmas convex-plus-ac =
  convex-plus-assoc convex-plus-commute convex-plus-left-commute
```

Useful for *simp only*: *convex-plus-aci*

```
lemmas convex-plus-aci =
  convex-plus-ac convex-plus-absorb convex-plus-left-absorb
```

```
lemma convex-unit-below-plus-iff [simp]:
  {x} ⊑ ys ∪ zs ↔ {x} ⊑ ys ∧ {x} ⊑ zs
⟨proof⟩
```

```
lemma convex-plus-below-unit-iff [simp]:
  xs ∪ ys ⊑ {z} ⊑ xs ⊑ {z} ∧ ys ⊑ {z}
⟨proof⟩
```

```
lemma convex-unit-below-iff [simp]: {x} ⊑ {y} ⊑ ↔ x ⊑ y
⟨proof⟩
```

```
lemma convex-unit-eq-iff [simp]: {x} ⊑ {y} ⊑ ↔ x = y
⟨proof⟩
```

```
lemma convex-unit-strict [simp]: {⊥} ⊑ = ⊥
⟨proof⟩
```

```
lemma convex-unit-bottom-iff [simp]: {x} ⊑ = ⊥ ↔ x = ⊥
⟨proof⟩
```

```
lemma compact-convex-unit: compact x ==> compact {x} ⊑
⟨proof⟩
```

```
lemma compact-convex-unit-iff [simp]: compact {x} ⊑ ↔ compact x
⟨proof⟩
```

```
lemma compact-convex-plus [simp]:
  [compact xs; compact ys] ==> compact (xs ∪ ys)
⟨proof⟩
```

31.4 Induction rules

```
lemma convex-pd-induct1:
  assumes P: adm P
  assumes unit: ⋀x. P {x} ⊑
  assumes insert: ⋀x ys. [P {x} ⊑; P ys] ==> P ({x} ⊑ ∪ ys)
  shows P (xs::'a::bifinite convex-pd)
```

$\langle proof \rangle$

lemma *convex-pd-induct* [case-names *adm* *convex-unit* *convex-plus*, induct type: *convex-pd*]:
assumes *P*: *adm P*
assumes *unit*: $\bigwedge x. P \{x\}$
assumes *plus*: $\bigwedge xs ys. \llbracket P xs; P ys \rrbracket \implies P (xs \cup ys)$
shows *P* (*xs*::'a::bifinite convex-pd)
 $\langle proof \rangle$

31.5 Monadic bind

definition

convex-bind-basis ::
'a::bifinite pd-basis \Rightarrow ('a \rightarrow 'b convex-pd) \rightarrow 'b::bifinite convex-pd
where
convex-bind-basis = *fold-pd*
 $(\lambda a. \Lambda f. f \cdot (\text{Rep-compact-basis } a))$
 $(\lambda x y. \Lambda f. x \cdot f \cup y \cdot f)$

lemma *ACI-convex-bind*:
semilattice ($\lambda x y. \Lambda f. x \cdot f \cup y \cdot f$)
 $\langle proof \rangle$

lemma *convex-bind-basis-simps* [*simp*]:
convex-bind-basis (*PDUnit a*) =
 $(\Lambda f. f \cdot (\text{Rep-compact-basis } a))$
convex-bind-basis (*PDPlus t u*) =
 $(\Lambda f. \text{convex-bind-basis } t \cdot f \cup \text{convex-bind-basis } u \cdot f)$
 $\langle proof \rangle$

lemma *convex-bind-basis-mono*:
 $t \leq u \implies \text{convex-bind-basis } t \sqsubseteq \text{convex-bind-basis } u$
 $\langle proof \rangle$

definition

convex-bind :: 'a::bifinite convex-pd \rightarrow ('a \rightarrow 'b convex-pd) \rightarrow 'b::bifinite convex-pd
where
convex-bind = *convex-pd.extension convex-bind-basis*

syntax

-*convex-bind* :: [*logic*, *logic*, *logic*] \Rightarrow *logic*
 $\langle \langle \text{indent}=3 \text{ notation}=\langle \text{binder convex-bind} \rangle \cup \text{-}\in\text{-}.\text{/ -} \rangle [0, 0, 10] 10 \rangle$

translations

$\bigcup \{x \in xs. e\} == CONST \text{convex-bind} \cdot xs \cdot (\Lambda x. e)$

lemma *convex-bind-principal* [*simp*]:
convex-bind · (*convex-principal t*) = *convex-bind-basis t*
 $\langle proof \rangle$

lemma *convex-bind-unit* [*simp*]:
 $\text{convex-bind}\cdot\{x\}\natural\cdot f = f\cdot x$
{proof}

lemma *convex-bind-plus* [*simp*]:
 $\text{convex-bind}\cdot(xs \cup\natural ys)\cdot f = \text{convex-bind}\cdot xs\cdot f \cup\natural \text{convex-bind}\cdot ys\cdot f$
{proof}

lemma *convex-bind-strict* [*simp*]: $\text{convex-bind}\cdot\perp\cdot f = f\cdot\perp$
{proof}

lemma *convex-bind-bind*:
 $\text{convex-bind}\cdot(\text{convex-bind}\cdot xs\cdot f)\cdot g =$
 $\text{convex-bind}\cdot xs\cdot(\Lambda x. \text{convex-bind}\cdot(f\cdot x)\cdot g)$
{proof}

31.6 Map

definition

convex-map :: (*'a::bifinite* \rightarrow *'b*) \rightarrow *'a convex-pd* \rightarrow *'b::bifinite convex-pd* **where**
 $\text{convex-map} = (\Lambda f\ xs. \text{convex-bind}\cdot xs\cdot(\Lambda x. \{f\cdot x\}\natural))$

lemma *convex-map-unit* [*simp*]:
 $\text{convex-map}\cdot f\cdot\{x\}\natural = \{f\cdot x\}\natural$
{proof}

lemma *convex-map-plus* [*simp*]:
 $\text{convex-map}\cdot f\cdot(xs \cup\natural ys) = \text{convex-map}\cdot f\cdot xs \cup\natural \text{convex-map}\cdot f\cdot ys$
{proof}

lemma *convex-map-bottom* [*simp*]: $\text{convex-map}\cdot f\cdot\perp = \{f\cdot\perp\}\natural$
{proof}

lemma *convex-map-ident*: $\text{convex-map}\cdot(\Lambda x. x)\cdot xs = xs$
{proof}

lemma *convex-map-ID*: $\text{convex-map}\cdot ID = ID$
{proof}

lemma *convex-map-map*:
 $\text{convex-map}\cdot f\cdot(\text{convex-map}\cdot g\cdot xs) = \text{convex-map}\cdot(\Lambda x. f\cdot(g\cdot x))\cdot xs$
{proof}

lemma *convex-bind-map*:
 $\text{convex-bind}\cdot(\text{convex-map}\cdot f\cdot xs)\cdot g = \text{convex-bind}\cdot xs\cdot(\Lambda x. g\cdot(f\cdot x))$
{proof}

lemma *convex-map-bind*:

convex-map·f·(convex-bind·xs·g) = convex-bind·xs·(Λ x. convex-map·f·(g·x))
⟨proof⟩

lemma *ep-pair-convex-map*: *ep-pair e p* \implies *ep-pair (convex-map·e) (convex-map·p)*
⟨proof⟩

lemma *deflation-convex-map*: *deflation d* \implies *deflation (convex-map·d)*
⟨proof⟩

lemma *finite-deflation-convex-map*:
assumes *finite-deflation d* **shows** *finite-deflation (convex-map·d)*
⟨proof⟩

31.7 Convex powerdomain is bifinite

lemma *approx-chain-convex-map*:
assumes *approx-chain a*
shows *approx-chain (λi. convex-map·(a i))*
⟨proof⟩

instance *convex-pd :: (bifinite) bifinite*
⟨proof⟩

31.8 Join

definition

convex-join :: 'a::bifinite convex-pd convex-pd → 'a convex-pd where
convex-join = (Λ xss. convex-bind·xss·(Λ xs. xs))

lemma *convex-join-unit [simp]*:
convex-join·{xs}‡ = xs
⟨proof⟩

lemma *convex-join-plus [simp]*:
convex-join·(xss ∪‡ yss) = convex-join·xss ∪‡ convex-join·yss
⟨proof⟩

lemma *convex-join-bottom [simp]*: *convex-join·⊥ = ⊥*
⟨proof⟩

lemma *convex-join-map-unit*:
convex-join·(convex-map·convex-unit·xs) = xs
⟨proof⟩

lemma *convex-join-map-join*:
convex-join·(convex-map·convex-join·xss) = convex-join·(convex-join·xss)
⟨proof⟩

lemma *convex-join-map-map*:

$\text{convex-join} \cdot (\text{convex-map} \cdot (\text{convex-map} \cdot f) \cdot xss) =$
 $\text{convex-map} \cdot f \cdot (\text{convex-join} \cdot xss)$
 $\langle proof \rangle$

31.9 Conversions to other powerdomains

Convex to upper

lemma $\text{convex-le-imp-upper-le}: t \leq\# u \implies t \leq\# u$
 $\langle proof \rangle$

definition

$\text{convex-to-upper} :: 'a::bifinite convex-pd \rightarrow 'a \text{ upper-pd}$ where
 $\text{convex-to-upper} = \text{convex-pd.extension upper-principal}$

lemma $\text{convex-to-upper-principal} [\text{simp}]:$
 $\text{convex-to-upper} \cdot (\text{convex-principal } t) = \text{upper-principal } t$
 $\langle proof \rangle$

lemma $\text{convex-to-upper-unit} [\text{simp}]:$
 $\text{convex-to-upper} \cdot \{x\}\# = \{x\}\#$
 $\langle proof \rangle$

lemma $\text{convex-to-upper-plus} [\text{simp}]:$
 $\text{convex-to-upper} \cdot (xs \sqcup\# ys) = \text{convex-to-upper} \cdot xs \sqcup\# \text{convex-to-upper} \cdot ys$
 $\langle proof \rangle$

lemma $\text{convex-to-upper-bind} [\text{simp}]:$
 $\text{convex-to-upper} \cdot (\text{convex-bind} \cdot xs \cdot f) =$
 $\text{upper-bind} \cdot (\text{convex-to-upper} \cdot xs) \cdot (\text{convex-to-upper} \circ f)$
 $\langle proof \rangle$

lemma $\text{convex-to-upper-map} [\text{simp}]:$
 $\text{convex-to-upper} \cdot (\text{convex-map} \cdot f \cdot xs) = \text{upper-map} \cdot f \cdot (\text{convex-to-upper} \cdot xs)$
 $\langle proof \rangle$

lemma $\text{convex-to-upper-join} [\text{simp}]:$
 $\text{convex-to-upper} \cdot (\text{convex-join} \cdot xss) =$
 $\text{upper-bind} \cdot (\text{convex-to-upper} \cdot xss) \cdot \text{convex-to-upper}$
 $\langle proof \rangle$

Convex to lower

lemma $\text{convex-le-imp-lower-le}: t \leq\# u \implies t \leq\flat u$
 $\langle proof \rangle$

definition

$\text{convex-to-lower} :: 'a::bifinite convex-pd \rightarrow 'a \text{ lower-pd}$ where
 $\text{convex-to-lower} = \text{convex-pd.extension lower-principal}$

lemma $\text{convex-to-lower-principal} [\text{simp}]:$

convex-to-lower·(convex-principal t) = lower-principal t
(proof)

lemma convex-to-lower-unit [simp]:

convex-to-lower·{x}¶ = {x}¶

(proof)

lemma convex-to-lower-plus [simp]:

convex-to-lower·(xs ∪¶ ys) = convex-to-lower·xs ∪¶ convex-to-lower·ys

(proof)

lemma convex-to-lower-bind [simp]:

convex-to-lower·(convex-bind·xs·f) =

lower-bind·(convex-to-lower·xs)·(convex-to-lower oo f)

(proof)

lemma convex-to-lower-map [simp]:

convex-to-lower·(convex-map·f·xs) = lower-map·f·(convex-to-lower·xs)

(proof)

lemma convex-to-lower-join [simp]:

convex-to-lower·(convex-join·xss) =

lower-bind·(convex-to-lower·xss)·convex-to-lower

(proof)

Ordering property

lemma convex-pd-below-iff:

(xs ⊑ ys) =

(convex-to-upper·xs ⊑ convex-to-upper·ys ∧

convex-to-lower·xs ⊑ convex-to-lower·ys)

(proof)

lemmas convex-plus-below-plus-iff =

convex-pd-below-iff [where xs=xs ∪¶ ys and ys=zs ∪¶ ws]

for xs ys zs ws

lemmas convex-pd-below-simps =

convex-unit-below-plus-iff

convex-plus-below-unit-iff

convex-plus-below-plus-iff

convex-unit-below-iff

convex-to-upper-unit

convex-to-upper-plus

convex-to-lower-unit

convex-to-lower-plus

upper-pd-below-simps

lower-pd-below-simps

end

32 Powerdomains

```
theory Powerdomains
imports ConvexPD Domain
begin
```

32.1 Universal domain embeddings

```
definition upper-emb = udom-emb ( $\lambda i.$  upper-map·(udom-approx  $i$ ))
definition upper-prj = udom-prj ( $\lambda i.$  upper-map·(udom-approx  $i$ ))

definition lower-emb = udom-emb ( $\lambda i.$  lower-map·(udom-approx  $i$ ))
definition lower-prj = udom-prj ( $\lambda i.$  lower-map·(udom-approx  $i$ ))

definition convex-emb = udom-emb ( $\lambda i.$  convex-map·(udom-approx  $i$ ))
definition convex-prj = udom-prj ( $\lambda i.$  convex-map·(udom-approx  $i$ ))
```

```
lemma ep-pair-upper: ep-pair upper-emb upper-prj
⟨proof⟩
```

```
lemma ep-pair-lower: ep-pair lower-emb lower-prj
⟨proof⟩
```

```
lemma ep-pair-convex: ep-pair convex-emb convex-prj
⟨proof⟩
```

32.2 Deflation combinators

```
definition upper-defl :: udom defl  $\rightarrow$  udom defl
  where upper-defl = defl-fun1 upper-emb upper-prj upper-map
```

```
definition lower-defl :: udom defl  $\rightarrow$  udom defl
  where lower-defl = defl-fun1 lower-emb lower-prj lower-map
```

```
definition convex-defl :: udom defl  $\rightarrow$  udom defl
  where convex-defl = defl-fun1 convex-emb convex-prj convex-map
```

```
lemma cast-upper-defl:
  cast·(upper-defl·A) = upper-emb oo upper-map·(cast·A) oo upper-prj
⟨proof⟩
```

```
lemma cast-lower-defl:
  cast·(lower-defl·A) = lower-emb oo lower-map·(cast·A) oo lower-prj
⟨proof⟩
```

```
lemma cast-convex-defl:
  cast·(convex-defl·A) = convex-emb oo convex-map·(cast·A) oo convex-prj
⟨proof⟩
```

32.3 Domain class instances

```

instantiation upper-pd :: (domain) domain
begin

definition
emb = upper-emb oo upper-map·emb

definition
prj = upper-map·prj oo upper-prj

definition
defl (t::'a upper-pd itself) = upper-defl·DEFL('a)

definition
(liftemb :: 'ia upper-pd u → udom u) = u-map·emb

definition
(liftprj :: udom u → 'ia upper-pd u) = u-map·prj

definition
liftdefl (t::'a upper-pd itself) = liftdefl-of·DEFL('a upper-pd)

instance ⟨proof⟩

end

instantiation lower-pd :: (domain) domain
begin

definition
emb = lower-emb oo lower-map·emb

definition
prj = lower-map·prj oo lower-prj

definition
defl (t::'a lower-pd itself) = lower-defl·DEFL('a)

definition
(liftemb :: 'ia lower-pd u → udom u) = u-map·emb

definition
(liftprj :: udom u → 'ia lower-pd u) = u-map·prj

definition
liftdefl (t::'a lower-pd itself) = liftdefl-of·DEFL('a lower-pd)

instance ⟨proof⟩

```

```

end

instantiation convex-pd :: (domain) domain
begin

definition
emb = convex-emb oo convex-map·emb

definition
prj = convex-map·prj oo convex-prj

definition
defl (t::'a convex-pd itself) = convex-defl·DEFL('a)

definition
(liftemb :: 'ia convex-pd u → udom u) = u-map·emb

definition
(liftprj :: udom u → 'ia convex-pd u) = u-map·prj

definition
liftdefl (t::'a convex-pd itself) = liftdefl-of·DEFL('a convex-pd)

instance ⟨proof⟩

end

lemma DEFL-upper: DEFL('ia::domain upper-pd) = upper-defl·DEFL('ia)
⟨proof⟩

lemma DEFL-lower: DEFL('ia::domain lower-pd) = lower-defl·DEFL('ia)
⟨proof⟩

lemma DEFL-convex: DEFL('ia::domain convex-pd) = convex-defl·DEFL('ia)
⟨proof⟩

```

32.4 Isomorphic deflations

```

lemma isodefl-upper:
isodefl d t ⇒ isodefl (upper-map·d) (upper-defl·t)
⟨proof⟩

lemma isodefl-lower:
isodefl d t ⇒ isodefl (lower-map·d) (lower-defl·t)
⟨proof⟩

lemma isodefl-convex:
isodefl d t ⇒ isodefl (convex-map·d) (convex-defl·t)
⟨proof⟩

```

32.5 Domain package setup for powerdomains

```
lemmas [domain-defl-simps] = DEFL-upper DEFL-lower DEFL-convex
lemmas [domain-map-ID] = upper-map-ID lower-map-ID convex-map-ID
lemmas [domain-isodefl] = isodefl-upper isodefl-lower isodefl-convex
```

```
lemmas [domain-deflation] =
  deflation-upper-map deflation-lower-map deflation-convex-map
```

$\langle ML \rangle$

end

theory HOLCF

imports

 Main

 Domain

 Powerdomains

begin

default-sort domain

end